



## Executive Committee | Agenda

10 a.m., Wednesday, May 8, 2024

CAPCOG Lantana Room

6800 Burlleson Rd., Bldg. 310, Suite 155

Austin, Texas 78744

Mayor Lew White, City of Lockhart, **Chair**  
Judge Brett Bray, Blanco County, **1<sup>st</sup> Vice Chair**  
Mayor Pro Tem Matthew Baker, City of Round Rock,  
**2<sup>nd</sup> Vice Chair**  
Judge Ron Cunningham, Llano County, **Secretary**  
Judge Ron Cunningham, Llano County,  
**Parliamentarian**  
Judge James Oakley, Burnet County, **Immediate Past  
Chair**  
Council Member Mackenzie Kelly, City of Austin  
Commissioner Clara Beckett, Bastrop County  
Mayor Lyle Nelson, City of Bastrop  
Council Member Kevin Hight, City of Bee Cave  
Commissioner Joe Don Dockery, Burnet County  
Judge Hoppy Haden, Caldwell County  
Judge Dan Mueller, Fayette County

Council Member Ron Garland, City of Georgetown  
Mayor Pro Tem Steve Hougen, City of Granite Shoals  
Commissioner Walt Smith, Hays County  
Mayor Pro Tem Esmeralda Mattke Longoria, City of  
Leander  
Commissioner Steven Knobloch, Lee County  
Mayor Pro Tem Doug Weiss, City of Pflugerville  
Mayor Jane Hughson, City of San Marcos  
Council Member Janice Bruno, City of Smithville  
Mayor Brandt Rydell, City of Taylor  
Judge Andy Brown, Travis County  
Commissioner Ann Howard, Travis County  
Commissioner Russ Boles, Williamson County  
Commissioner Cynthia Long, Williamson County  
Senator Pete Flores  
Representative Stan Gerdes  
Representative Vikki Goodwin

- 1. Call to Order and Opening Remarks by the Chair**
- 2. Consider Approving Minutes for the April 10, 2024 Meeting**
- 3. Consider Accepting the Annual Financial Report of the Capital Area Council of Governments for the Year Ended September 30, 2023**  
Silvia Alvarado, Director of Finance  
Roger Tovar, CPA – Audit Partner of Whitley Penn, LLP
- 4. Consider Accepting the Quarterly Investment Report**  
Silvia Alvarado, Director of Finance
- 5. Consider Reviewing the CAPCOG Investment Policy**  
Silvia Alvarado, Director of Finance
- 6. Consider Nominating Jennifer Scott, Director of Aging Programs to the City of Austin Commission on Seniors**  
Jennifer Scott, Director of Aging Services
- 7. Consider a Proclamation Recognizing June 2024 as Alzheimer's and Brain Awareness Month**  
Jennifer Scott, Director of Aging Services
- 8. Consider Approval to Contract with University of Houston for an Air Quality Monitoring Project**  
Anton Cox, Air Quality Program Manager

A closed executive session may be held on any of the above agenda items when legally justified pursuant to Subchapter D of the Texas Open Meetings Act (Texas Government Code Chapter 551).

9. **Consider Approving Scoring and Funding Recommendations for 2025 General Victim Assistance (VOCA) Criminal Justice Grants**  
Charles Simon, Director of Regional Planning & Services
10. **Consider Approving Scoring and Funding Recommendations for 2025 Juvenile Justice (JJ) Criminal Justice Grants**  
Charles Simon, Director of Regional Planning & Services
11. **Consider Approving Scoring and Funding Recommendations for 2025 Truancy Prevention (TP) Criminal Justice Grants**  
Charles Simon, Director of Regional Planning & Services
12. **Consider Approving Scoring and Funding Recommendations for 2025 Violence Against Women Justice and Training Program (VAWA) Criminal Justice Grants**  
Charles Simon, Director of Regional Planning & Services
13. **Consider Approving Scoring and Funding Recommendations for 2025 Criminal Justice Program (DJ/JAG) Criminal Justice Grants**  
Charles Simon, Director of Regional Planning & Services
14. **Consider Adopting a Resolution Documenting the Priority Listing and Funding Recommendations for all Grant Categories in the Plan Year 2025 Criminal Justice Program**  
Charles Simon, Director of Regional Planning & Services
15. **Consider Approving Committee Appointments**  
Betty Voights, Executive Director
16. **Staff Reports**  
Betty Voights, Executive Director
17. **Adjourn**

A closed executive session may be held on any of the above agenda items when legally justified pursuant to Subchapter D of the Texas Open Meetings Act (Texas Government Code Chapter 551).



## Executive Committee | Summary Minutes

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10 a.m., Wednesday, April 10, 2024  
6800 Bureson Road  
Building 310, Suite 155  
Austin, TX 78744

### Present (19)

Mayor Lew White, City of Lockhart, **Chair**  
Mayor Pro Tem Matthew Baker, City of Round Rock,  
**2<sup>nd</sup> Vice Chair**  
Judge Brett Bray, Blanco County, **Secretary**  
Judge Ron Cunningham, Llano County, **Parliamentarian**  
Judge James Oakley, Burnet County, **Immediate Past  
Chair**  
Commissioner Clara Beckett, Bastrop County  
Mayor Lyle Nelson, City of Bastrop  
Commissioner Joe Don Dockery, Burnet County  
Judge Hoppy Haden, Caldwell County

Council Member Ron Garland, City of Georgetown  
Mayor Pro Tem Steve Hougen, City of Granite Shoals  
Mayor Pro Tem Esme Mattke Longoria, City of Leander  
Commissioner Steven Knobloch, Lee County  
Mayor Pro Tem Doug Weiss, City of Pflugerville  
Judge Andy Brown, Travis County  
Commissioner Ann Howard, Travis County  
Commissioner Cynthia Long, Williamson County  
Representative Vikki Goodwin  
Senator Pete Flores

### Absent (7)

Council Member Mackenzie Kelly, City of Austin  
Council Member Kevin Hight, City of Bee Cave  
Judge Dan Mueller, Fayette County  
Mayor Jane Hughson, City of San Marcos

Council Member Janice Bruno, City of Smithville  
Mayor Brandt Rydell, City of Taylor  
Commissioner Russ Boles, Williamson County

### 1. **Call to Order and Opening Remarks by the Chair**

Mayor White called the meeting to order at 10:01 a.m.

### 2. **Consider Approving Minutes for the March 13, 2024 Meeting**

Mayor White asked the Board to consider approving the March 13, 2024, meeting minutes. Judge Oakley made a motion to approve the minutes. Commissioner Long seconded the motion. It passed unanimously.

### 3. **Consider Electing Executive Committee Officers to Fill Vacancy**

#### **Betty Voights, Executive Director**

Ms. Voights asked the Board to consider electing officers to fill the vacancy left by Commissioner Debbie Ingalsbe who was in the First Vice Chair slot. She explained the Nominating Committee's role in identifying Executive Committee members and Officers each year at the December General Assembly meeting.

Mayor White asked Ms. Voights to clarify the reason why the Board Officers should not have multiple members from one city/county serve as an Officer. She stated that because the Board is regional, there should be a variation of members.

Ms. Voights also stated that during the March Officers meeting, the members decided that the city/county/city/county rotation should continue and that Judge Bray, currently in the Secretary slot, move up to First Vice Chair and that Judge Cunningham move into the Secretary slot. Mayor Pro Tem Baker, who is not seeking re-election in May, will finish his term as Second Vice Chair, and Judge Cunningham has agreed to also serve as Parliamentarian.

Mayor White asked for a vote to approve the election of officers to serve for the remainder of the 2024 calendar year. Mayor Pro Tem Baker made a motion to approve. Council Member Garland seconded the motion. It passed unanimously.

**4. Consider Electing Replacement to Serve Remainder of Term for Hays County Commissioner Debbie Ingalsbe**

**Betty Voights, Executive Director**

Per section 5.7(d) of the bylaws, the Executive Committee has the authority to elect a replacement to serve for the remainder of the unexpired term if the vacancy occurs and the nomination process is completed no later than June 15<sup>th</sup> of that year. It has also been a long-standing tradition of the Executive Committee to allow one of its members who resigns during the year to recommend another elected official from his or her county commissioners court or city council. With the resignation of Commissioner Ingalsbe, Ms. Voights asked the Board to consider electing Hays County Commissioner Walt Smith to complete Commissioner Ingalsbe's 2024 term on the CAPCOG Executive Committee board.

She stated that the Hays County Commissioners Court agenda for March 26, 2024, indicated that Commissioner Smith will be appointed to the General Assembly, a requirement to be considered for the Executive Committee membership. Commissioner Smith's nomination request form and General Assembly appointment form were received.

Mayor White asked for a vote to approve the election of Hays County Commissioner Walt Smith to complete the 2024 term of Commissioner Ingalsbe. Commissioner Long made a motion to approve. Commissioner Howard seconded the motion. It passed unanimously.

**5. Consider a Proclamation Recognizing May 2024 as Older Americans Month**

**Jennifer Scott, Director of Aging Services**

On behalf of Aging Services Director, Jennifer Scott, Ms. Siena Lindemann, Aging Services Assistant Director for Program Implementation, asked the Board to consider approving the proclamation recognizing May 2024 as Older Americans Month.

Ms. Lindemann shared that this year's theme, "Powered by Connection" offers an opportunity to explore the vital role that connectedness plays in supporting independence and aging in place by combatting isolation, loneliness, and other issues.

Mayor White asked for a vote to approve the proclamation recognizing May 2024 as Older Americans Month. Mayor Pro Tem Mattke-Longoria made a motion to approve. Mayor Pro Tem Hougen seconded the motion. It passed unanimously.

**6. Consider a Proclamation Recognizing May 2024 as CAPCOG Wildfire Awareness Month**

**Martin Ritchey, Director of Homeland Security**

On behalf of Homeland Security Director, Martin Ritchey, Dee Harrison, Homeland Security Program Manager, asked the Board to consider approving the proclamation recognizing May 2024 as Wildfire Awareness Month.

Ms. Harrison shared that wildland fires are a major threat to our nation, the State of Texas, and the CAPCOG Region. Across the CAPCOG region we have experienced many devastating wildland fires that have destroyed or damaged homes, businesses, property, the environment, and resulted in the loss of life.

She also stated that CAPCOG Homeland Security has prepared a regional campaign around [WarnCentralTexas.org](http://WarnCentralTexas.org) to encourage residents to sign up to receive emergency alerts and take steps to prepare for and mitigate the impacts of wildland fires in their communities, and that materials for the public will be posted online and distributed through CAPCOG stakeholders.

Mayor White asked for a vote to approve the proclamation recognizing May 2024 as Wildfire Awareness Month. Commissioner Dockery made a motion to approve. Mayor Nelson seconded the motion. It passed unanimously.

Commissioner Howard commented on the need to do more on this issue than just approve proclamations. She recommended having education campaigns, especially regarding preparedness. Mayor White supported this idea and asked members to send Ms. Voights their suggestions.

**7. Consider a Proclamation Recognizing May 6 – May 10, 2024 as Air Quality Awareness Week**  
**Anton Cox, Air Quality Program Manager**

Mr. Cox asked the Board to consider approving the proclamation recognizing May 6 – May 10, 2024 as Air Quality Awareness Week. He shared that the theme this year is “Knowing Your Air” and the goal is to increase air quality awareness and encourage people to act and incorporate air quality knowledge into their daily living.

He also stated that the CAPCOG Air Quality Program will promote this week through the Air Central Texas website and social media, and by asking the Clean Air Coalition members to proclaim this week, Air Quality Awareness Week.

Mayor White asked for a vote to approve the proclamation recognizing May 6 – May 10, 2024 as Air Quality Awareness Week. Judge Oakley made a motion to approve. Commissioner Smith seconded the motion. It passed unanimously.

**8. Consider Appointing a Committee to Establish Regional Priorities for the 2025-2026 TxCDBG Community Development Grant Program and Assign it the Authority to Establish Priorities for the CAPCOG Region**  
**Charles Simon, Director of Regional Planning & Services**

Mr. Simon asked the Board to consider appointing a committee to establish regional priorities for the 2025-2026 TxCDBG Community Development Grant Program and assign it the authority to establish priorities for the CAPCOG region.

He stated that the responsibility of the committee is to establish the priorities for the CAPCOG region from a list of activities provided by the US Department of Housing and Urban Development. Some of the project categories include parks and recreational facilities, flood drainage improvements, water/sewer improvements, and residential historic preservation.

He also stated that the committee, after providing notice to the eligible cities and counties and giving the public opportunity for input, may choose to assign a value ranging from 0 to 50 points to each of the activities (project categories). The priorities and their associated weighted scores then become part of the scoring formula used to evaluate all CDBG Community Development grant applications from entities in the CAPCOG region.

Mr. Simon also shared the list of recommended committee members as follows:

- Judge Ron Cunningham, Llano County
- Judge Hoppy Haden, Caldwell County
- Mayor Pro-Tem Steve Hougen, City of Granite Shoals
- Mayor Joel Lopez, City of Giddings

Mayor White asked for a vote to approve appointing a committee to establish regional priorities for the 2025-2026 TxCDBG Community Development Grant Program and assign it the authority to establish priorities for the CAPCOG region. Judge Oakley made a motion to approve. Council Member Garland seconded the motion. It passed unanimously.

**9. Consider Approving Committee Appointments**

**Betty Voights, Executive Director**

Ms. Voights did not have any committee appointments to share with the Board.

**10. Staff Reports**

**Betty Voights, Executive Director**

Ms. Voights shared that the Criminal Justice Advisory Committee (CJAC) met over several days last week to provide scoring and funding recommendations for the grant applications that were received. Approval of the recommendations will be done next month.

Judge Oakley mentioned to Ms. Voights that there might be a possibility that, given that some of the board members will be unavailable to attend the May 8<sup>th</sup> meeting, there might not be a quorum. She urged as many members to attend as there will be important agenda items covered next month.

**11. Adjourn**

Mayor White adjourned the meeting at 10:32 a.m.

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Judge Ron Cunningham, Secretary  
Executive Committee  
Capital Area Council of Governments

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Date

## EXECUTIVE COMMITTEE MEETING

MEETING DATE: May 8, 2024

AGENDA ITEM: 3. Consider Accepting the Annual Financial Report of the Capital Area Council of Governments for the Year Ended September 30, 2023

### GENERAL DESCRIPTION OF ITEM:

The Annual Financial Report of the Capital Area Council of Governments for the Year Ended September 30, 2023, has been prepared by Whitley Penn, LLP, in accordance with state and federal regulations. A representative from the audit firm will present the Annual Financial Report to the Executive Committee and answer any questions from committee members. All the programs and service areas of CAPCOG's operations were included in the general standard audit procedures. The programs chosen for additional Single Audit testing are listed in the Annual Financial Report on pages 50 and 50. There were no audit findings.

### THIS ITEM REPRESENTS A:

- New issue, project, or purchase
- Routine, regularly scheduled item
- Follow-up to a previously discussed item
- Special item requested by board member
- Other

### PRIMARY CONTACT/STAFF MEMBER:

Silvia Alvarado, Director of Finance  
Roger Tovar, CPA – Audit Partner of Whitley Penn, LLP

### BUDGETARY IMPACT:

Total estimated cost: N/A

Source of Funds: \_\_\_\_\_

Is item already included in fiscal year budget?  Yes  No

Does item represent a new expenditure?  Yes  No

Does item represent a pass-through purchase?  Yes  No

If so, for what city/county/etc.? \_\_\_\_\_

PROCUREMENT: The audit services were acquired based on response to an RFP.

### ACTION REQUESTED:

Accept the Annual Financial Report of the Capital Area Council of Governments for the Year Ended September 30, 2023.

### BACK-UP DOCUMENTS ATTACHED:

1. Required Auditor Disclosure Letter from Whitley Penn, LLP
2. Annual Financial Report of the Capital Area Council of Governments for the Year Ended September 30, 2023.

BACK-UP DOCUMENTS NOT ATTACHED (to be sent prior to meeting or will be a handout at the meeting): None



**ANNUAL FINANCIAL REPORT**

**For the Year Ended September 30, 2023**



# CAPITAL AREA COUNCIL OF GOVERNMENTS

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## INDEPENDENT AUDITOR'S REPORT

To the Executive Committee Members of  
Capital Area Council of Governments  
Austin, Texas

### **Report on the Audit of the Financial Statements**

#### ***Opinions***

We have audited the accompanying financial statements of the governmental activities, each major fund, and the aggregate remaining fund information of Capital Area Council of Governments ("CAPCOG"), as of and for the year ended September 30, 2023, and the related notes to the financial statements, which collectively comprise CAPCOG's basic financial statements as listed in the table of contents.

In our opinion, the accompanying financial statements referred to above present fairly, in all material respects, the respective financial position of the governmental activities, each major fund, and the aggregate remaining fund information of CAPCOG, as of September 30, 2023, and the respective changes in financial position for the year then ended in accordance with accounting principles generally accepted in the United States of America.

#### ***Basis for Opinions***

We conducted our audit in accordance with auditing standards generally accepted in the United States of America (GAAS) and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. Our responsibilities under those standards are further described in the Auditor's Responsibilities for the Audit of the Financial Statements section of our report. We are required to be independent of CAPCOG, and to meet our other ethical responsibilities, in accordance with the relevant ethical requirements relating to our audit. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinions.

#### ***Responsibilities of Management for the Financial Statements***

Management is responsible for the preparation and fair presentation of the financial statements in accordance with accounting principles generally accepted in the United States of America, and for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

In preparing the financial statements, management is required to evaluate whether there are conditions or events, considered in the aggregate, that raise substantial doubt about CAPCOG's ability to continue as a going concern for twelve months beyond the financial statement date, including any currently known information that may raise substantial doubt shortly thereafter.

To the Executive Committee Members of  
Capital Area Council of Governments

***Auditor’s Responsibilities for the Audit of the Financial Statements***

Our objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditor’s report that includes our opinions. Reasonable assurance is a high level of assurance but is not absolute assurance and therefore is not a guarantee that an audit conducted in accordance with GAAS and *Government Auditing Standards* will always detect a material misstatement when it exists. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control. Misstatements are considered material if there is a substantial likelihood that, individually or in the aggregate, they would influence the judgment made by a reasonable user based on the financial statements.

In performing an audit in accordance with GAAS and *Government Auditing Standards*, we:

- Exercise professional judgment and maintain professional skepticism throughout the audit.
- Identify and assess the risks of material misstatement of the financial statements, whether due to fraud or error, and design and perform audit procedures responsive to those risks. Such procedures include examining, on a test basis, evidence regarding the amounts and disclosures in the financial statements.
- Obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of CAPCOG’s internal control. Accordingly, no such opinion is expressed.
- Evaluate the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluate the overall presentation of the financial statements.
- Conclude whether, in our judgment, there are conditions or events, considered in the aggregate, that raise substantial doubt about CAPCOG’s ability to continue as a going concern for a reasonable period of time.

We are required to communicate with those charged with governance regarding, among other matters, the planned scope and timing of the audit, significant audit findings, and certain internal control-related matters that we identified during the audit.

***Required Supplementary Information***

Accounting principles generally accepted in the United States of America require that management’s discussion and analysis, as listed in the table of contents, be presented to supplement the basic financial statements. Such information is the responsibility of management and, although not a part of the basic financial statements, is required by the Governmental Accounting Standards Board who considers it to be an essential part of financial reporting for placing the basic financial statements in an appropriate operational, economic, or historical context. We have applied certain limited procedures to the required supplementary information in accordance with auditing standards generally accepted in the United States of America, which consisted of inquiries of management about the methods of preparing the information and comparing the information for consistency with management’s responses to our inquiries, the basic financial statements, and other knowledge we obtained during our audit of the basic financial statements. We do not express an opinion or provide any assurance on the information because the limited procedures do not provide us with sufficient evidence to express an opinion or provide any assurance.

To the Executive Committee Members of  
Capital Area Council of Governments

**Supplementary Information**

Our audit was conducted for the purpose of forming opinions on the financial statements that collectively comprise CAPCOG's basic financial statements. The combining nonmajor fund financial statements, schedule of indirect costs, and schedule of fringe benefits, are presented for purposes of additional analysis and are not a required part of the basic financial statements. The schedules of expenditures of federal and state awards are presented for purposes of additional analysis as required by Title 2 U.S. Code of Federal Regulations (CFR) Part 200, *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards* and the Texas Grant Management Standards and are also not a required part of the basic financial statements.

The combining nonmajor fund financial statements, schedule of indirect costs, schedule of fringe benefits and schedules of expenditures of federal and state awards are the responsibility of management and were derived from and relates directly to the underlying accounting and other records used to prepare the basic financial statements. Such information has been subjected to the auditing procedures applied in the audit of the basic financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the basic financial statements or to the basic financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the combining nonmajor fund financial statements, schedule of indirect costs, schedule of fringe benefits, and schedules of expenditures of federal and state awards are fairly stated, in all material respects, in relation to the basic financial statements as a whole.

**Other Reporting Required by Government Auditing Standards**

In accordance with *Government Auditing Standards*, we have also issued our report dated April 22, 2024 on our consideration of CAPCOG's internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements and other matters. The purpose of that report is solely to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on the effectiveness of CAPCOG's internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering CAPCOG's internal control over financial reporting and compliance.

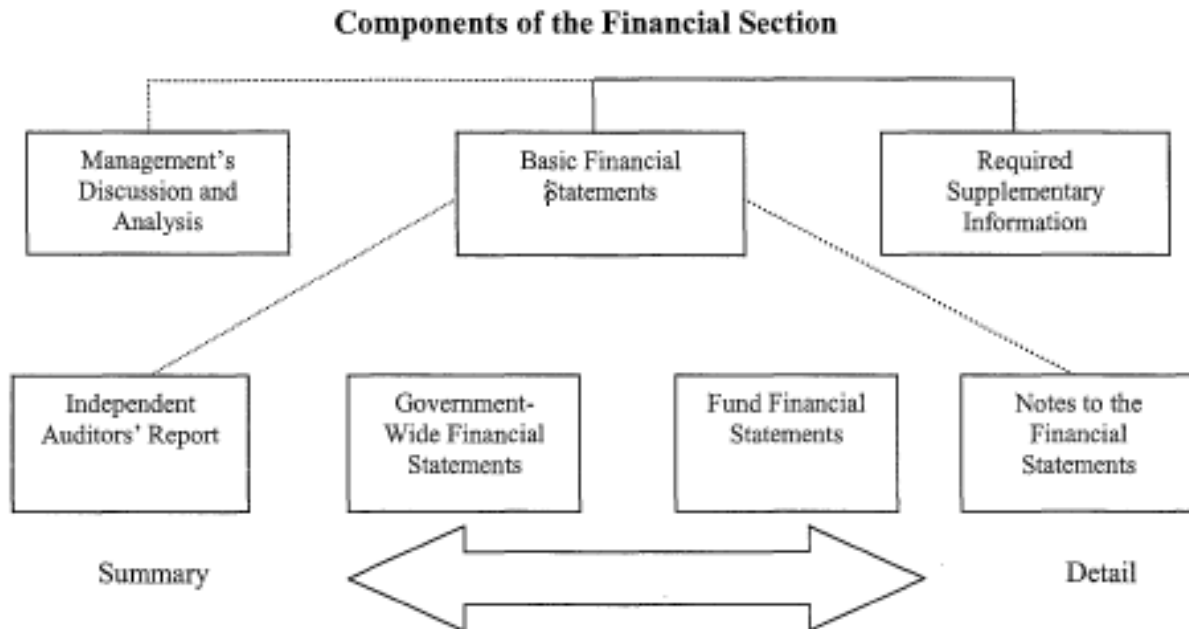
Austin, Texas  
April 22, 2024



**CAPITAL AREA COUNCIL OF GOVERNMENTS**  
**MANAGEMENT'S DISCUSSION AND ANALYSIS**

The purpose of management's discussion and analysis ("MD&A") is to give the readers an objective and easily readable analysis of the financial activities of Capital Area Council of Governments ("CAPCOG") for the year ended September 30, 2023. The analysis is based on currently known facts, decisions, or economic conditions. It presents short and long-term analysis of CAPCOG's activities. Please read the MD&A in conjunction with CAPCOG's financial statements, which follow this section.

**The Structure of Our Annual Report**



CAPCOG's basic financial report include (1) government-wide financial statements, (2) individual fund financial statements, and (3) notes to the financial statements. This report also includes supplementary information intended to furnish additional detail to support the basic financial statements themselves.

**Government-Wide Statements**

The government-wide statements report information for CAPCOG as a whole. These statements include transactions and balances relating to all assets, including infrastructure capital assets. These statements are designed to provide information about cost of services, operating results, and financial position of CAPCOG as an economic entity. The Statement of Net Position and the Statement of Activities, which appear first in CAPCOG's financial statements, report information on CAPCOG's activities that enable the reader to understand the financial condition of CAPCOG. These statements are prepared using the *accrual basis of accounting*, which is similar to the accounting method used by most private-sector companies. All of the current year's revenues and expenses are taken into account even if cash has not yet changed hands.

The Statement of Net Position presents information on all of CAPCOG's assets and liabilities, with the difference reported as *net position*. Over time, increases or decreases in net position may serve as a useful indicator of whether the financial position of CAPCOG is improving or deteriorating.

The Statement of Activities presents information showing how CAPCOG's net position changed during the most recent year. All changes in net position are reported as soon as the underlying event giving rise to the change occurs, regardless of the timing of related cash flows - the accrual method rather than the modified accrual method that is used in the fund level statements.

**CAPITAL AREA COUNCIL OF GOVERNMENTS**  
**MANAGEMENT'S DISCUSSION AND ANALYSIS (continued)**

The Statement of Net Position and the Statement of Activities present one class of activities:

Governmental Activities - CAPCOG's basic services are reported here including services from the Capital Area Emergency Communication District ("CAECD"), general government, aging, economic development, transportation, criminal justice, homeland security, and environmental quality. Emergency District state mandated 911 fees, grants, membership dues, and contract service revenue finance most of these activities.

The government-wide financial statements can be found after the MD&A.

**Fund Financial Statements**

Funds may be considered as operating companies of the parent corporation, which is CAPCOG. They are usually segregated for specific activities or objectives. CAPCOG uses fund accounting to ensure and demonstrate compliance with finance-related legal reporting requirements. CAPCOG uses only one category of funds, which is governmental.

**Governmental Funds**

Governmental funds are used to account for essentially the same functions reported as governmental activities in the government-wide financial statements. However, unlike the government-wide financial statements, governmental fund financial statements focus on *near-term inflows and outflows of spendable resources*, as well as on *balances of spendable resources* available at the end of the year. Such information may be useful in evaluating CAPCOG's near-term financing requirements.

Because the focus of governmental funds is narrower than that of the government-wide financial statements, it is useful to compare the information presented for *governmental funds* with similar information presented for *governmental activities* in the government-wide financial statements. By doing so, readers may better understand the long-term impact of the government's near-term financing decisions. Both the governmental fund balance sheet and the governmental fund statement of revenues, expenditures, and changes in fund balances provide a reconciliation to facilitate this comparison between *governmental funds* and *governmental activities*.

CAPCOG maintains a number of individual governmental funds. Information is presented separately in the governmental fund balance sheet and in the governmental fund statement of revenues, expenditures, and changes in fund balances for the general fund, aging fund, and the CAECD, which are considered to be major funds for reporting purposes.

**Notes to Financial Statements**

The notes to the financial statements provide additional information that is essential to a full understanding of the data provided in the government-wide and fund financial statements. The notes are the last section of the basic financial statements.



**CAPITAL AREA COUNCIL OF GOVERNMENTS**  
**MANAGEMENT'S DISCUSSION AND ANALYSIS (continued)**

**Government-Wide Financial Analysis**

As noted earlier, net position may serve over time as a useful indicator of CAPCOG's financial position. Assets exceed liabilities by \$35,993,113 as of September 30, 2023 for the primary government. A portion of CAPCOG's net position in the amount of \$2,167,534 (6.0%) reflects its net investments in capital assets (e.g., property, equipment, and leasehold improvements). CAPCOG uses these capital assets to provide services to citizens; consequently, these assets are not available for future spending.

**Statement of Net Position**

The following table reflects the condensed Statement of Net Position:

	Governmental Activities		Total Dollar Change
	2023	2022	2023-2022
Current and other assets	\$ 38,777,105	\$ 37,871,697	\$ 905,408
Capital assets, net	8,012,834	6,477,718	1,535,116
<b>Total Assets</b>	46,789,939	44,349,415	2,440,524
Current liabilities	4,951,526	5,072,925	(121,399)
Noncurrent liabilities	5,845,300	3,592,416	2,252,884
<b>Total Liabilities</b>	10,796,826	8,665,341	2,131,485
<b>Net Position:</b>			
Net investment in capital assets	2,167,534	2,885,302	(717,768)
Restricted	31,178,423	30,040,711	1,137,712
Unrestricted	2,647,156	2,758,061	(110,905)
<b>Total Net Position</b>	\$ 35,993,113	\$ 35,684,074	\$ 309,039

A portion of CAPCOG's net position in the amount of \$31,178,423 represents resources that are subject to external restriction on how they may be used. The remaining balance of unrestricted net position, \$2,647,156 may be used to meet CAPCOG's ongoing obligations to members.

CAPCOG's total net position increased by \$309,039. This increase was largely due to increases in revenue from charges for services, operating grants, and investment interest income. The increase was offset by increased grant expenditures in aging and environmental quality, and operating and capital projects costs for the emergency communications district.

**CAPITAL AREA COUNCIL OF GOVERNMENTS**  
**MANAGEMENT'S DISCUSSION AND ANALYSIS (continued)**

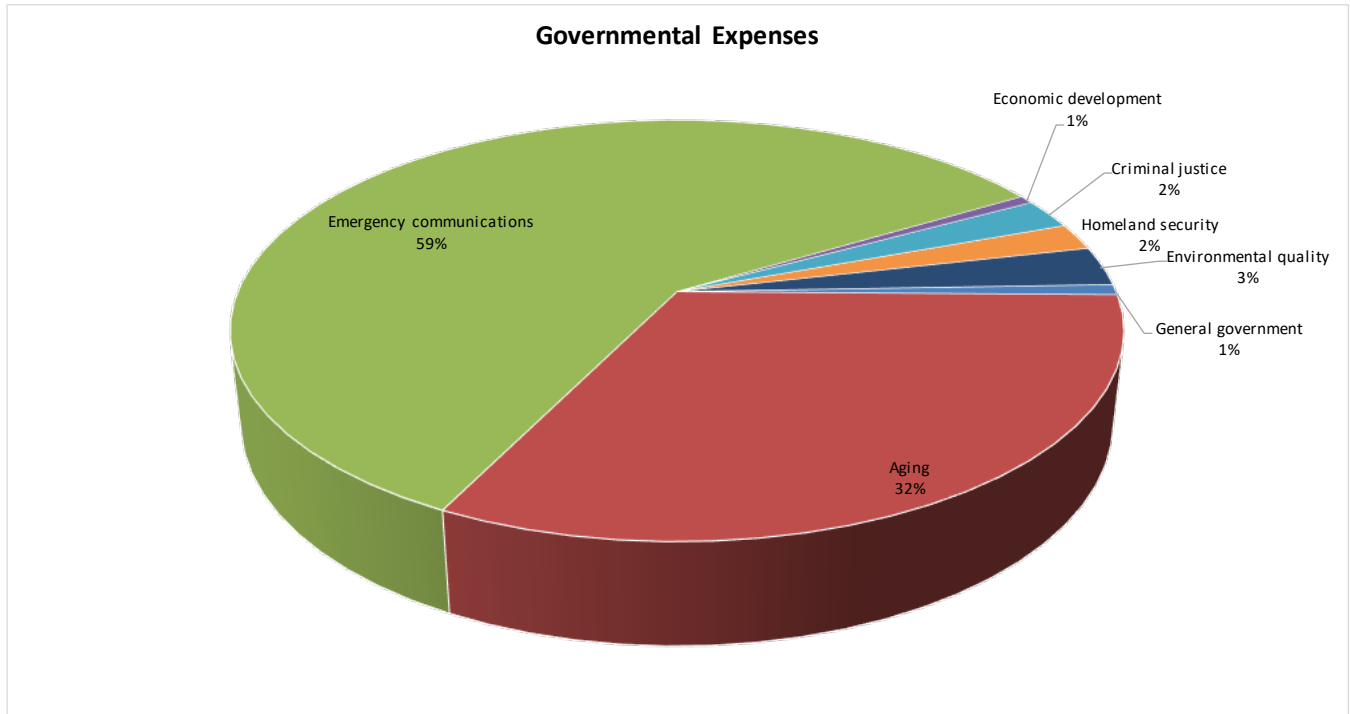
**Statement of Activities**

The following table provides a summary of CAPCOG's changes in net position:

	<b>Governmental Activities</b>		<b>Total Dollar Change</b>
	<b>2023</b>	<b>2022</b>	<b>2023-2022</b>
<b>Revenues</b>			
Program revenues:			
Charges for services	\$ 16,503,856	\$ 15,489,024	\$ 1,014,832
Operating grants and contributions	12,133,482	10,702,076	1,431,406
General revenues:			
Membership dues	304,280	301,137	3,143
Contract service revenue	392,445	429,332	(36,887)
Miscellaneous	525,411	375,570	149,841
Interest income	1,453,355	220,193	1,233,162
<b>Total Revenues</b>	<b>31,312,829</b>	<b>27,517,332</b>	<b>3,795,497</b>
<b>Expenses</b>			
General government	246,575	867,516	(620,941)
Aging	9,951,344	9,198,543	752,801
Emergency communications	18,326,372	16,022,374	2,303,998
Economic development	197,445	225,579	(28,134)
Criminal justice	715,360	672,060	43,300
Homeland security	633,400	736,312	(102,912)
Environmental quality	933,294	214,266	719,028
<b>Total Expenses</b>	<b>31,003,790</b>	<b>27,936,650</b>	<b>3,067,140</b>
<b>Change in Net Position</b>	<b>309,039</b>	<b>(419,318)</b>	<b>728,357</b>
<b>Beginning Net Position</b>	<b>35,684,074</b>	<b>36,103,392</b>	<b>(419,318)</b>
<b>Ending Net Position</b>	<b>\$ 35,993,113</b>	<b>\$ 35,684,074</b>	<b>\$ 309,039</b>

**CAPITAL AREA COUNCIL OF GOVERNMENTS**  
**MANAGEMENT'S DISCUSSION AND ANALYSIS (continued)**

Graphic presentations of selected data from the summary tables follow to assist in the analysis of CAPCOG's activities.



For the year ended September 30, 2023, revenues from governmental activities totaled \$31,312,829, an increase of \$3,795,497 over the prior year. This increase was primarily due to the increase from operating grants related to environmental quality and investment interest.

As of the end of the fiscal year, expenses for governmental activities totaled \$31,003,790, which represents an increase of \$3,067,140 over the prior year. The increase was primarily due to increased operating grant expenditures in environmental quality, and increased expenditures for operating costs and capital projects for the emergency communications district.

**Financial Analysis of CAPCOG'S Funds**

As noted earlier, fund accounting is used to demonstrate and ensure compliance with finance-related legal requirements.

**Governmental Funds** - The focus of CAPCOG's governmental funds is to provide information on near-term inflows, outflows, and balances of spendable resources. Such information is useful in assessing CAPCOG's financing requirements. In particular, the unassigned fund balance may serve as a useful measure of CAPCOG's net resources available for spending at the end of the year.

CAPCOG's governmental funds reflect a combined fund balance of \$34,091,244. Of this, \$2,677,019 is unassigned, \$235,521 is nonspendable related prepaid items, and \$31,178,704 is restricted for various purposes.

The General Fund reported a fund balance of \$2,912,540, an increase of \$190,791 over the prior year. In the Aging Fund, expenditures exceeded revenues by \$3,626 resulting in a restricted fund balance of \$114,860 at fiscal year-end. The CAECD fund reported a fund balance of \$30,630,437, which is an increase of \$860,604 from the prior year.

**CAPITAL AREA COUNCIL OF GOVERNMENTS**  
**MANAGEMENT'S DISCUSSION AND ANALYSIS (continued)**

**Capital Assets**

At the end of the current fiscal year, CAPCOG's governmental activities had invested \$8,012,834 , in a variety of capital assets, including equipment for emergency communications and right of use leased assets net of accumulated depreciation and amortization.

Additional information on CAPCOG's capital assets, is presented in Note 3 - C of this report.

**Long-term Debt**

At the end of the current fiscal year, CAPCOG reported a lease liability of \$2,910,626 related to the lease of office space. CAPCOG also reported a SBITA liability of \$2,934,674 at year-end related to subscription asset liabilities.

Additional information on CAPCOG's long-term debt can be found in Note 3 - D of this report.

**Economic Factors And Next Year's Budget**

CAPCOG is dependent on federal and state funding, which may vary widely from year to year. The federal economic condition and federal and state budget deficits can impact the re-authorization of funds available to local governments.

For fiscal year 2024, CAPCOG has expected revenues of \$56,330,903. \$12,400,329 of this total is estimated to be received from the NG9-1-1 Service Funds available under Proposition 8 that established the Texas Broadband Infrastructure Fund.

**Contacting CAPCOG'S Financial Management**

This financial report is designed to provide a general overview of CAPCOG's finances. Questions concerning this report or requests for additional financial information should be directed to Capital Area Council of Governments, 6800 Burleson Road, Building 310, Suite 165, Austin, Texas 78744.

## **BASIC FINANCIAL STATEMENTS**



**CAPITAL AREA COUNCIL OF GOVERNMENTS****STATEMENT OF NET POSITION****September 30, 2023**

	<u>Primary Government</u> <u>Governmental</u> <u>Activities</u>
<b>Assets</b>	
Cash and cash equivalents	\$ 33,605,954
Receivables	2,667,779
Due from grantor agencies	2,267,851
Prepaid items	235,521
	<u>38,777,105</u>
Capital assets, net of accumulated depreciation and amortization	<u>8,012,834</u>
<b>Total Assets</b>	<u>46,789,939</u>
<b>Liabilities</b>	
Accounts payable	4,326,627
Employee retirement payable	210,111
Accrued compensated absences	265,665
Unearned revenue	149,123
Long-term liabilities:	
Due within one year	1,682,132
Due in more than one year	4,163,168
<b>Total Liabilities</b>	<u>10,796,826</u>
<b>Net Position</b>	
Net investment in capital assets	2,167,534
Restricted	31,178,423
Unrestricted	2,647,156
<b>Total Net Position</b>	<u>\$ 35,993,113</u>

**CAPITAL AREA COUNCIL OF GOVERNMENTS**

**STATEMENT OF ACTIVITIES**

*For the Year Ended September 30, 2023*

<b>Functions/Programs</b>	<b>Expenses</b>	<b>Indirect and Internal Cost Allocation</b>	<b>Expenses After Indirect and Internal Cost Allocation</b>
<b>Primary Government:</b>			
<b>Governmental Activities</b>			
General government	\$ 2,294,675	\$ (2,048,100)	\$ 246,575
Aging	9,219,432	731,912	9,951,344
Emergency communication	17,302,868	1,023,504	18,326,372
Economic development	157,869	39,576	197,445
Criminal justice	582,229	133,131	715,360
Homeland security	554,866	78,534	633,400
Environmental quality	891,851	41,443	933,294
<b>Total Governmental Activities</b>	<u>31,003,790</u>	<u>-</u>	<u>31,003,790</u>
<b>Total Primary Government</b>	<u>\$ 31,003,790</u>	<u>\$ -</u>	<u>\$ 31,003,790</u>



**CAPITAL AREA COUNCIL OF GOVERNMENTS**

**STATEMENT OF ACTIVITIES**

*For the Year Ended September 30, 2023*

Functions/Programs	Program Revenues		Net (Expense)
	Charges for Services	Operating Grants and Contributions	Revenue and Changes in Net Position
			Governmental Activities
<b>Primary Government:</b>			
<b>Governmental Activities</b>			
General government	\$ -	\$ -	\$ (246,575)
Aging	-	9,948,373	(2,971)
Emergency communication	16,217,689	-	(2,108,683)
Economic development	-	197,129	(316)
Criminal justice	286,167	313,310	(115,883)
Homeland security	-	660,744	27,344
Environmental quality	-	1,013,926	80,632
<b>Total Governmental Activities</b>	<b>16,503,856</b>	<b>12,133,482</b>	<b>(2,366,452)</b>
<b>Total Primary Government</b>	<b>\$ 16,503,856</b>	<b>\$ 12,133,482</b>	<b>(2,366,452)</b>
 <b>General Revenues:</b>			
Membership dues			304,280
Contract service revenue			392,445
Miscellaneous			525,411
Interest income			1,453,355
<b>Total General Revenues</b>			<b>2,675,491</b>
Change in Net Position			309,039
<b>Beginning Net Position</b>			<b>35,684,074</b>
<b>Ending Net Position</b>			<b>\$ 35,993,113</b>

**CAPITAL AREA COUNCIL OF GOVERNMENTS**

**BALANCE SHEET - GOVERNMENTAL FUNDS**

September 30, 2023

	<u>General</u>	<u>Aging</u>	<u>Capital Area Emergency Communication District</u>	<u>Nonmajor Special Revenue Funds</u>	<u>Total Governmental Funds</u>
<b>Assets</b>					
Cash and cash equivalents	\$ 2,415,318	\$ -	\$ 31,189,724	\$ 912	\$ 33,605,954
Receivables	85,819	30,676	2,522,121	29,163	2,667,779
Due from grantor agencies	-	1,630,765	-	637,086	2,267,851
Due from other funds	1,942,152	-	-	255,764	2,197,916
Prepaid items	235,521	-	-	-	235,521
<b>Total Assets</b>	<u>\$ 4,678,810</u>	<u>\$ 1,661,441</u>	<u>\$ 33,711,845</u>	<u>\$ 922,925</u>	<u>\$ 40,975,021</u>
<b>Liabilities</b>					
Accounts payable	\$ 1,482,964	\$ -	\$ 2,843,663	\$ -	\$ 4,326,627
Employee retirement payable	210,111	-	-	-	210,111
Due to other funds	-	1,545,998	237,745	414,173	2,197,916
Unearned revenue	73,195	583	-	75,345	149,123
<b>Total Liabilities</b>	<u>1,766,270</u>	<u>1,546,581</u>	<u>3,081,408</u>	<u>489,518</u>	<u>6,883,777</u>
<b>Fund Balances</b>					
Nonspendable	235,521	-	-	-	235,521
Restricted	-	114,860	30,630,437	433,407	31,178,704
Unassigned	2,677,019	-	-	-	2,677,019
<b>Total Fund Balances</b>	<u>2,912,540</u>	<u>114,860</u>	<u>30,630,437</u>	<u>433,407</u>	<u>34,091,244</u>
<b>Total Liabilities and Fund Balances</b>	<u>\$ 4,678,810</u>	<u>\$ 1,661,441</u>	<u>\$ 33,711,845</u>	<u>\$ 922,925</u>	<u>\$ 40,975,021</u>

**CAPITAL AREA COUNCIL OF GOVERNMENTS**  
**RECONCILIATION OF THE GOVERNMENTAL FUNDS BALANCE SHEET TO THE**  
**STATEMENT OF NET POSITION**  
**September 30, 2023**

**Total Fund Balances - Governmental Funds** \$ 34,091,244

Amounts reported for governmental activities in the Statement of Net Position are different because:

Capital assets used in governmental activities are not financial resources and therefore are not reported in governmental funds. 17,259,060

Accumulated depreciation and amortization have not been included in the fund financial (9,246,226)

Long-term liabilities, including lease payable and SBITA payables, are not due and payable in current period and therefore are not reported in the governmental funds. (5,845,300)

Accrued liabilities for compensated absences are not due and payable in the current period and have not been reflected in the fund financial statements. (265,665)

**Total Net Position of Governmental Activities** \$ 35,993,113

**CAPITAL AREA COUNCIL OF GOVERNMENTS**  
**STATEMENT REVENUES, EXPENDITURES, AND CHANGES IN FUND BALANCES**  
**GOVERNMENTAL FUNDS**  
**For the Year Ended September 30, 2023**

	General	Aging	Capital Area Emergency Communication District	Nonmajor Special Revenue Funds	Total Governmental Funds
<b>Revenues</b>					
Federal grants	\$ -	\$ 7,478,739	\$ -	\$ 847,420	\$ 8,326,159
State grants	-	659,627	-	1,308,410	1,968,037
Membership dues	304,280	-	-	-	304,280
Local match	(119,178)	-	-	-	(119,178)
Other local revenue	-	-	16,197,639	-	16,197,639
Miscellaneous	347,516	-	197,934	-	545,450
Interest	75,644	-	1,366,816	10,895	1,453,355
Tuition fees	-	-	-	286,177	286,177
Contract service revenue	224,644	340,672	-	167,801	733,117
Contractual local match	-	1,469,335	-	29,279	1,498,614
<b>Total Revenues</b>	<b>832,906</b>	<b>9,948,373</b>	<b>17,762,389</b>	<b>2,649,982</b>	<b>31,193,650</b>
<b>Expenditures</b>					
<b>Current:</b>					
General government	87,201	-	-	-	87,201
Aging	-	9,898,514	-	-	9,898,514
Emergency communications	-	-	15,614,440	-	15,614,440
Economic development	-	-	-	193,933	193,933
Criminal justice	-	-	-	691,866	691,866
Homeland security	-	-	-	685,892	685,892
Environmental quality	-	-	-	1,017,982	1,017,982
<b>Debt Service:</b>					
Principal	443,206	42,718	1,039,348	37,366	1,562,638
Interest	111,708	10,767	247,997	9,418	379,890
<b>Total Expenditures</b>	<b>642,115</b>	<b>9,951,999</b>	<b>16,901,785</b>	<b>2,636,457</b>	<b>30,132,356</b>
Net Change in Fund Balances	190,791	(3,626)	860,604	13,525	1,061,294
<b>Beginning Fund Balances</b>	<b>2,721,749</b>	<b>118,486</b>	<b>29,769,833</b>	<b>419,882</b>	<b>33,029,950</b>
<b>Ending Fund Balances</b>	<b>\$ 2,912,540</b>	<b>\$ 114,860</b>	<b>\$ 30,630,437</b>	<b>\$ 433,407</b>	<b>\$ 34,091,244</b>

**CAPITAL AREA COUNCIL OF GOVERNMENTS**  
**RECONCILIATION OF THE STATEMENT OF REVENUES, EXPENDITURES, AND**  
**CHANGES IN FUND BALANCES OF GOVERNMENTAL FUNDS TO THE**  
**STATEMENT OF ACTIVITIES**  
**For the Year Ended September 30, 2023**

**Net Changes in Fund Balances - Total Governmental Funds** \$ 1,061,294

Amounts reported for governmental activities in the Statement of Activities are different because:

Governmental funds report capital outlays as expenditures. However, in the Statement of Activities, the cost of those assets is allocated over their estimated useful lives and reported as depreciation and amortization expense.

Capital purchases	876,224
Depreciation and amortization expense	(3,156,630)

Repayment of lease principal is an expenditure in the governmental funds, but repayment reduces long-term liabilities in the Statement of Net Position.	1,562,638
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Some expenses reported in the Statement of Activities do not require the use of current financial resources and , therefore, are not reported as expenditures in governmental funds.

Compensated absences	<u>(34,487)</u>
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<b>Change in Net Position of Governmental Activities</b>	<u><u>\$ 309,039</u></u>
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**CAPITAL AREA COUNCIL OF GOVERNMENTS**  
**NOTES TO FINANCIAL STATEMENTS**

**Note 1 - Summary of Significant Accounting Policies**

**A. Reporting Entity**

Capital Area Council of Governments ("CAPCOG") is a voluntary association of local government units created under Chapter 391 of the Texas Local Government Code.

CAPCOG has been designated as State Planning Region 12 located in central Texas with the stated purpose of improving health, safety, and the general welfare of their citizens and to plan for the future development of the region. It does not have stockholders or equity holders and is not subject to income taxes. The region served includes Bastrop, Blanco, Burnet, Caldwell, Fayette, Hays, Lee, Llano, Travis, and Williamson counties of Texas.

Considerations regarding the potential for inclusion of other entities, organizations, or functions in CAPCOG's financial reporting entity are based on criteria prescribed by generally accepted accounting principles. These same criteria are evaluated in considering whether CAPCOG is a part of any other governmental or other type of reporting entity. The overriding elements associated with the prescribed criteria considered in determining that CAPCOG's financial reporting entity status is that of a primary government are that it has a separately elected governing body, it is legally separate, and it is fiscally independent of other state and local governments. Additionally, prescribed criteria under generally accepted accounting principles include considerations pertaining to organizations for which the primary government is financially accountable, and considerations pertaining to organizations for which the nature and significance of their relationship with the primary government are such that exclusion would cause the reporting entity's financial statements to be misleading or incomplete.

The employees' pension plan is a defined contribution plan, which does not meet the Governmental Accounting Standards Board ("GASB") criteria for inclusion. Accordingly, the employees' pension plan is not included in CAPCOG's financial statements.

**Capital Area Emergency Communication District**

Capital Area Emergency Communication District ("CAECD") has been included in the reporting entity as a blended component unit. CAECD was established in 2013 by local governments in State Planning Region 12 under Chapter 772, Health and Safety Code, Subchapter G. CAECD oversees 9-1-1 services to local governments in State Planning Region 12. The 25-member Executive Committee, CAPCOG's governing body, serves as CAECD's Board of Managers charged with determining funding sources, setting governing policy, appointing a technical advisory committee, adopting a budget, and staffing CAECD. CAECD is responsible for managing revenue collected from state set fees for land line and wireless phones. CAECD is included in CAPCOG's reporting entity because of the significance of its operational and financial relationship with CAPCOG. CAPCOG's Executive Committee is able to impose its will on CAECD and has a financial benefit/burden due to its existence.

**Capital Area Economic Development District**

Capital Area Economic Development District ("CAEDD"), a nonprofit corporation, has been included in the reporting entity as a blended component unit. CAEDD was established in 1989 by local governments in State Planning Region 12 under section 403 of the Public Works and Economic Development Act of 1965. CAEDD serves the State Planning Region 12 by providing services to promote economic growth in the region. The Board of Directors of CAEDD is the CAPCOG Executive Committee. CAEDD is responsible for managing revenue collected from grants and local government match dollars to promote economic growth. CAEDD is included in CAPCOG's reporting entity because of the significance of their operational and financial relationship with CAPCOG.

**Capital Area Initiatives Foundation**

Capital Area Initiatives Foundation, a nonprofit organization managed by CAPCOG that is exempt under the Internal Revenue Code Section 501(c)(3), is not financially accountable to CAPCOG, and therefore, is not considered a component unit.

## CAPITAL AREA COUNCIL OF GOVERNMENTS

### NOTES TO FINANCIAL STATEMENTS (continued)

#### Note 1 - Summary of Significant Accounting Policies (continued)

##### B. Government-Wide Financial Statements

The government-wide financial statements (i.e., the Statement of Net Position and the Statement of Activities) report information on all activities of the primary government. Governmental activities are normally supported by intergovernmental revenues.

##### C. Basis of Presentation - Government-Wide Financial Statements

While separate government-wide and fund financial statements are presented, they are interrelated. The governmental activities column incorporates data from governmental funds. Separate financial statements are provided for governmental funds.

As a general rule, the effect of interfund activity has been eliminated from the government-wide financial statements.

##### D. Basis of Presentation - Fund Financial Statements

The fund financial statements provide information about CAPCOG's funds including its blended component units. Separate statements for each governmental fund category are presented. The emphasis of fund financial statements is on major governmental funds, each displayed in a separate column. All remaining governmental funds are aggregated and reported as nonmajor funds.

CAPCOG reports the following governmental funds:

The *general fund* is used to account for all financial transactions not properly includable in other funds. The principal source of revenues is intergovernmental revenues. Expenditures include general government costs. The general fund is always considered a major fund for reporting purposes.

The *special revenue funds* are used to account for the proceeds of specific revenue sources that are restricted or committed to expenditure for specific purposes other than debt service or capital projects. The restricted or committed proceeds of specific revenue sources comprise a substantial portion of the inflows of these special revenue funds. The special revenue funds include the aging fund, CAECD, criminal justice division fund, economic development administrative fund, homeland security division fund, and Texas commission on environmental quality fund. The Aging and CAECD special revenue funds are considered major funds for reporting purposes. The remaining special revenue funds are considered nonmajor.

During the course of operations, CAPCOG has activity between funds for various purposes. Any residual balances outstanding at year-end are reported as due from/to other funds and advances to/from other funds. While these balances are reported in fund financial statements, certain eliminations are made in the preparation of the government-wide financial statements. Balances between the funds included in governmental activities are eliminated so that only the net amount is included as internal balances in the governmental activities column.

Further, certain activity occurs during the year involving transfers of resources between funds. In fund financial statements, these amounts are reported at gross amounts as transfers in/out. While reported in fund financial statements, certain eliminations are made in the preparation of the government-wide financial statements. Transfers between the funds included in governmental activities are eliminated so that only the net amount is included as transfers in the governmental activities column.



# CAPITAL AREA COUNCIL OF GOVERNMENTS

## NOTES TO FINANCIAL STATEMENTS (continued)

### Note 1 - Summary of Significant Accounting Policies (continued)

#### E. Measurement Focus and Basis of Accounting

The accounting and financial reporting treatment is determined by the applicable measurement focus and basis of accounting. Measurement focus indicates the type of resources being measured such as *current financial resources* or *economic resources*. The basis of accounting indicates the timing of transactions or events for recognition in the financial statements.

The government-wide financial statements are reported using the *economic resources measurement focus* and the *accrual basis of accounting*. Revenues are recorded when earned and expenses are recorded when a liability is incurred, regardless of the timing of related cash flows. Grants and similar items are recognized as revenue as soon as all eligibility requirements imposed by the provider have been met.

The governmental fund financial statements are reported using the *current financial resources measurement focus* and the *modified accrual basis of accounting*. Revenues are recognized as soon as they are both measurable and available. Revenues are considered to be available when they are collectible within the current period or soon enough thereafter to pay liabilities of the current period. For this purpose, CAPCOG considers revenues to be available if they are collected within 60 days of the end of the current fiscal period. Expenditures generally are recorded when a liability is incurred, as under accrual accounting. General capital asset acquisitions are reported as expenditures in governmental funds.

Entitlements are recorded as revenues when all eligibility requirements are met, including any time requirements, and the amount is received during the period or within the availability period for this revenue source (within 60 days of year-end). Expenditure-driven grants are recognized as revenue when the qualifying expenditures have been incurred and all other eligibility requirements have been met, and the amount is received during the period or within the availability period for this revenue source (within 60 days of year-end). All other revenue items are considered to be measurable and available only when cash is received by CAPCOG.

#### F. Assets, Liabilities, Deferred Outflows/Inflows of Resources, and Net Position/Fund Balance

##### 1. Cash and Cash Equivalents

CAPCOG's cash and cash equivalents are considered to be cash on hand, demand deposits, and investment pools with original maturities of three months or less from the date of acquisition. CAPCOG maintains a pooled cash account. Each fund whose monies are deposited in the pooled cash account has equity therein, and interest earned on the investment of these monies is allocated based upon relative equity at the previous month end.

##### 2. Investments

CAPCOG reports all investments at fair value based on quoted market prices at year-end date, except for local government investment pools. CAPCOG's local government investment pools are recorded at amortized costs as permitted by GASB Statement No. 79, *Certain External Investment Pools and Pool Participants*.

CAPCOG categorizes fair value measurements of its investments based on the hierarchy established by generally accepted accounting principles. The fair value hierarchy, which has three levels, is based on the valuation inputs used to measure an asset's fair value: Level 1 inputs are quoted prices in active markets for identical assets; Level 2 inputs are significant other observable inputs; Level 3 inputs are significant unobservable inputs.

# CAPITAL AREA COUNCIL OF GOVERNMENTS

## NOTES TO FINANCIAL STATEMENTS (continued)

### Note 1 - Summary of Significant Accounting Policies (continued)

#### F. Assets, Liabilities, Deferred Outflows/Inflows of Resources, and Net Position/Fund Balance (continued)

##### 2. Investments (continued)

CAPCOG has adopted a written investment policy regarding the investment of its funds as defined in the Public Funds Investment Act, Chapter 2256, Texas Government Code. CAPCOG is authorized to invest in the following types of securities, within the restrictions of the Public Funds Investment Act. CAPCOG is not required to liquidate investments that were authorized investments at the time of purchase:

- U.S. Government Obligations
- State of Texas Direct Obligations
- Certificates of Deposit
- Collateralized Mortgage Obligations (CMOs)
- Commercial Paper
- Repurchase Agreements
- Investment Pools

##### 3. Receivables

Receivables are comprised of grants due from other governments, membership dues, tuition fees, employee travel advances, and other miscellaneous receivables. Balances in receivables accounts are expected to be collected within one year.

##### 4. Inventories and Prepaid Items

The costs of governmental fund type inventories are recorded as expenditures when the related liability is incurred, (i.e., the purchase method). Certain payments to vendors reflect costs applicable to the future accounting period (prepaid expenditures) are recognized as expenditures when utilized.

##### 5. Capital Assets

Capital assets, which include property, equipment, RTU lease assets and subscriptions, and leasehold improvements, are reported in the governmental column in the government-wide financial statements. Capital assets are defined by CAPCOG as assets with an initial, individual cost of more than \$5,000 (except for RTU assets) and an estimated useful life in excess of three years. Such assets are recorded at historical cost or estimated historical cost if purchased or constructed. Donated capital assets are recorded at acquisition value at the date of donation. Major outlays for capital assets and improvements are capitalized as projects are constructed. CAPCOG does not capitalize net interest cost. The costs of normal maintenance and repairs that do not add to the value of the asset or materially extend the lives of assets are not capitalized.

Property, equipment, RTU assets, and leasehold improvements of the primary government are depreciated/amortized using the straight-line method over the following estimated useful years:

Asset Description	Estimated Useful Life
Furniture	3 years
Office and computer equipment	5 years
Emergency communications equipment	5 years
Leasehold improvements	10 years (lease term)
RTU - lease assets	Depends on lease of term
RTU - Subscription assets	Depends on arrangement term

## CAPITAL AREA COUNCIL OF GOVERNMENTS

### NOTES TO FINANCIAL STATEMENTS (continued)

#### Note 1 - Summary of Significant Accounting Policies (continued)

#### F. Assets, Liabilities, Deferred Outflows/Inflows of Resources, and Net Position/Fund Balance (continued)

##### 6. Deferred Outflows/Inflows of Resources

In addition to assets, the statement of financial position will sometimes report a separate section for deferred outflows of resources. This separate financial statement element, *deferred outflows of resources*, represents a consumption of net assets that applies to a future period(s) and so will *not* be recognized as an outflow of resources (expense/expenditure) until then. CAPCOG has no items that qualify for reporting in this category.

In addition to liabilities, the statement of financial position will sometimes report a separate section for deferred inflows of resources. This separate financial statement element, *deferred inflows of resources*, represents an acquisition of net assets that applies to a future period(s) and so will *not* be recognized as an inflow of resources (revenue) until that time. CAPCOG has no items that qualify for reporting in this category.

##### 7. Compensated Absences

It is CAPCOG's policy to permit employees in permanent, full-time positions to accumulate earned but unused vacation, sick, and compensatory time. Earned time that is expected to be liquidated with expendable, available financial resources is reported as an expenditure and a fund liability of the governmental fund that will pay for it. Amounts of vested or accumulated leave that are not expected to be liquidated with expendable, available financial resources are maintained separately and represent a reconciling item between the fund and government-wide presentations.

##### 8. Net Position Flow Assumption

Sometimes CAPCOG will fund outlays for a particular purpose from both restricted (e.g., restricted bond or grant proceeds) and unrestricted resources. In order to calculate the amounts to report as restricted net position and unrestricted net position in the government-wide financial statements, a flow assumption must be made about the order in which the resources are considered to be applied. It is CAPCOG's policy to consider restricted net position to have been depleted before unrestricted net position is applied.

##### 9. Fund Balance Flow Assumptions

Sometimes CAPCOG will fund outlays for a particular purpose from both restricted and unrestricted resources (the total of committed, assigned, and unassigned fund balance). In order to calculate the amounts to report as restricted, committed, assigned, and unassigned fund balance in the governmental fund financial statements, a flow assumption must be made about the order in which the resources are considered to be applied. It is CAPCOG's policy to consider restricted fund balance to have been depleted before using any of the components of unrestricted fund balance. Further, when the components of unrestricted fund balance can be used for the same purpose, committed fund balance is depleted first, followed by assigned fund balance. Unassigned fund balance is applied last.

##### 10. Fund Balance Policies

Fund balance classifications are nonspendable, restricted, committed, assigned, and unassigned. Fund balances of governmental funds are reported in various categories based on the nature of any limitations requiring the use of resources for specific purposes. Fund balance can have different levels of constraint, such as external versus internal compliance requirements. Nonspendable includes amount that cannot be spent because they are either not in spendable form, or for legal or contractual reasons, must be kept intact. This classification includes prepaid items. Restricted fund balance includes amounts that are constrained for specific purposes which are externally imposed by providers, such as creditors or amounts restricted due to constitutional provisions or enabling legislation. This classification includes state and federal grants. Unassigned fund balance is a residual classification within the General Fund.

## **CAPITAL AREA COUNCIL OF GOVERNMENTS**

### **NOTES TO FINANCIAL STATEMENTS (continued)**

#### **Note 1 - Summary of Significant Accounting Policies (continued)**

#### **F. Assets, Liabilities, Deferred Outflows/Inflows of Resources, and Net Position/Fund Balance (continued)**

##### **10. Fund Balance Policies (continued)**

CAPCOG itself can establish limitations on the use of resources through either a commitment (committed fund balance) or an assignment (assigned fund balance).

The committed fund balance classification includes amounts that can be used only for the specific purposes determined by a formal action of CAPCOG's highest level of decision-making authority. The Executive Committee is the highest level of decision-making authority for CAPCOG that can, by adoption of a resolution prior to the end of the fiscal year, commit fund balance. Once adopted, the limitation imposed by the resolution remains in place until a similar action is taken (the adoption of another resolution) to remove or revise the limitation.

Amounts in the assigned fund balance classification are intended to be used by CAPCOG for specific purposes but do not meet the criteria to be classified as committed. The Executive Committee may also assign fund balance as it does when appropriating fund balance to cover a gap between estimated revenue and appropriations in the subsequent year's appropriated budget. Unlike commitments, assignments generally only exist temporarily. In other words, an additional action does not normally have to be taken for the removal of an assignment. Conversely, as discussed above, an additional action is essential to either remove or revise a commitment.

##### **11. Estimates**

The preparation of financial statements, in conformity with generally accepted accounting principles, requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenues and expenditures/expenses during the reporting period. Actual results could differ from those estimates.

##### **12. CAPCOG Cash Match Allocation**

In accordance with terms and provisions of the various grant contracts, CAPCOG is required to provide a specific percentage of local matching funds to support some of the grant programs.

##### **13. Local In-Kind Contributions**

Personnel, goods, and services contributed by subcontractors and local governments are recorded as revenue when they serve as the matching funds required under the provisions of the grant. Offsetting charges of equal amounts are recorded as expenditures of the appropriate grant project.

The value assigned to personnel services of volunteers is based upon the rates paid for similar work in other state and local governments or on the federal minimum wage. The value assigned for use of space is based on the estimated fair market value of the space.

## CAPITAL AREA COUNCIL OF GOVERNMENTS

### NOTES TO FINANCIAL STATEMENTS (continued)

#### Note 1 - Summary of Significant Accounting Policies (continued)

#### F. Assets, Liabilities, Deferred Outflows/Inflows of Resources, and Net Position/Fund Balance (continued)

##### 14. Indirect Cost Rate

Administrative costs are recorded in the general fund as indirect costs in CAPCOG's accounting system and allocated to elements of the special revenue funds based upon a calculated indirect cost rate. Indirect costs are defined as "costs (a) incurred for a common or joint purpose benefiting more than one cost objective, and (b) not readily assignable to the cost objective specifically benefited, without effort disproportionate to the results achieved." CAPCOG's indirect cost rate is based upon prior cost experience, documented by a cost allocation plan, and is submitted to a cognizant federal agency in accordance with the provisions of OMB *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards* (codified in 2 CFR Part 200). CAPCOG used a negotiated indirect cost rate of 13.35 percent issued in September 2021 for the fiscal year beginning October 1, 2022 and ending September 30, 2023. Negotiations with the United States Department of Interior for the fiscal year 2023 indirect cost rate were finalized on January 2023. For the first quarter of the fiscal year, CAPCOG used an indirect cost rate of 13.35. The indirect cost rate used for the remainder of the fiscal year 2023 was 24.46 percent and was applied to direct salaries and wages.

##### 15. Unearned Revenue

Unearned revenues arise from the receipt of grant funds and other revenues prior to the expenditure for its restricted purpose. The unearned revenue is recognized as revenue when the related expenditure is made.

#### G. Revenues and Expenditures/Expenses

##### Program Revenues

Amounts reported as *program revenues* include 1) charges to customers or applicants who purchase, use, or directly benefit from goods, services, or privileges provided by a given function or segment and 2) grants and contributions (including special assessments) that are restricted to meeting the operational or capital requirements of a particular function or segment.

#### H. Implementation of New Accounting Standards

The following GASB pronouncements were effective during fiscal year 2023.

GASB Statement No. 96 *Subscription-Based Information Technology Arrangements (SBITA)*, was issued in May 2020 and was effective for periods beginning after June 15, 2022. This Statement (1) defines a SBITA; (2) establishes that a SBITA results in a right-to-use subscription asset—an intangible asset—and a corresponding subscription liability; (3) provides the capitalization criteria for outlays other than subscription payments, including implementation costs of a SBITA; and (4) requires note disclosures regarding a SBITA. To the extent relevant, the standards for SBITAs are based on the standards established in Statement No. 87, *Leases*, as amended. CAPCOG has evaluated the effects of this standard and has determined that it does impact the financial statements. As such CAPCOG has incorporated such SBITAs into its capital assets and long-term liabilities on both the face of the financial statements and the note disclosures.

#### I. Subscription-Based Information Technology Arrangements

CAPCOG is under contracts for SBITA for various software subscriptions. The agreements/contracts are noncancellable and CAPCOG recognizes a SBITA liability and an intangible right-to-use SBITA asset in the government-wide financial statements. CAPCOG recognizes SBITA liabilities with an initial, individual value of \$20,000 or more.

## CAPITAL AREA COUNCIL OF GOVERNMENTS

### NOTES TO FINANCIAL STATEMENTS (continued)

#### Note 1 - Summary of Significant Accounting Policies (continued)

##### I. Subscription-Based Information Technology Arrangements (continued)

At the commencement of the SBITA, CAPCOG initially measures the SBITA liability at the present value of payments expected to be made during the SBITA term. Subsequently, the SBITA liability is reduced by the principal portion of SBITA payments made. The SBITA asset is initially measured as the initial amount of the SBITA liability, adjusted for payments made at or before the SBITA commencement date, plus certain initial direct costs. Subsequently, the SBITA asset is amortized on a straight-line basis over its useful life. Key estimates and judgments related to SBITA include how CAPCOG determines (1) the discount rate it uses to discount the expected SBITA payments to present value, (2) SBITA term, and (3) SBITA payments.

- CAPCOG uses the interest rate charged by the SBITA vendor as the discount rate. When the interest rate charged by the SBITA vendor is not provided, CAPCOG generally uses its estimated incremental borrowing rate at the commencement of a SBITA as the discount rate for that SBITA.
- The SBITA term includes the noncancellable period of the SBITA and payments included in the measurement of the SBITA liability are composed of fixed payments and purchase option price that CAPCOG is reasonably certain to exercise.

CAPCOG monitors changes in circumstances that would require a remeasurement of its SBITA and will remeasure the SBITA asset and liability if certain changes occur that are expected to significantly affect the amount of the SBITA liability. Once an interest rate is associated with the initial acquisition of the asset, that rate continues to be used for valuation purposes for the life of the asset.

SBITA assets are reported with other capital assets and SBITA liabilities are reported with long-term debt on the statement of net position.

##### J. Leases

Lessee: CAPCOG recognizes a lease liability and an intangible right-to-use lease asset (lease asset) in the government-wide financial statements for noncancellable leases of buildings, equipment, vehicles, and other machinery. CAPCOG recognizes lease liabilities with an initial, individual value of \$20,000 or more and term greater than one year.

At the commencement of a lease, CAPCOG initially measures the lease liability at the present value of payments expected to be made during the lease term. Subsequently, the lease liability is reduced by the principal portion of lease payments made. The lease asset is initially measured as the initial amount of the lease liability, adjusted for lease payments made at or before the lease commencement date, plus certain initial direct costs. Subsequently, the lease asset is amortized on a straight-line basis over its useful life.

Key estimates and judgments related to leases include how CAPCOG determines (1) the discount rate it uses to discount the expected lease payments to present value, (2) lease term, and (3) lease payments.

- CAPCOG uses the interest rate charged by the lessor as the discount rate. When the interest rate charged by the lessor is not provided, CAPCOG generally uses its estimated incremental borrowing rate at the commencement of a lease as the discount rate for that lease.
- The lease term includes the noncancellable period of the lease. Lease payments included in the measurement of the lease liability are composed of fixed payments and purchase option price that CAPCOG is reasonably certain to exercise.

CAPCOG monitors changes in circumstances that would require a remeasurement of its lease and will remeasure the lease asset and liability if certain changes occur that are expected to significantly affect the amount of the lease liability. Once an interest rate is associated with the initial acquisition of the asset, that rate continues to be used for valuation purposes for the life of the asset.

Lease assets are reported with other capital assets and lease liabilities are reported with long-term debt on the statement of net position.

# CAPITAL AREA COUNCIL OF GOVERNMENTS

## NOTES TO FINANCIAL STATEMENTS (continued)

### Note 2 - Stewardship, Compliance, and Accountability

CAPCOG prepares annual operating budgets based on expected revenues from various state and federal grantor agencies. The grants relate to specific programs that contemplate revenues and expenditures over the lives of each program and generally have funding periods different from the budget year. The annual budget, which is approved by CAPCOG's Executive Committee (the "Committee"), is based on estimates of the portions of the various programs that will be completed during the fiscal year. Additionally, grants applied for may not be funded, other grants not anticipated at the time that the budget is prepared may be obtained and funded during the budget year, or supplemental funding may be received for existing grants. As a result, the actual revenues and expenditures may vary substantially from original budget estimates.

The budgets are reviewed and approved by the Committee, but are not legally adopted budgets or appropriations as defined in *Governmental Accounting and Financial Reporting Principles, Statement 1*. Accordingly, comparative budget and actual results are not presented in the basic financial statements.

### Note 3 - Detailed Notes on all Funds

#### A. Deposits and Investments

As of September 30, 2023, CAPCOG had the following investments:

<u>Investment Type</u>	<u>Amortized Cost</u>	<u>Weighted Average Maturity (Days)</u>
Local government investment pools	\$ 32,265,711	87
<b>Total Amortized Cost</b>	<u>\$ 32,265,711</u>	
Portfolio weighted average maturity		87

*Custodial credit risk- deposits.* In the case of deposits, this is the risk that in the event of a bank failure, CAPCOG's deposits may not be returned to it. As of September 30, 2023, the primary government had bank deposits of \$1,403,560. CAECD's bank deposits represented \$668,892 of this balance. As of September 30, 2023, the carrying amount of the deposits of the primary government were \$1,340,243. CAECD's carrying amount of deposits represented \$577,118 of this balance.

CAPCOG's investment policy requires funds on deposit at the depository bank to be collateralized by securities. At year-end, market values of CAPCOG's pledged securities and Federal Deposit Insurance Corporation ("FDIC") insurance exceeded bank balances.

*Credit risk.* CAPCOG's investment policy limits investments to those rated as to investment quality not less than "AAA" by a nationally recognized investment rating firm. As of September 30, 2023, CAPCOG's investments in TexPool were rated "AAA<sub>m</sub>" by Standard & Poor's.

#### TexPool

TexPool policies require that local government deposits be used to purchase investments authorized by the Public Funds Investment Act ("PFIA") of 1987, as amended. The Texas State Comptroller of Public Accounts has oversight responsibility for TexPool. TexPool is a public funds investment pool created by the Texas Treasury Safekeeping Trust Company ("Trust Company") to provide a safe environment for the placement of local government funds in authorized short-term, fully collateralized investments, including direct obligations of, or obligations guaranteed by, the United States or State of Texas or their agencies; federally insured certificates of deposit issued by Texas banks or savings and loans; and fully collateralized direct repurchase agreements secured by United States Government agency securities and placed through a primary government securities dealer.

**CAPITAL AREA COUNCIL OF GOVERNMENTS**

**NOTES TO FINANCIAL STATEMENTS (continued)**

**Note 3 - Detailed Notes on all Funds (continued)**

**A. Deposits and Investments (continued)**

**TexPool (continued)**

The Trust Company was incorporated by the State Treasurer by authority of the Texas Legislature as a special purpose trust company with direct access to the services of the Federal Reserve Bank to manage, disburse, transfer, safe keep, and invest public funds and securities more efficiently and economically. The State Comptroller of Public Accounts exercises oversight responsibility over TexPool. Oversight includes the ability to significantly influence operations, designation of management, and accountability for fiscal matters. TexPool uses amortized cost rather than fair value to report net position to compute share prices. The fair value of the position in TexPool is the same as the value of TexPool shares. Accordingly, CAPCOG’s investments in TexPool are stated at cost, which approximates fair value. TexPool is currently rated AAAM by Standard and Poor’s. This rating indicates excellent safety and a superior capacity to maintain principal value and limit exposure to loss.

In accordance with GASB Statement No. 79, *Certain External Investment Pools and Pool Participants*, TexPool does not have any limitations and restrictions on withdrawals such as notice periods or maximum transaction amounts. These pools do not impose any liquidity fees or redemption gates.

**B. Receivables**

Amounts are aggregated into a single accounts receivable line for certain funds and aggregated columns. Below is the detail of receivables for the general, aging, capital area emergency communication district, and nonmajor special revenue funds.

<b>Receivables</b>	<b>General</b>	<b>Aging</b>	<b>Capital Area Emergency Communication District</b>	<b>Nonmajor Special Revenue</b>	<b>Total</b>
Federal Grants	\$ -	\$ 1,573,598	\$ -	\$ 223,300	\$ 1,796,898
State Grants	-	57,167	-	413,786	470,953
Contract Revenue	82,631	30,676	-	28,382	141,689
Private Switch	-	-	6,386	-	6,386
Wireless fees	-	-	2,153,011	-	2,153,011
Wireline fees	-	-	184,840	-	184,840
Tuition fees	-	-	-	500	500
Miscellaneous	3,188	-	177,884	281	181,353
	<u>\$ 85,819</u>	<u>\$ 1,661,441</u>	<u>\$ 2,522,121</u>	<u>\$ 666,249</u>	<u>\$ 4,935,630</u>



**CAPITAL AREA COUNCIL OF GOVERNMENTS**

**NOTES TO FINANCIAL STATEMENTS (continued)**

**Note 3 - Detailed Notes on all Funds (continued)**

**C. Capital Assets**

A summary of changes in capital assets at year-end is as follows:

	Beginning Balances, as restated	Increases	(Decreases)	Ending Balances
<b>Governmental Activities</b>				
<b>Capital Assets being Depreciated and Amortized:</b>				
Furniture and equipment	\$ 6,604,546	\$ 824,025	\$ -	\$ 7,428,571
Leasehold improvements	1,748,340	-	-	1,748,340
Right-to-use lease building	4,214,429	-	-	4,214,429
Right-to-use subscription asset	3,815,522	52,198	-	3,867,720
<b>Total Capital Assets being Depreciated and Amortized</b>	<b>16,382,837</b>	<b>876,223</b>	<b>-</b>	<b>17,259,060</b>
<b>Less Accumulated Depreciation and Amortization for:</b>				
Furniture and equipment	(4,304,036)	(1,231,455)	-	(5,535,491)
Leasehold improvements	(1,019,300)	(174,834)	-	(1,194,134)
Right-to-use lease building	(766,260)	(766,260)	-	(1,532,520)
Right-to-use subscription asset	-	(984,081)	-	(984,081)
<b>Total Accumulated Depreciation and Amortization</b>	<b>(6,089,596)</b>	<b>(3,156,630)</b>	<b>-</b>	<b>(9,246,226)</b>
<b>Total Capital Assets, Net</b>	<b>10,293,241</b>	<b>(2,280,407)</b>	<b>-</b>	<b>8,012,834</b>
<b>Governmental Activities</b>				
<b>Capital Assets, Net</b>	<b>\$ 10,293,241</b>	<b>\$ (2,280,407)</b>	<b>\$ -</b>	<b>\$ 8,012,834</b>

Depreciation and amortization were charged to governmental functions as follows:

General government	\$ 506,063
Aging	48,011
Emergency communications	2,508,008
Economic Development Administration	2,869
Criminal justice	40,175
Homeland security	29,444
Environmental quality	22,060
<b>Total</b>	<b>\$ 3,156,630</b>

**CAPITAL AREA COUNCIL OF GOVERNMENTS**  
**NOTES TO FINANCIAL STATEMENTS (continued)**

**Note 3 - Detailed Notes on all Funds (continued)**

**D. Long-Term Liabilities**

The following is a summary of changes in long-term obligations for the year ended September 30, 2023:

	Beginning Balances, as restated	Increases	(Decreases)	Ending Balances	Due within a year
<b>Governmental Activities</b>					
SBITA liability	\$ 3,815,522	\$ -	\$ (880,848)	\$ 2,934,674	\$ 936,014
Lease liability	3,592,416	-	(681,790)	2,910,626	746,118
<b>Total</b>	<u>\$ 7,407,938</u>	<u>\$ -</u>	<u>\$ (1,562,638)</u>	<u>\$ 5,845,300</u>	<u>\$ 1,682,132</u>

**SBITA Liability**

CAPCOG is under three SBITA agreements for the acquisition of software. An initial SBITA liability was recorded in the amount of \$3,815,522 during the current fiscal year. As of September 30, 2023, the value of the SBITA liability was \$2,934,674. There are no variable payments. CAPCOG is required to make monthly principal and interest payments in the range of \$1,827 to \$84,230. The SBITA has an interest rate of 6.09%. Two agreements mature in fiscal year 2026 and the third agreement matures in 2027. The value of the right-to-use asset as of the end of the current fiscal year was \$3,867,720. and had accumulated amortization of \$984,081. There are no commitments under SBITAs before the commencement of the subscription term. The future principal and interest SBITA payments as of September 30, 2023, were as follows:

<b>SBITA Liability</b>			
Year Ending September 30	Principal	Interest	Totals
2024	\$ 936,014	\$ 152,882	\$ 1,088,896
2025	941,607	95,195	1,036,802
2026	973,248	37,507	1,010,755
2027	83,805	425	84,230
	<u>\$ 2,934,674</u>	<u>\$ 286,009</u>	<u>\$ 3,220,683</u>

**Lease Liability**

CAPCOG leases office space under a long-term lease agreement. CAPCOG entered into a ten-year office space lease agreement with payments beginning April 1, 2017. CAPCOG's estimated incremental borrowing rate used to calculate the present value of the lease liability is 5.33%. The lease liability, as of September 30, 2023, was \$2,910,626. CAPCOG was required to make monthly payments ranging from \$67,000 to \$70,000 during fiscal year 2023. The value of the right-to-use asset as of the end of the current fiscal year was \$4,214,429. and had accumulated amortization of \$1,532,520. The future principal and interest lease payments as of September 30, 2023, were as follows:

<b>Lease Liability</b>			
Year Ending September 30	Principal	Interest	Totals
2024	\$ 746,118	\$ 133,939	\$ 880,057
2025	813,397	92,543	905,940
2026	884,352	47,472	931,824
2027	466,759	5,624	472,383
	<u>\$ 2,910,626</u>	<u>\$ 279,578</u>	<u>\$ 3,190,204</u>

**CAPITAL AREA COUNCIL OF GOVERNMENTS**

**NOTES TO FINANCIAL STATEMENTS (continued)**

**Note 3 - Detailed Notes on all Funds (continued)**

**E. Compensated Absences**

Employees in permanent, full-time positions earn eight hours of vacation with pay and one day of sick leave for each month of service for the first three years of employment and ten hours per month of vacation thereafter. Vacation and sick leave are prorated for part time permanent employees. Employees may accumulate up to 240 hours of vacation and up to 48 hours of sick leave that are vested in the event of termination. Employees vest their vacation balance after 1 year of service and vest their sick leave balance after 3 years of service. Accrued vacation and sick leave included in accrued compensated absences was \$265,665 at September 30, 2023. The net change in compensated absences is shown below:

	<u>Beginning</u> <u>Balances</u>	<u>Increases</u>	<u>(Decreases)</u>	<u>Ending</u> <u>Balances</u>
<b>Governmental Activities</b>				
Compensated Absences	\$ 231,178	\$ 34,487	\$ -	\$ 265,665
<b>Total</b>	<u>\$ 231,178</u>	<u>\$ 34,487</u>	<u>\$ -</u>	<u>\$ 265,665</u>

**F. Interfund Transactions**

The composition of interfund balances as of year-end was as follows:

<u>Receivable Fund</u>	<u>Payable Fund</u>	<u>Due From / To</u> <u>Other Funds</u>
General	Aging	\$ 1,545,998
General	Capital Area Emergency Communication District	237,745
General	Nonmajor funds	105,658
Nonmajor funds	Nonmajor funds	308,515
		<u>\$ 2,197,916</u>

Amounts recorded as due to/from are considered to be temporary loans and will be repaid during the following year.

**G. Unearned Revenues**

Amounts are aggregated into a single unearned revenues line for general and nonmajor special revenue funds. Below is the detail of unearned revenues.

<u>Unearned Revenues</u>	<u>General</u>	<u>Aging</u>	<u>Nonmajor</u> <u>Special Revenue</u>	<u>Total</u>
Membership Dues	\$ 18,902	\$ -	\$ -	\$ 18,902
Aging	-	583	-	583
Texas Commission on Environmental Quality	-	-	75,345	75,345
Other	54,293	-	-	54,293
	<u>\$ 73,195</u>	<u>\$ 583</u>	<u>\$ 75,345</u>	<u>\$ 149,123</u>

## **CAPITAL AREA COUNCIL OF GOVERNMENTS**

### **NOTES TO FINANCIAL STATEMENTS (continued)**

#### **Note 4 - Other Information**

##### **A. Risk Management**

CAPCOG is exposed to various risks of loss related to torts; theft of, damage to, and destruction of assets; errors and omissions; and natural disasters for which CAPCOG participates along with 2,800 other entities in the Texas Municipal League's Intergovernmental Risk Pools (the "Pool"). The Pool purchases commercial insurance at a group rate for participants in the Pool. CAPCOG has no additional risk or responsibility to the Pool, outside of the payment of insurance premiums. CAPCOG has not significantly reduced insurance coverage or had settlements which exceeded coverage amounts for the past three years.

##### **B. Delegate Agency Costs and Contingent Liabilities**

For grants classified as secondary recipient grants, CAPCOG contracts with local governments and other local delegate agencies to perform the specific services set forth in the grant agreements. CAPCOG disburses grant funds to the delegate agencies based on monthly expenditure reports received from each delegate agency.

Each delegate agency is required to comply with federal and state audit requirements. CAPCOG requires each of its delegate agencies to submit audit reports. If such audits disclose expenditures not in accordance with the terms of the grants, the grantor agency could disallow the costs and require reimbursement of the disallowed costs either from CAPCOG or the delegate agency. CAPCOG generally has the right of recovery from the delegate agency.

The amount of expenditures which may be disallowed by the grantor cannot be determined at this time although CAPCOG expects such amounts, if any, to be immaterial.

Liabilities are reported when it is probable that a loss has occurred and the amount of the loss can be reasonably estimated. Liabilities include an amount for claims that have been incurred but not reported. Claim liabilities are calculated considering the effects of inflation, recent claim settlement trends including frequency and amount of payouts, and other economic and social factors. No claim liabilities are reported at year end.

##### **C. Pension Plans**

CAPCOG provides pension benefits for all of its employees through a defined contribution plan, which qualifies as a pension plan with 401(k) profit sharing provisions (the "Plan"). In a defined contribution plan, benefits depend solely on annual contributions by CAPCOG, voluntary contributions by employees, length of time an employee participates in the Plan, and investment earnings. The Plan covers all employees of CAPCOG immediately upon beginning their first full month of service.

CAPCOG's pension plan benefits are calculated on a calendar year basis. CAPCOG's total payroll for the calendar year 2023 was \$3,472,937. During calendar year 2023, CAPCOG accrued \$277,835 (8.0 percent of total base salaries) to the Plan which was paid out in April 2024. If an employee terminates during the calendar year, the employee forfeits the employer contribution of 8.0 percent, unless they are of retirement age.

## **OTHER SUPPLEMENTARY INFORMATION**

**CAPITAL AREA COUNCIL OF GOVERNMENTS**  
**COMBINING BALANCE SHEET**  
**NONMAJOR SPECIAL REVENUE FUNDS BY FUNDING SOURCE**  
**September 30, 2023**

	<u>Economic Development Administration</u>	<u>Criminal Justice Division</u>	<u>Homeland Security Division</u>
<b>Assets</b>			
Cash and cash equivalents	\$ -	\$ -	\$ -
Receivables	-	22,688	6,475
Due from grantor agencies	-	40,915	217,426
Due from other funds	-	255,764	-
Prepaid items	-	-	-
<b>Total Assets</b>	<u>\$ -</u>	<u>\$ 319,367</u>	<u>\$ 223,901</u>
<b>Liabilities and Fund Balances</b>			
<b>Liabilities</b>			
Due to other funds	\$ -	\$ -	\$ 109,861
Unearned revenue	-	-	-
<b>Total Liabilities</b>	<u>-</u>	<u>-</u>	<u>109,861</u>
<b>Fund Balances</b>			
Nonspendable	-	-	-
Restricted	-	319,367	114,040
<b>Total Fund Balances</b>	<u>-</u>	<u>319,367</u>	<u>114,040</u>
<b>Total Liabilities and Fund Balances</b>	<u>\$ -</u>	<u>\$ 319,367</u>	<u>\$ 223,901</u>

**CAPITAL AREA COUNCIL OF GOVERNMENTS**  
**COMBINING BALANCE SHEET**  
**NONMAJOR SPECIAL REVENUE FUNDS BY FUNDING SOURCE**  
**September 30, 2023**

	Texas Commission on Environmental Quality	Environmental Protection Agency	Total Nonmajor Special Revenue Funds
<b>Assets</b>			
Cash and cash equivalents	\$ 912	\$ -	\$ 912
Receivables	-	-	29,163
Due from grantor agencies	372,871	5,874	637,086
Due from other funds	-	-	255,764
Prepaid items	-	-	-
<b>Total Assets</b>	<b>\$ 373,783</b>	<b>\$ 5,874</b>	<b>\$ 922,925</b>
<b>Liabilities and Fund Balances</b>			
<b>Liabilities</b>			
Due to other funds	\$ 298,438	\$ 5,874	\$ 414,173
Unearned revenue	75,345	-	75,345
<b>Total Liabilities</b>	<b>373,783</b>	<b>5,874</b>	<b>489,518</b>
<b>Fund Balances</b>			
Nonspendable	-	-	-
Restricted	-	-	433,407
<b>Total Fund Balances</b>	<b>-</b>	<b>-</b>	<b>433,407</b>
<b>Total Liabilities and Fund Balances</b>	<b>\$ 373,783</b>	<b>\$ 5,874</b>	<b>\$ 922,925</b>

**CAPITAL AREA COUNCIL OF GOVERNMENTS**  
**COMBINING STATEMENT OF REVENUES, EXPENDITURES**  
**AND CHANGES IN FUND BALANCES**  
**NONMAJOR SPECIAL REVENUE FUNDS BY FUNDING SOURCE**  
**For the Year Ended September 30, 2023**

	<b>Economic Development Administration</b>	<b>Criminal Justice Division</b>	<b>Homeland Security Division</b>
<b>Revenues</b>			
Federal grants	\$ 180,802	\$ -	\$ 660,744
State grants	-	300,358	-
Contract service revenue	-	130,492	37,309
Interest	-	-	-
Tuition fees	-	286,177	-
Contractual local match	16,327	12,952	-
<b>Total Revenues</b>	<b>197,129</b>	<b>729,979</b>	<b>698,053</b>
<b>Expenditures</b>			
<b>Current:</b>			
Personnel services	114,370	390,070	220,388
Contractual services	-	66,671	214,252
Travel	3,265	3,350	6,099
Supplies and materials	-	45,305	166,664
Office space	7,131	63,653	18,345
Delegate agency costs	-	-	-
Miscellaneous	29,591	4,068	-
Internal expense allocation	23,049	63,805	29,467
Indirect cost allocation	16,527	54,944	30,677
<b>Debt Service:</b>			
Principal	2,553	22,785	6,566
Interest	643	5,743	1,655
<b>Total Expenditures</b>	<b>197,129</b>	<b>720,394</b>	<b>694,113</b>
Net Change in Fund Balances	-	9,585	3,940
<b>Beginning Fund Balances</b>	<b>-</b>	<b>309,782</b>	<b>110,100</b>
<b>Ending Fund Balances</b>	<b>\$ -</b>	<b>\$ 319,367</b>	<b>\$ 114,040</b>



**CAPITAL AREA COUNCIL OF GOVERNMENTS**  
**COMBINING STATEMENT OF REVENUES, EXPENDITURES**  
**AND CHANGES IN FUND BALANCES**  
**NONMAJOR SPECIAL REVENUE FUNDS BY FUNDING SOURCE**  
**For the Year Ended September 30, 2023**

	Texas Commission on Environmental Quality	Environmental Protection Agency	Total Nonmajor Special Revenue Funds
<b>Revenues</b>			
Federal grants	\$ -	\$ 5,874	\$ 847,420
State grants	1,008,052	-	1,308,410
Contract service revenue	-	-	167,801
Interest	10,895	-	10,895
Tuition fees	-	-	286,177
Contractual local match	-	-	29,279
<b>Total Revenues</b>	<b>1,018,947</b>	<b>5,874</b>	<b>2,649,982</b>
<b>Expenditures</b>			
<b>Current:</b>			
Personnel services	155,681	4,300	884,809
Contractual services	263,064	-	543,987
Travel	5,427	-	18,141
Supplies and materials	45,569	15	257,553
Office space	15,257	-	104,386
Delegate agency costs	423,978	-	423,978
Miscellaneous	43,990	-	77,649
Internal expense allocation	-	876	117,197
Indirect cost allocation	59,142	683	161,973
<b>Debt Service:</b>			
Principal	5,462	-	37,366
Interest	1,377	-	9,418
<b>Total Expenditures</b>	<b>1,018,947</b>	<b>5,874</b>	<b>2,636,457</b>
Net Change in Fund Balances	-	-	13,525
<b>Beginning Fund Balances</b>	<b>-</b>	<b>-</b>	<b>419,882</b>
<b>Ending Fund Balances</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ 433,407</b>

**CAPITAL AREA COUNCIL OF GOVERNMENTS**

**SCHEDULE OF INDIRECT COSTS**

*For the Year Ended September 30, 2023*

	<u>Actual</u>	<u>Budget</u>
Salaries	\$ 325,596	\$ 296,825
Benefits	156,812	160,243
	<u>482,408</u>	<u>457,068</u>
Accounting/Auditing	32,399	25,864
Communications	5,827	4,458
Insurance	14,138	11,710
Legal	613	2,631
Postage	5,757	4,434
Printing	6,312	8,316
Photocopy expense	1,568	1,055
Other expense	2,929	1,522
Advertising	-	256
Professional development	1,695	3,325
Subscriptions dues	34,318	36,871
Travel	5,924	12,336
Office space	220,775	111,241
Contractual services	-	2,859
Consumable supplies	10,801	14,476
Personnel payroll services	14,112	17,559
Computer support services	71,342	66,781
<b>Total Indirect Expenditures</b>	<u>910,918</u>	<u>782,762</u>
Cost recovery CAECD	<u>(347,266)</u>	<u>(362,259)</u>
<b>Total Indirect Costs Allocated</b>	<u>\$ 563,652</u>	<u>\$ 420,503</u>
<b>Indirect Cost Allocation Base</b>	<u>\$ 2,214,661</u>	<u>\$ 1,718,120</u>
<b>Ratio of Indirect Costs to Cost Allocation Base</b>	<u>25.45%</u>	<u>24.47%</u>

**CAPITAL AREA COUNCIL OF GOVERNMENTS****SCHEDULE OF FRINGE BENEFITS***For the Year Ended September 30, 2023*

	<u>Actual</u>	<u>Budget</u>
Payroll taxes	\$ 310,690	\$ 351,522
Group insurance	683,308	884,300
Workers' compensation insurance	13,257	15,865
Unemployment insurance	821	18,380
Retirement contribution	274,272	289,964
Professional Services	23,014	22,500
Other	37,312	71,285
Release time	540,335	536,970
<b>Fringe Benefit Expenditures</b>	1,883,009	2,190,786
Fringe carry forward	44,854	-
<b>Total Fringe Benefits Allocated</b>	<u>\$ 1,927,863</u>	<u>\$ 2,190,786</u>
<b>Total Wages</b>	4,146,131	4,595,058
Release time	(540,335)	(536,970)
<b>Basis for Allocation</b>	<u>\$ 3,605,796</u>	<u>\$ 4,058,088</u>
<b>Employee Benefit Rate</b>	<u>53.47%</u>	<u>53.99%</u>



## **FEDERAL AND STATE AWARDS**



**INDEPENDENT AUDITOR’S REPORT ON INTERNAL CONTROL OVER FINANCIAL  
REPORTING AND ON COMPLIANCE AND OTHER MATTERS BASED ON AN  
AUDIT OF FINANCIAL STATEMENTS PERFORMED IN ACCORDANCE  
WITH GOVERNMENT AUDITING STANDARDS**

To the Executive Committee Members of  
Capital Area Council of Governments  
Austin, Texas

We have audited, in accordance with the auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States, the financial statements of the governmental activities, each major fund, and the aggregate remaining fund information of Capital Area Council of Governments (“CAPCOG”), as of and for the year ended September 30, 2023, and the related notes to the financial statements, which collectively comprise CAPCOG’s basic financial statements, and have issued our report thereon dated April 22, 2024.

**Internal Control Over Financial Reporting**

In planning and performing our audit of the financial statements, we considered CAPCOG's internal control over financial reporting (internal control) as a basis for designing audit procedures that are appropriate in the circumstances for the purpose of expressing our opinions on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of CAPCOG’s internal control. Accordingly, we do not express an opinion on the effectiveness of CAPCOG’s internal control.

*A deficiency in internal control* exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. *A material weakness* is a deficiency, or a combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of CAPCOG’s financial statements will not be prevented, or detected and corrected on a timely basis. *A significant deficiency* is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses or significant deficiencies may exist that have not been identified.

**Compliance and Other Matters**

As part of obtaining reasonable assurance about whether CAPCOG's financial statements are free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the financial statements. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

To the Executive Committee Members of  
Capital Area Council of Governments

**Purpose of this Report**

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of CAPCOG’s internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering CAPCOG’s internal control and compliance. Accordingly, this communication is not suitable for any other purpose.

Austin, Texas  
April 22, 2024



**INDEPENDENT AUDITOR’S REPORT ON COMPLIANCE FOR EACH MAJOR FEDERAL AND STATE PROGRAM;  
REPORT ON INTERNAL CONTROL OVER COMPLIANCE; AND REPORT ON SCHEDULE OF EXPENDITURES  
OF FEDERAL AND STATE AWARDS REQUIRED BY THE UNIFORM GUIDANCE AND  
STATE OF TEXAS UNIFORM GRANT MANAGEMENT STANDARDS**

To the Executive Committee Members of  
Capital Area Council of Governments  
Austin, Texas

**Report on Compliance for Each Major Federal and State Program**

***Opinion on Each Major Program***

We have audited Capital Area Council of Governments’ (“CAPCOG”) compliance with the types of compliance requirements described in the OMB *Compliance Supplement* that could have a direct and material effect on each of CAPCOG’s major federal and state programs for the year ended September 30, 2023. CAPCOG’s major federal and state programs are identified in the summary of auditor’s results section of the accompanying schedule of findings and questioned costs.

In our opinion, CAPCOG’s complied, in all material respects, with the compliance requirements referred to above that could have a direct and material effect on each of its major federal and state programs for the year ended September 30, 2023.

***Basis for Opinion on Each Major Federal and State Program***

We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America (GAAS); the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States (*Government Auditing Standards*); and the audit requirements of Title 2 U.S. *Code of Federal Regulations* Part 200, *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards* (Uniform Guidance), and the Texas Grant Management Standards. Our responsibilities under those standards, and the Uniform Guidance and the Texas Grant Management Standards are further described in the Auditor’s Responsibilities for the Audit of Compliance section of our report.

We are required to be independent of CAPCOG and to meet our other ethical responsibilities, in accordance with relevant ethical requirements relating to our audit. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our opinion on compliance for each major federal and state program. Our audit does not provide a legal determination of CAPCOG’s compliance with the compliance requirements referred to above.

***Responsibilities of Management for Compliance***

Management is responsible for compliance with the requirements referred to above and for the design, implementation, and maintenance of effective internal control over compliance with the requirements of laws, statutes, regulations, rules and provisions of contracts or grant agreements applicable to CAPCOG’s federal and state programs.

To the Executive Committee Members of  
Capital Area Council of Governments

### ***Auditor's Responsibilities for the Audit of Compliance***

Our objectives are to obtain reasonable assurance about whether material noncompliance with the compliance requirements referred to above occurred, whether due to fraud or error, and express an opinion on CAPCOG's compliance based on our audit. Reasonable assurance is a high level of assurance but is not absolute assurance and therefore is not a guarantee that an audit conducted in accordance with GAAS, *Government Auditing Standards*, the Uniform Guidance, and the Texas Grant Management Standards will always detect material noncompliance when it exists. The risk of not detecting material noncompliance resulting from fraud is higher than for that resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control. Noncompliance with the compliance requirements referred to above is considered material, if there is a substantial likelihood that, individually or in the aggregate, it would influence the judgment made by a reasonable user of the report on compliance about compliance with the requirements of each major federal and state program as a whole.

In performing an audit in accordance with GAAS, *Government Auditing Standards*, the Uniform Guidance, and the Texas Grant Management Standards, we

- Exercise professional judgment and maintain professional skepticism throughout the audit.
- Identify and assess the risks of material noncompliance, whether due to fraud or error, and design and perform audit procedures responsive to those risks. Such procedures include examining, on a test basis, evidence regarding CAPCOG's compliance with the compliance requirements referred to above and performing such other procedures as we considered necessary in the circumstances.
- Obtain an understanding of CAPCOG's internal control over compliance relevant to the audit in order to design audit procedures that are appropriate in the circumstances and to test and report on internal control over compliance in accordance with the Uniform Guidance and the Texas Grant Management Standards, but not for the purpose of expressing an opinion on the effectiveness of CAPCOG's internal control over compliance. Accordingly, no such opinion is expressed.

We are required to communicate with those charged with governance regarding, among other matters, the planned scope and timing of the audit and any significant deficiencies and material weaknesses in internal control over compliance that we identified during the audit.

### **Report on Internal Control Over Compliance**

*A deficiency in internal control over compliance* exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a federal and state program on a timely basis. A *material weakness in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal and state program will not be prevented, or detected and corrected, on a timely basis. A *significant deficiency in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance with a type of compliance requirement of a federal and state program that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance.

Our consideration of internal control over compliance was for the limited purpose described in the Auditor's Responsibilities for the Audit of Compliance section above and was not designed to identify all deficiencies in internal control over compliance that might be material weaknesses or significant deficiencies in internal control over compliance. Given these limitations, during our audit we did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses, as defined above. However, material weaknesses or significant deficiencies in internal control over compliance may exist that were not identified.

To the Executive Committee Members of  
Capital Area Council of Governments

Our audit was not designed for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, no such opinion is expressed.

The purpose of this report on internal control over compliance is solely to describe the scope of our testing of internal control over compliance and the results of that testing based on the requirements of the Uniform Guidance and the Texas Grant Management Standards. Accordingly, this report is not suitable for any other purpose.

Austin Texas  
April 22, 2024

**CAPITAL AREA COUNCIL OF GOVERNMENTS**  
**SCHEDULE OF FINDINGS AND QUESTIONED COSTS**  
**For the Year Ended September 30, 2023**

**I. Summary of Auditors' Results**

**Financial Statements**

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Type of auditors' report issued:	Unmodified
Internal control over financial reporting:	
Material weakness (es) identified?	No
Significant deficiencies identified that are not considered to be material weaknesses?	None reported
Noncompliance material to financial statements noted?	No

**Federal Awards**

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Internal control over major programs:	
Material weakness (es) identified?	No
Significant deficiencies identified that are not considered to be material weaknesses?	None reported
Type of auditors' report issued on compliance with major programs:	Unmodified
Any audit findings disclosed that are required to be reported in accordance with 2 CFR 200.516 (a)?	None

**Identification of major programs:**

<u>Name of Federal Program or Cluster</u>	<u>Assistance Listing Number (ALN)</u>
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**US Department of Health and Human Services**

**Aging Cluster:**

<i>Title III, Part B Grants for Supportive Services and Senior Centers ARP</i>	93.044
<i>Title III, Part B Grants for Supportive Services and Senior Centers FY 2022</i>	93.044
<i>Title III, Part C, Subpart C1 Congregate Meals ARP</i>	93.045
<i>Title III, Part C, Subpart C1 Congregate Meals FY 2021</i>	93.045
<i>Title III, Part C, Subpart C1 Congregate Meals FY 2022</i>	93.045
<i>Title III, Part C, Subpart C1 Congregate Meals FY 2023</i>	93.045
<i>Title III, Part C, Subpart C2 Home-Delivered Meals ARP</i>	93.045
<i>Title III, Part C, Subpart C2 Home-Delivered Meals FY 2022</i>	93.045
<i>Title III, Part C, Subpart C2 Home-Delivered Meals FY 2023</i>	93.045
<i>Nutrition Services Incentive Program FY 2022</i>	93.053

Dollar Threshold Considered Between Type A and Type B Federal Programs	\$750,000
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Auditee qualified as low risk auditee?	Yes
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**CAPITAL AREA COUNCIL OF GOVERNMENTS**  
**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS**  
**For the Year Ended September 30, 2023**

Grantor/Pass-through Grantor/Program Title	Federal Assistance Listing Number	Pass Through Grant/Contract Number	Expenditures	Pass Through to Subrecipients
<b>U.S. Department of Health and Human Services</b>				
<b>Pass-through Texas Health and Human Services Commission:</b>				
<i>Title III, Part B Grants for Supportive Services and Senior Centers ARP</i>	93.044	2101TXSSC6	\$ 435,382	\$ 183,780
<i>Title III, Part B Grants for Supportive Services and Senior Centers FY 2022</i>	93.044	2201TXOASS	1,638,648	35,302
<i>Title III, Part C, Subpart C1 Congregate Meals ARP</i>	93.045	2101TXCMC6	347,424	330,268
<i>Title III, Part C, Subpart C1 Congregate Meals FY 2021</i>	93.045	2101TXOACM	3,389	3,389
<i>Title III, Part C, Subpart C1 Congregate Meals FY 2022</i>	93.045	2201TXOACM	1,117,124	1,101,840
<i>Title III, Part C, Subpart C1 Congregate Meals FY 2023</i>	93.045	2301TXOACM	167,409	-
<i>Title III, Part C, Subpart C2 Home-Delivered Meals ARP</i>	93.045	2101TXHDC6	195,452	174,795
<i>Title III, Part C, Subpart C2 Home-Delivered Meals FY 2022</i>	93.045	2201TXOAHM	1,650,837	1,650,837
<i>Title III, Part C, Subpart C2 Home-Delivered Meals FY 2023</i>	93.045	2301TXOAHM	24,397	-
<i>Nutrition Services Incentive Program FY 2022</i>	93.053	2201TXOANS	488,951	488,951
<i>Total Aging Cluster (ALN 93.044, 93.045, 93.053)</i>			<u>6,069,013</u>	<u>3,969,162</u>
<i>Title III, Part D Disease Prevention and Health Promotion Services ARP</i>	93.043	2101TXPHC6	26,079	-
<i>Title III, Part D Disease Prevention and Health Promotion Services FY 2023</i>	93.043	2201TXOAPH	107,059	-
<i>Total ALN 93.043</i>			<u>133,138</u>	<u>-</u>
<i>Title III, Part E National Family Caregiver Support ARP</i>	93.052	2101TXFCC6	180,207	-
<i>Title III, Part E National Family Caregiver Support FY 2022</i>	93.052	2201TXO AFC	749,639	91,916
<i>Title III, Part E National Family Caregiver Support FY 2023</i>	93.052	2301TXO AFC	3,470	-
<i>Total ALN 93.052</i>			<u>933,316</u>	<u>91,916</u>
<i>Title VII, Elder Abuse Prevention FY 2023</i>	93.041	2301TXOAEA	18,132	-
<i>Title VII, Long-Term Care Ombudsman ARP</i>	93.042	2101TXOMC6	10,203	-
<i>Title VII, Long-Term Care Ombudsman FY 2023</i>	93.042	2301TXO AOM	74,505	-
<i>Total ALN 93.042</i>			<u>84,708</u>	<u>-</u>
<i>Health Insurance Counseling Advocacy Program 4/1/2022 - 3/31/2023</i>	93.324	90SAPG0095-03	56,982	-
<i>Health Insurance Counseling Advocacy Program 4/1/2023 - 3/31/2024</i>	93.324	90SAPG0095-04	100,061	-
<i>Total ALN 93.324</i>			<u>157,043</u>	<u>-</u>
<i>Medicare Improvements for Patients and Providers Act Priority Area 2 FY 2021</i>	93.071	2101TXMIAA	2,023	-
<i>Medicare Improvements for Patients and Providers Act Priority Area 2 FY 2022</i>	93.071	2201TXMIAA	31,366	-
<i>Medicare Improvements for Patients and Providers Act Priority Area 3 FY 2021</i>	93.071	2101TXMIDR	4,789	-
<i>Medicare Improvements for Patients and Providers Act Priority Area 3 FY 2022</i>	93.071	2201TXMIDR	4,741	-
<i>Total ALN 93.071</i>			<u>42,919</u>	<u>-</u>
<i>ARP Elder Abuse Prevention</i>	93.747	2201TXLOC6	10,207	-
<i>Money Follows the Person-Housing Navigator</i>	93.791	1UCMS300151-01-22	13,511	-
<i>Money Follows the Person-Local Contact Agency</i>	93.791	1UCMS300151-01-22	7,765	-
<i>Total ALN 93.791</i>			<u>21,276</u>	<u>-</u>
<i>ADRC Respite RITE</i>	93.072	90LRLI0036-03	6,287	-
<i>No Wrong Door/COVID-19 Vaccine Access</i>	93.048	90NWC50021-01-02	2,700	-
<b>Total U.S. Department of Health and Human Services</b>			<u><b>7,478,739</b></u>	<u><b>4,061,078</b></u>

**CAPITAL AREA COUNCIL OF GOVERNMENTS**  
**SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS**  
**For the Year Ended September 30, 2023**

Grantor/Pass-through Grantor/Program Title	Federal Assistance Listing Number	Pass Through Grant/Contract Number	Expenditures	Pass Through to Subrecipients
<b>U.S. Department of Commerce</b>				
<b>Direct Award:</b>				
<i>Economic Development District Planning Grant</i>	11.302	ED21AUS3020011	\$ 153,985	\$ -
<i>C19 Economic Disaster Recovery Support for Texas Capital Area Total Economic Development Cluster (ALN 11.307)</i>	11.307	ED20AUS3070077	26,817	-
			<u>26,817</u>	<u>-</u>
<b>Total U.S. Department of Commerce</b>			<b>180,802</b>	<b>-</b>
<b>U.S. Department of Homeland Security</b>				
<b>Pass-through Office of the Governor - Homeland Security Grant Division:</b>				
<i>2022 State Homeland Security Program Regional Planning</i>	97.067	2956908	294,355	-
<i>2023 State Homeland Security Program Regional Planning</i>	97.067	2956909	19,015	-
<i>2022 State Homeland Security Program Training &amp; Exercise</i>	97.067	2956806	59,343	-
<i>2023 State Homeland Security Program Training &amp; Exercise</i>	97.067	2956807	80,603	-
<i>2023 State Homeland Security Program Tier II Reporting</i>	97.067	3757804	23,500	-
<i>HS Regional Cyber Resiliency</i>	97.067	4397101	55,964	-
<i>Total ALN 97.067</i>			<u>532,780</u>	<u>-</u>
<b>Total U.S. Department of Homeland Security</b>			<b>532,780</b>	<b>-</b>
<b>U.S. Department of Justice</b>				
<b>Pass-through Office of the Governor:</b>				
<i>Coronavirus Emergency Supplemental FY21 Critical Regional Data Coordination COVID-19</i>	16.034	4353001	113,396	-
<b>Total U.S. Department of Justice</b>			<b>113,396</b>	<b>-</b>
<b>U.S. Department of Housing and Urban Development</b>				
<b>Pass-through Texas General Land Office:</b>				
<i>Community Development Block Grant Mitigation Program</i>	14.219	Federal Award B-18-DP-48-002 GLO Contract No. 22-061-003-D159	14,568	-
<b>Total U.S. Department of Housing and Urban Development</b>			<b>14,568</b>	<b>-</b>
<b>U.S. Environmental Protection Agency</b>				
<b>Direct Award:</b>				
<i>Continuous PM 2.5 Monitoring</i>	66.034	Grant Number (FAIN)02F29801	2,924	-
<i>Speciated PM 2.5 Monitoring</i>	66.034	Grant Number (FAIN)02F29401	2,950	-
<i>Total ALN 66.034</i>			<u>5,874</u>	<u>-</u>
<b>Total U.S. Environmental Protection Agency</b>			<b>5,874</b>	<b>-</b>
<b>Total Expenditures of Federal Awards</b>			<b>\$ 8,326,159</b>	<b>\$ 4,061,078</b>

**CAPITAL AREA COUNCIL OF GOVERNMENTS**  
**SCHEDULE OF EXPENDITURES OF STATE AWARDS**  
**For the Year Ended September 30, 2023**

Grantor/Pass-through Grantor/Program Title	Grant/Contract Number	Expenditures	Pass Through to Subrecipients
<b>Texas Health and Human Services Commission</b>			
<i>State General Revenue</i>	HHS000874100005	\$ 244,895	\$ -
<i>State General Revenue - OMB ALF Services</i>	HHS000874100005	124,460	-
<i>State General Revenue - American Rescue Plan</i>	HHS000874100005	55,252	-
<i>State General Revenue - ADRC Respite</i>	HHS000270200014	21,778	-
<i>State General Revenue - ADRC Promoting Independence</i>	HHS000270200014	10,267	-
<i>State General Revenue - ADRC Operations</i>	HHS000270200014	201,260	-
<i>State General Revenue - ADRC RITE</i>	HHS000270200014	1,715	-
<b>Total Texas Health and Human Services Commission</b>		<b><u>659,627</u></b>	<b><u>-</u></b>
<b>Office of the Governor Criminal Justice Division</b>			
<i>Regional Law Enforcement Academy 9/1/21-8/31/23</i>	1544417	259,443	-
<i>Regional Law Enforcement Academy 9/1/23-8/31/25</i>	1544418	40,915	-
<b>Total Office of the Governor Criminal Justice Division</b>		<b><u>300,358</u></b>	<b><u>-</u></b>
<b>Texas Commission on Environmental Quality</b>			
<i>Regional Solid Waste Management Program 9/1/21-8/31/23</i>	582-22-30110	546,754	-
<i>Regional Solid Waste Management Program 9/1/23-8/31/25</i>	582-24-50081	12,889	-
<i>Rider 7 Local Air Quality Planning Amendment 1- 22-23</i>	582-20-11982	448,409	-
<b>Total Texas Commission on Environmental Quality</b>		<b><u>1,008,052</u></b>	<b><u>-</u></b>
<b>Total Expenditures of State Awards</b>		<b><u>\$ 1,968,037</u></b>	<b><u>\$ -</u></b>



# CAPITAL AREA COUNCIL OF GOVERNMENTS

## NOTES TO SCHEDULES OF EXPENDITURES OF FEDERAL AND STATE AWARDS

For the Year Ended September 30, 2023

### 1. Basis of Presentation

The accompanying schedules of expenditures of federal and state awards (the "Schedules") includes the federal and state grant activity of CAPCOG under programs of the federal and state government for the year ended September 30, 2023. The information in these Schedules is presented in accordance with the requirements of Office of Management and Budget (OMB) Uniform Guidance and the Texas Grant Management Standards. Because these Schedules present only a selected portion of the operations of CAPCOG, they are not intended to and do not present the financial position and changes in net position of CAPCOG.

### 2. Summary of Significant Accounting Policies

CAPCOG accounts for all federal and state awards under programs of the federal and state governments in special revenue funds. These programs are accounted for using a current financial resources measurement focus. With this measurement focus, only current assets and current liabilities are generally included on the balance sheet. Operating statements of these funds present increases (i.e. revenues and other financing sources) and decreases (i.e. expenditures and other financing uses) in net current assets.

Expenditures reported on this schedule are reported on the modified accrual basis of accounting. Such expenditures are recognized following the cost principles contained in Title 2 U.S. *Code of Federal Regulations* Part 200, *Uniform Administrative Requirements, Cost Principles, and Audit Requirement for Federal Awards* (Uniform Guidance). This basis of accounting recognizes revenues in the accounting period in which they become susceptible to accrual, i.e. both measurable and available, and expenditures in the accounting period in which the liability is incurred, if measurable, except for certain compensated absences and claims and judgments, which are recognized when the obligations are expected to be liquidated with expendable available financial resources.

Federal and state grant funds for governmental funds are considered to be earned to the extent of expenditures made under the provisions of the grant. When such funds are advanced to CAPCOG, they are recorded as unearned revenues until earned. Otherwise, federal and state grant funds are received on a reimbursement basis from the respective federal or state program agencies. Generally, unused balances are returned to the grantor at the close of specified project periods. CAPCOG has elected not to use the 10 percent de minimis indirect cost rate allowed under the Uniform Guidance.

## **CAPITAL AREA COUNCIL OF GOVERNMENTS**

### ***SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS***

***For the Year Ended September 30, 2023***

Federal regulations, Title 2 U.S. Code of Federal Regulations Section 200.511 states, "The auditee is responsible for follow-up and corrective action on all audit findings. As part of this responsibility, the auditee must prepare a summary schedule of prior audit findings." The summary schedule of prior audit findings must report the status of the following:

- All audit findings included in the prior audit's schedule of findings and questioned costs and
- All audit findings reported in the prior audit's summary schedule of prior audit findings except audit findings listed as corrected.

#### **I. Prior Audit Findings**

None Noted

**CAPITAL AREA COUNCIL OF GOVERNMENTS**

***CORRECTIVE ACTION PLAN***

***For the Year Ended September 30, 2023***

Federal regulations, Title 2 U.S. Code of Federal Regulations §200.511 states, "At the completion of the audit, the auditee must prepare, in a document separate from the auditor's findings described in §200.516 Audit findings, a corrective action plan to address each audit finding included in the current year auditor's reports."

**I. Corrective Action Plan**

Not Applicable



## EXECUTIVE COMMITTEE MEETING

**MEETING DATE:** May 8, 2024

**AGENDA ITEM:** 4. Consider Accepting the Quarterly Investment Report

**GENERAL DESCRIPTION OF ITEM:**

The Public Funds Investment Act and the CAPCOG Investment Policy require that a quarterly investment report be prepared for the Executive Committee. This report is for the 2nd quarter ending March 31, 2024. All of CAPCOG's investments are in the Texas Local Government Investment Pool (TexPool).

The beginning and ending balances for each fund reflect the transactions supporting the operating needs of the organization and not the performance of the investments. CAPCOG's use of TexPool as our investment pool is to provide ready access to idle funds for operating purposes while still earning a market interest rate for short-term investments.

During the quarter the market interest rate earned was approximately 5.44%.

Total interest earnings for the quarter were \$421,061.44.

**THIS ITEM REPRESENTS A:**

- New issue, project, or purchase
- Routine, regularly scheduled item
- Follow-up to a previously discussed item
- Special item requested by board member
- Other

**PRIMARY CONTACT/STAFF MEMBER:** **Silvia Alvarado, Director of Finance**

**BUDGETARY IMPACT:**

Total estimated cost: N/A

Source of Funds: N/A

Is item already included in fiscal year budget?  Yes  No

Does item represent a new expenditure?  Yes  No

Does item represent a pass-through purchase?  Yes  No

If so, for what city/county/etc.? \_\_\_\_\_

**PROCUREMENT:**

N/A

**ACTION REQUESTED:**

Accept the Quarterly Investment Report

**BACK-UP DOCUMENTS ATTACHED:**

1. Quarterly Investment Report (5 pages)

**BACK-UP DOCUMENTS NOT ATTACHED** (to be sent prior to meeting or will be a handout at the meeting):

None



# Capital Area Council of Governments

## Quarterly Investment Report Summary January 1, 2024 to March 31, 2024

All Investments are held in the Texas Local Government Investment Pool (TexPool)

	<u>Book Value Beginning</u>	<u>Book Value Ending</u>	<u>Market Value Beginning</u>	<u>Market Value Ending</u>	<u>Interest Earnings</u>
General Fund - Operating Fund	1,498,309.39	2,596,715.01	1,498,309.39	2,596,715.01	22,706.95
Solid Waste - TCEQ Grant Advances	4.42	4.42	4.42	4.42	0.00
Air Quality - Local Funds	61,694.33	62,517.64	61,694.33	62,517.64	823.31
CAECD 911 Funds	29,483,904.36	27,894,054.33	29,483,904.36	27,894,054.33	397,531.18
<b>Total All Investments</b>	<b>31,043,912.50</b>	<b>30,553,291.40</b>	<b>31,043,912.50</b>	<b>30,553,291.40</b>	<b>421,061.44</b>

Average Daily Balance 30,956,429.54

Average Yield 5.441%

At March 31, 2024:

TexPool/Prime Average Weighted Average Maturity	38 Days	63 days
TexPool/Prime Average Daily Net Yield	5.32%	5.49%
6-Month US Treasury Bill Rate	5.33%	

TexPool's S&P Global Pool Rating is AAAm. The AAAm is the highest principal stability fund rating assigned by S&P Global.

A fund rated AAAm demonstrates extremely strong capacity to maintain principal stability and to limit exposure to principal losses due to credit risk.

Book Value Equals Market Value because TexPool seeks to maintain a \$1.00 value per share as required by the Texas Public Funds Investment Act.

This quarterly report has been prepared in compliance with the investment policy and strategy as established for the Capital Area Council of Governments and the Public Funds Investment Act (Chapter 2256, Government Code).

Prepared by:

*Silvia Alvarado*

Silvia Alvarado  
Director of Finance  
Investment Officer

CAPITAL AREA COUNCIL OF GOVERNMENTS  
**General Fund**  
 Quarterly Investment Report  
 January 1, 2024 to March 31, 2024

<b><i>TexPool Investments</i></b>	<u>Interest</u>	<u>Net Transactions</u>	<u>Account Balance</u>
Beginning Balance			1,498,309.39
January		(177,534.73)	1,320,774.66
Monthly interest	908.97		1,321,683.63
Monthly interest - Prime	5,422.63		1,327,106.26
February		678,299.44	2,005,405.70
Monthly interest	1,578.60		2,006,984.30
Monthly interest - Prime	4,313.90		2,011,298.20
March		574,933.96	2,586,232.16
Monthly interest	3,009.62		2,589,241.78
Monthly interest - Prime	7,473.23		2,596,715.01
Activity for the Quarter	22,706.95	1,075,698.67	
Ending Balance			2,596,715.01

Average Daily Balance	January	1,330,924.03
	February	1,374,088.72
	March	2,280,367.20
Average Daily Balance for the Quarter		1,661,793.32
Average Annual Interest Rate		5.466%

CAPITAL AREA COUNCIL OF GOVERNMENTS  
**Solid Waste**  
 Quarterly Investment Report  
 January 1, 2024 to March 31, 2024

<b>TexPool Investments</b>	<u>Interest</u>	<u>Net Transactions</u>	<u>Account Balance</u>
Beginning Balance			4.42
January			4.42
Monthly interest	0.00		4.42
February		0.00	4.42
Monthly interest	0.00		4.42
December		0.00	4.42
Monthly interest	0.00		4.42
Activity for the Quarter	0.00	0.00	
Ending Balance			4.42

Average Daily Balance	January	4.42
	February	4.42
	December	4.42
Average Daily Balance for the Quarter		4.42
Average Annual Interest Rate		0.000%



CAPITAL AREA COUNCIL OF GOVERNMENTS  
**Air Quality**  
 Quarterly Investment Report  
 January 1, 2024 to March 31, 2024

<b>TexPool Investments</b>	<u>Interest</u>	<u>Net Transactions</u>	<u>Account Balance</u>
Beginning Balance			61,694.33
January		0.00	61,694.33
Monthly interest	280.07		61,974.40
February		0.00	61,974.40
Monthly interest	262.21		62,236.61
March		0.00	62,236.61
Monthly interest	281.03		62,517.64
Activity for the Quarter	823.31	0.00	
Ending Balance			62,517.64

Average Daily Balance	January	61,703.36
	February	61,983.44
	March	62,272.87
Average Daily Balance for the Quarter		61,986.56
Average Annual Interest Rate		5.313%

CAPITAL AREA COUNCIL OF GOVERNMENTS  
**CAECD 911 Fund**  
 Quarterly Investment Report  
 January 1, 2024 to March 31, 2024

<b>TexPool Investments</b>	<u>Interest</u>	<u>Net Transactions</u>	<u>Account Balance</u>
Beginning Balance			29,483,904.36
January		(247,465.27)	29,236,439.09
Monthly interest	44,079.67		29,280,518.76
Monthly Interest - Prime	92,877.08		29,373,395.84
February		(264,981.98)	29,108,413.86
Monthly interest	40,411.01		29,148,824.87
Monthly interest - Prime	86,599.74		29,235,424.61
March		(1,474,933.96)	27,760,490.65
Monthly interest	40,835.14		27,801,325.79
Monthly interest - Prime	92,728.54		27,894,054.33
Activity for the Quarter	397,531.18	(1,987,381.21)	
Ending Balance			27,894,054.33

Average Daily Balance	January	29,424,460.31
	February	29,359,500.90
	March	28,913,974.52
Average Daily Balance for the Quarter		29,232,645.24
Average Annual Interest Rate		5.440%

## EXECUTIVE COMMITTEE MEETING

**MEETING DATE:** May 8, 2024

**AGENDA ITEM:** 5. Consider Reviewing the CAPCOG Investment Policy

### GENERAL DESCRIPTION OF ITEM:

The Public Funds Investment Act (PFIA) requires the CAPCOG's Executive Committee to review the investment policy annually. It is the policy of CAPCOG to invest public funds in a manner which will provide the highest investment return with the maximum security while meeting the daily cash flow demands of CAPCOG and conforming to state statutes governing the investment of public funds. Since there were no amendments to the PFIA, Chapter 2256, of Texas Government code during the last regular or special legislative sessions that ended December 5, 2023, there are no changes proposed based on a review of CAPCOG'S investment policy as adopted August 12, 2020.

### THIS ITEM REPRESENTS A:

- New issue, project, or purchase
- Routine, regularly scheduled item
- Follow-up to a previously discussed item
- Special item requested by board member
- Other

**PRIMARY CONTACT/STAFF MEMBER:** **Silvia Alvarado, Director of Finance**

### BUDGETARY IMPACT:

Total estimated cost: N/A

Source of Funds: \_\_\_\_\_

Is item already included in fiscal year budget?  Yes  No

Does item represent a new expenditure?  Yes  No

Does item represent a pass-through purchase?  Yes  No

If so, for what city/county/etc.? \_\_\_\_\_

**PROCUREMENT:** N/A

### ACTION REQUESTED:

Review the CAPCOG Investment Policy.

### BACK-UP DOCUMENTS ATTACHED:

CAPCOG Investment Policy approved at the August 12, 2020, board meeting.

**BACK-UP DOCUMENTS NOT ATTACHED** (to be sent prior to meeting or will be a handout at the meeting):

None.

## **CAPITAL AREA COUNCIL OF GOVERNMENTS Investment Policy**

### **1. Policy**

It is the policy of the Capital Area Council of Governments (CAPCOG) to invest public funds in a manner which will provide the highest investment return with the maximum security while meeting the daily cash flow demands of CAPCOG and conforming to all state and federal statutes governing the investment of public funds.

### **2. Scope**

This investment policy applies to all financial assets of CAPCOG. These funds are accounted for in CAPCOG's Annual Financial Report and include General Funds, Special Revenue Funds and any new fund created by the Executive Committee, unless specifically exempted.

### **3. Prudence**

Investments shall be made with judgment and care--under circumstances then prevailing--which persons of prudence, discretion and intelligence exercise in the management of their own affairs, not for speculation, but for investment considering the probable safety of their capital as well as the probable income to be derived.

The standard of prudence to be used by investment officials shall be the "prudent person" standard and shall be applied in the context of managing an overall portfolio. Investment officers acting in accordance with written procedures and the investment policy and exercising due diligence shall be relieved of personal responsibility for an individual security's credit risk or market price changes, provided deviations from expectations are reported in a timely fashion and appropriate action is taken to control adverse developments.

### **4. Training and Education**

In accordance with the Public Funds Investment Act (Chapter 2256, Texas Government Code), the designated Investment Officer, or those personnel authorized to execute investment transactions, must attend periodic investment training. CAPCOG shall provide for periodic training in investments for investment personnel in order to ensure the quality and capability of CAPCOG investment officers in making investment decisions.

State law requires that training relating to investment responsibilities must be provided by an independent source. Approved sources are:

- The Texas Municipal League
- Texas State University
- The University of North Texas Center for Public Management
- The Government Finance Officers Association of Texas.

Personnel authorized to execute or approve investment transactions must receive at least 10 hours of investment training within each two-year period. Newly appointed investment officers must attain at least 10 hours of instruction relating to the officer's responsibility under the Act within 12 months after assuming investment duties.

## **5. Objectives**

The primary objectives, in priority order, of CAPCOG's investment activities shall be:

- **Safety:** Safety of principal is the foremost objective of the investment program. Investments of CAPCOG shall be undertaken in a manner that seeks to ensure the preservation of capital in the overall portfolio. To attain this objective, diversification is required in order that potential losses on individual securities do not exceed the income generated from the remainder of the portfolio.
- **Liquidity:** CAPCOG's investment portfolio will remain sufficiently liquid to enable CAPCOG to meet all operating requirements which might be reasonably anticipated.
- **Return on Investments:** CAPCOG's investment portfolio shall be designed with the objective of attaining a rate of return throughout budgetary and economic cycles, commensurate with CAPCOG's investment risk constraints and the cash flow characteristics of the portfolio.

## **6. Delegation of Authority**

Authority to manage CAPCOG's investment program is derived from the Public Funds Investment Act of 1987, as amended. Finance Director is authorized to act as Investment Officer, working under the direction of the Executive Director. Management responsibility for the investment program is hereby delegated to the Finance Director, who shall establish written procedures for the operation of the investment program consistent with this investment policy. Procedures should include reference to: safekeeping, PSA repurchase agreements, wire transfer agreements, banking service contracts and collateral/depository agreements. Such procedures shall include explicit delegation of authority to persons responsible for investment transactions. No person may engage in an investment transaction except as provided under the terms of this policy and the procedures established by the Finance Director. The Finance Director shall be responsible for all transactions undertaken and shall establish a system of controls to regulate the activities of subordinate officials.

## **7. Ethics and Conflicts of Interest**

Officers and employees involved in the investment process shall refrain from personal business activity that could conflict with proper execution of the investment program, or which could impair their ability to make impartial investment decisions. Employees and investment officials shall disclose to the Executive Director any material financial interests in financial institutions that conduct business within this jurisdiction, and they shall further disclose any large personal financial/investment positions that could be related to the performance of CAPCOG, particularly with regard to the time of purchases and sales.

An investment officer shall file a disclosure statement with the Texas Ethics Commission and the Executive Committee if:

- The officer has a personal business relationship with a business organization offering to engage in an investment transaction with the entity shall file a statement disclosing that personal business interest.

- The officer is related within the second degree by affinity or consanguinity, as determined under Chapter 573, to an individual seeking to sell an investment to the investment officer's entity shall file a statement disclosing that relationship.

#### **8. Authorized Financial Dealers and Institutions**

The Finance Director will maintain a list of financial institutions authorized to provide investment services. In addition, a list will also be maintained of approved security broker/dealers selected by credit worthiness who are authorized to provide investment services in the State of Texas. These may include "primary" dealers or regional dealers that qualify under Securities & Exchange Commission Rule 15C3-1 (uniform net capital rule). No public deposit shall be made except in a qualified public depository as established by state laws.

All financial institutions and broker/dealers who desire to become qualified bidders for investment transactions must supply the Finance Director with the following: audited financial statements, proof of National Association of Security Dealers certification, trading resolution, proof of state registration, and completed broker/dealer questionnaire.

An annual review of the financial condition and registrations of qualified bidders will be conducted by the Finance Director.

A current audited financial statement is required to be on file for each financial institution and broker/dealer in which CAPCOG invests.

Investments shall only be made with those business organizations that have provided a written instruments executive by a qualified representative acknowledging that the business organization has:

- a. Received and reviewed CAPCOG's investment Policy; and
- b. Implemented reasonable procedures and controls in an effort to preclude investment transaction conducted between CAPCOG and the organization that are not authorized by this Investment Policy.

#### **9. Authorized & Suitable Investments**

CAPCOG is authorized to invest in the following types of securities, within the restrictions of the Public Funds Investment Act. CAPCOG is not required to liquidate investments that were authorized investments at the time of purchase:

- U.S. Government Obligations
- State of Texas Direct Obligations
- Certificates of Deposit
- Collateralized Mortgage Obligations (CMOs)
- Commercial Paper
- Repurchase Agreements
- Investment Pools

Only those investments listed in this section are authorized.

#### **10. Collateralization**

CAPCOG requires that all uninsured collected balances plus accrued interest, if any, in depository accounts be secure in accordance with the requirements of Title 10. General Government, Subtitle F. State and Local Contracts and Fund Management, Chapter 2257 Collateral for Public Funds. In order to anticipate market changes and provide a level of security for all funds, the collateralization level will be 102% of market value of principal and accrued interest.

Collateral will always be held by an independent third party with whom CAPCOG has a current custodial agreement. A clearly marked evidence of ownership report must be available to CAPCOG and retained. The right of collateral substitution is granted, if approved by CAPCOG.

#### **11. Safekeeping and Custody**

All security transactions, including collateral for repurchase agreements, entered into by CAPCOG shall be conducted on a delivery-versus-payment (DVP) basis. Securities will be held by a third party custodian designated by the Finance Director and evidenced by safekeeping receipts

#### **12. Diversification**

CAPCOG will diversify its investments by security type and institution. With the exception of U.S. Treasury securities and authorized pools, no more than 50% of CAPCOG's total investment portfolio will be invested in a single security type or with a single financial institution.

#### **13. Maximum Maturities**

To the extent possible, CAPCOG will attempt to match its investments with anticipated cash flow requirements. Unless matched to a specific cash flow, CAPCOG will not directly invest operating funds in securities maturing more than two years from the date of purchase.

Reserve funds may be invested in securities exceeding three years if the maturity of such investments are made to coincide as nearly as practicable with the expected use of the funds.

#### **14. Internal Control**

The Finance Director is charged with the responsibility of including a market report on investment activity and returns for independent review by an external auditor. This review must include a compliance audit of management controls on investment and adherence to the investment policy.

#### **15. Performance Standards**

The investment portfolio shall be designed with the objective of obtaining a rate of return throughout budgetary and economic cycles, commensurate with the investment risk constraints and the cash flow needs.

Investment performance is continually monitored and evaluated by the Finance Director. The Finance Director shall monitor, on no less than a monthly basis, the credit rating on investments in the portfolio requiring a rating based upon information from a nationally recognized rating agency. If any security falls below the minimum rating required by Policy, the Investment Officer shall immediately sell the security, if possible, regardless of a loss of principal.

Market Yield (Benchmark): The basis used to determine whether market yields are being achieved shall be the six-month U.S. Treasury Bill.

The Finance Director shall determine the market value of each investment at least quarterly and at a time as close as practicable to the closing of the reporting period for investments. Such values shall be included on the quarterly investment reports. The following methods shall be used:

1. Certificates of deposits shall be valued at their face value plus any accrued but unpaid interest.
2. Shares in money market mutual funds and investment pools shall be valued at par plus any accrued but unpaid interest.
3. Other investment securities may be valued in any of the following ways:
  - a. the lower of two bids obtained from securities broker/dealers for such security;
  - b. the average of the bid and asked prices for such investment security as published in the Wall Street Journal; or
  - c. the bid price published by any nationally recognized security pricing service.

#### **16. Reporting**

The Finance Director will prepare and deliver a report on investment activity and returns to CAPCOG's Executive Committee on a quarterly basis. Reports will include performance, market sector breakdown number of trades, weighted average maturity, and interest earnings. Reports will comply with the provisions of the Public Funds Investment Act, as amended.

Quarterly reports shall be reviewed by an independent auditor during the audit of CAPCOG's Annual Financial Report.

#### **17. Investment Policy Adoption and Review**

CAPCOG's investment policy shall be adopted by CAPCOG's Executive Committee. The Executive Committee shall review the policy annually and any modifications made thereto must be approved by the Executive Committee.



## **Glossary**

**AGENCIES:** Federal agency securities.

**ASKED:** The price at which securities are offered.

**(BANKERS' ACCEPTANCE (BA):** A draft or bill of exchange accepted by a bank or trust company. The accepting institution guarantees payment of the bill as well as the issuer.

**BID:** The price offered by a buyer of securities. (When you are selling securities, you ask for a bid.) See Offer.

**BROKER:** A broker brings buyers and sellers together for a commission.

**BUSINESS ORGANIZATION:** Investment pool or an investment management firm under contract to manage the entity's portfolio with discretionary authority.

**CERTIFICATE OF DEPOSIT (CD):**

A time deposit with a specific maturity evidenced by a certificate. Large-denomination CD's are typically negotiable.

**COLLATERAL:** Securities, evidence of deposit or other property which a borrower pledges to secure repayment of a loan. Also refers to securities pledged by a bank to secure deposits of public monies.

**COUPON:** (a) The annual rate of interest that a bond's issuer promises to pay the bondholder on the bond's face value. (b) A certificate attached to a bond evidencing interest due on payment date.

**DEALER:** A dealer, as opposed to a broker, acts as a principal in all transactions, buying and selling for his own account.

**DEBENTURE:** A bond secured only by the general credit of the issuer.

**DELIVERY VERSUS PAYMENT:**

There are two methods of delivery of securities: delivery versus payment and delivery versus receipt. Delivery versus payment is delivery of securities with an exchange of money for the securities. Delivery versus receipt is delivery of securities with an exchange of a signed receipt for the securities.

**DISCOUNT:** The difference between the cost price of a security and its maturity when quoted at lower than face value. A security selling below original offering price shortly after sale also is considered to be at a discount.

**DISCOUNT SECURITIES:** Non-interest bearing money market instruments that are issued at a discount and redeemed at maturity for full face value, e.g. U.S. Treasury Bills.

**DIVERSIFICATION:** Dividing investment funds among a variety of securities offering independent returns.

**FEDERAL CREDIT AGENCIES:**

Agencies of the Federal government set up to supply credit to various classes of institutions and individuals, e.g., S&L's, small business firms, students, farmers, farm cooperatives, and exporters.

**FEDERAL DEPOSIT INSURANCE CORPORATION (FDIC):** A federal agency that insures bank deposits, currently up to \$250,000 per deposit.

**FEDERAL FUNDS RATE:** The rate of interest at which Fed funds are traded. This rate is currently pegged by the Federal Reserve through open-market operations.

**FEDERAL HOME LOAN BANKS (FHLB):** The institutions that regulate and lend to savings and loan associations. The Federal Home Loan Banks play a role analogous to that played by the Federal Reserve Banks vis-a-vis member commercial banks.

**FEDERAL NATIONAL MORTGAGE ASSOCIATION (FNMA):** FNMA, like GNMA was chartered under the Federal National Mortgage Association Act in 1938. FNMA is a federal corporation working under the auspices of the Department of Housing and Urban Development (HUD). It is the largest single provider of residential mortgage funds in the United States. Fannie Mae, as the corporation is called, is a private stockholder-owned corporation. The corporation's purchases include a variety of adjustable mortgages and second loans. In addition to fixed-rate mortgages. FNMA's securities are also highly liquid and are widely accepted. FNMA assumes and guarantees that all security holders will receive timely payment of principal and interest.

**FEDERAL OPEN MARKET COMMITTEE (FOMC):** Consists of seven members of the Federal Reserve Board and five of the twelve Federal Reserve Bank Presidents. The President of the New York Federal Reserve Bank is a permanent member, while the other Presidents serve on a rotating basis. The Committee periodically meets to set Federal Reserve guidelines regarding purchases and sales of Government Securities in the open market; as a means of influencing the volume of bank credit and money.

**FEDERAL RESERVE SYSTEM:** The central bank of the United States created by Congress and consisting of a seven member Board of Governors in Washington, D.C., 12 regional banks and about 5,700 commercial banks that are members of the system.

**GOVERNMENT NATIONAL MORTGAGE ASSOCIATION (GNMA or Ginnie Mae):** Securities influencing the volume of bank credit guaranteed by GNMA and issued by mortgage bankers, commercial banks, savings and loan associations, and other institutions. Security holder is protected by full faith and credit of the U.S. Government. Ginnie Mae securities are backed by the FHA, VA or FMHM mortgages. The term "passthroughs" is often used to describe Ginnie Maes.

**LIQUIDITY:** A liquid asset is one that can be converted easily and rapidly into cash without a substantial loss of value. In the money market, a security is said to be liquid if the spread between bid and asked prices is narrow and reasonable size can be done at those quotes.

**LOCAL GOVERNMENT INVESTMENT POOL (LGIP):** The aggregate of all funds from political subdivisions that are placed in the custody of the State Treasurer for investment and reinvestment.

**MARKET VALUE:** The price at which a security is trading and could presumably be purchased or sold.

**MASTER REPURCHASE AGREEMENT:** A written contract covering all future transactions between the parties to repurchase--reverse repurchase agreements that establishes each party's rights in the transactions. A master agreement will often specify, among other things, the right of the buyer-lender to liquidate that underlying securities in the event of default by the seller-borrower.

**MATURITY:** The date upon which the principal or stated value of an investment becomes due and payable.

**MONEY MARKET:** The market in which short-term debt instrument (bills, commercial paper, bankers acceptances, etc.) are issued and traded.

**OFFER:** The price asked by a seller of securities. (When you are buying securities, you ask for an offer.) See Asked and Bid.

**OPEN MARKET OPERATIONS:**

Purchases and sales of government and certain other securities in the open market by the New York Federal Reserve Bank as directed by the FOMC in order to influence the volume of money and credit in the economy. Purchases inject reserves into the bank system and stimulate growth of money and credit; sales have the opposite effect. Open market operations are the Federal Reserve's most important and most flexible monetary policy tool.

**PORTFOLIO:** Collection of securities held by an investor.

**PRIMARY DEALER:** A group of government securities dealers who submit daily reports of market activity and positions and monthly financial statements to the Federal Reserve Bank of New York and are subject to its informal oversight. Primary dealers include Securities and Exchange Commission (SEC) registered securities broker-dealers, banks, and a few unregulated firms.

**PRUDENT PERSON RULE:** An investment standard. In some states the law requires that a fiduciary, such as a trustee may invest money only in a list of securities selected by the custody state—the so-called legal list. In other states the trustee may invest in a security if it is one which would be bought by a prudent person of discretion and intelligence who is seeking a reasonable income and preservation of capital.

**QUALIFIED PUBLIC DEPOSITORIES:** A financial institution which does not claim exemption from the payment of any sales or compensating use or ad valorem taxes under the laws of this state, which has segregated for the benefit of the commission eligible collateral having a value of not less than its maximum liability and which has been approved by the Public Deposit Protection Commission to hold public deposits.

**RATE OF RETURN:** The yield obtainable on a security based on its purchase price or its current market price. This may be the amortized yield to maturity on a bond the current income return.

**REPURCHASE AGREEMENT (RP OR REPO):** A holder of securities sells these securities to an investor with an agreement to repurchase them at a fixed price on a fixed date. The security "buyer" in effect lends the "seller" money for the period of the agreement, and the terms of the agreement are structured to compensate him for this. Dealers use RP extensively to finance their positions. Exception: When the Fed is said to be doing RP, it is lending money, that is, increasing bank reserves.

**SAFEKEEPING:** A service to customers rendered by banks for a fee whereby securities and valuables of all types and descriptions are held in the bank's vaults for protection.

**SECONDARY MARKET:** A market made for the purchase and sale of outstanding issues following the initial distribution.

**SECURITIES & EXCHANGE COMMISSION:** Agency created by Congress to protect investors in securities transactions by administering securities legislation.

**SEC RULE 15C3-I:** See Uniform Net Capital Rule.

**TREASURY BILLS:** A non-interest bearing discount security issued by the U.S. Treasury to finance the national debt. Most bills are issued to mature in three months, six months, or one year.

**TREASURY BOND:** Long-term U.S. Treasury securities having initial maturities of more than 10 years.

**TREASURY NOTES:** A non-interest bearing discount security issued by the U.S. Treasury to finance the national debt. Most bills are issued to mature in three months, six months or one year.

**UNIFORM NET CAPITAL RULE:** Securities and Exchange Commission requirement that member firms as well as nonmember broker-dealers in securities maintain a maximum ratio of indebtedness to liquid capital of 15 to 1; also called net capital rule and net capital ratio.

Indebtedness covers all money owed to a firm, including margin loans and commitments to purchase securities, one reason new public issues are spread among members of underwriting syndicates. Liquid capital includes cash and assets easily converted into cash.

**YIELD:** The rate of annual income return on an investment, expressed as a percentage, (a) **INCOME YIELD** is obtained by dividing the current dollar income by the current market price for the security. (b) **NET YIELD** or **YIELD TO MATURITY** is the current income yield minus any premium above par or plus any discount from par in purchase price, with the adjustment spread over the period from the date of purchase to the date of maturity of the bond.

## EXECUTIVE COMMITTEE MEETING

MEETING DATE: May 8, 2023

AGENDA ITEM: 6. Consider Nominating Jennifer Scott, Director of Aging Programs to the City of Austin Commission on Seniors

### GENERAL DESCRIPTION OF ITEM:

The City of Austin has a designated seat on the Commission for Seniors to be filled by a representative of the Area Agency on Aging. The seat needs to be filled due to the retirement of the previous Director of Aging Programs in February 2024. Jennifer Scott is the returning Director of Aging Services, and she is requesting to be the Area Agency on Aging representative on the Commission for seniors. This is a two-year commitment. This requires a nomination by the Executive Committee.

### THIS ITEM REPRESENTS A:

- New issue, project, or purchase
- Routine, regularly scheduled item
- Follow-up to a previously discussed item
- Special item requested by board member.
- Other

PRIMARY CONTACT/STAFF MEMBER: **Jennifer Scott, Director Aging Services**

### BUDGETARY IMPACT:

Total estimated cost: N/A

Source of Funds: \_\_\_\_\_

Is item already included in fiscal year budget?  Yes  No

Does item represent a new expenditure?  Yes  No

Does item represent a pass-through purchase?  Yes  No

If so, for what city/county/etc.? \_\_\_\_\_

PROCUREMENT: N/A

### ACTION REQUESTED:

Nominate Jennifer Scott, Director of Aging Programs to the City of Austin Commission on Seniors

BACK-UP DOCUMENTS ATTACHED: N/A

BACK-UP DOCUMENTS NOT ATTACHED: N/A

## EXECUTIVE COMMITTEE MEETING

MEETING DATE: May 8, 2023

AGENDA ITEM: 7. Consider a Proclamation Recognizing June 2024 as Alzheimer's and Brain Awareness Month

### GENERAL DESCRIPTION OF ITEM:

Alzheimer's is the sixth leading cause of death in the United States, and the only one among the top 10 that cannot be prevented, cured, or slowed down. It is the most expensive disease in the country. Worldwide there are 55 million people living with Alzheimer's disease. National Alzheimer's and Brain Awareness month, held in June each year, raises awareness of this challenging disease and the efforts being made to support families affected. The more people know about Alzheimer's and brain disease, the more action is inspired. National Alzheimer's and Brian Awareness month increases understanding and highlights the people and organizations working toward a time when there will be effective treatment, preventative strategies, and gold-standard care for all persons affected by Alzheimer's disease. In submitting this proclamation, key elements include:

- The Area Agency on Aging of the Capital Area supports and provides services for persons with Alzheimer's as well as their caregivers through providing information and referral to key resources; funding for respite care for caregivers; home modifications that allow persons to stay in their homes; and health and wellness education that supports brain health and caregiver training.
- Communities and individuals may consider participating in awareness through the following: Share Your Story at: #ENDALZ and share why you raise awareness for Alzheimer's; Go Purple to End Alzheimer's on Facebook.
- More information is available from Alzheimer's Association, Capital of Texas

The following proclamation declares June 2024 to be Alzheimer's and Brain Awareness Month in the Capital Area Council of Governments (CAPCOG) region.

### THIS ITEM REPRESENTS A:

- New issue, project, or purchase
- Routine, regularly scheduled item
- Follow-up to a previously discussed item
- Special item requested by board member.
- Other

PRIMARY CONTACT/STAFF MEMBER: **Jennifer Scott, Director Aging Services**

### BUDGETARY IMPACT:

Total estimated cost: N/A

Source of Funds: \_\_\_\_\_

Is item already included in fiscal year budget?  Yes  No

Does item represent a new expenditure?  Yes  No

Does item represent a pass-through purchase?  Yes  No

If so, for what city/county/etc.? \_\_\_\_\_

PROCUREMENT: N/A

**ACTION REQUESTED:**

Adopt the Proclamation recognizing June 2024 as Alzheimer's and Brain Awareness Month in the Capital Area Council of Governments (CAPCOG) region.

**BACK-UP DOCUMENTS ATTACHED:**

1. Proclamation

**BACK-UP DOCUMENTS NOT ATTACHED:**

2024 Theme link to promotional materials: [Alzheimer's & Brain Awareness Month | Alzheimer's Association](#)

2024 Facts and Figures-Alzheimer's Association: [Alzheimer's Association 2024 Alzheimer's Disease Facts and Figures](#)



**A PROCLAMATION  
RECOGNIZING JUNE 2024  
AS ALZHEIMER’S AND BRAIN AWARENESS MONTH**

**WHEREAS**, the ten-county Capital Area Council of Governments (CAPCOG) region is committed to supporting a strong framework to raise awareness of Alzheimer’s and brain health; and

**WHEREAS**, the communities in the CAPCOG region benefit when people of all ages, abilities, and backgrounds are made more aware of the resources available regarding Alzheimer’s and brain health; and

**WHEREAS**, “Alzheimer’s and Brain Awareness” month creates an opportunity for the communities in the CAPCOG region to learn more about Alzheimer’s prevention and services, brain health, and awareness efforts; and

**WHEREAS**, CAPCOG recognizes the need to create a community that provides services and supports for persons with Alzheimer’s and their caregivers. CAPCOG Aging Services ensures this support through direct services including: information and referral; in-home services; home modifications; caregiver respite; health and wellness education; and ombudsman advocacy in nursing facilities and assisted living facilities.

**THEREFORE**, the Executive Committee of the Capital Area Council of Governments declares the month of June 2024 to be recognized as Alzheimer’s and Brain Awareness Month in the CAPCOG region to recognized the importance of Alzheimer’s disease awareness and brain health as key to building a stronger and healthier community across the CAPCOG region.

Proclamation adopted by the Capital Area Council of Governments Executive Committee on this 8<sup>th</sup> day of May 2024.

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Mayor Lew White, Chair  
Executive Committee  
Capital Area Council of Governments

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Judge Ron Cunningham, Secretary  
Executive Committee  
Capital Area Council of Governments



# EXECUTIVE COMMITTEE MEETING

MEETING DATE: May 8, 2024

AGENDA ITEM: 8. Consider Approval to Contract with University of Houston for an Air Quality Monitoring Project

**GENERAL DESCRIPTION OF ITEM:**

Under CAPCOG’s 2024-2025 “Near-Nonattainment”/Rider 7 grant from the Texas Commission on Environmental Quality (TCEQ), CAPCOG has access to state funding to conduct air monitoring and emissions inventory development related to ground-level ozone (O<sub>3</sub>) for the Austin-Round Rock-San Marcos Metropolitan Statistical Area (MSA). The University of Houston (UH) in partnership with Baylor University and St. Edward’s University operates a state-of-the-art mobile air quality laboratory (MAQL3) which would allow CAPCOG to collect additional air quality monitoring data at additional locations. This would help the region better understand air quality conditions across the region because the MAQL3 can move across the region to better characterize emissions at and between CAPCOG’s existing Continuous Air Monitoring Sites (CAMS).

CAPCOG staff is requesting to execute an ILA with UH to operate the MAQL3 in the Austin-Round Rock-San Marcos MSA in August 2024. This ILA will include the tasks listed below.

- Task 1: Creation of a Quality Assurance Performance Plan (QAPP)
- Task 2: Mobile Monitoring Data Collection
- Task 3: Reporting

All tasks would be funded under CAPCOG’s TCEQ Rider 7 Grant.

**THIS ITEM REPRESENTS A:**

- New issue, project, or purchase
- Routine, regularly scheduled item
- Follow-up to a previously discussed item
- Special item requested by board member
- Other

PRIMARY CONTACT/STAFF MEMBER: **Anton Cox, Air Quality Program Manager**

**BUDGETARY IMPACT:**

Total estimated cost: \$260,000

Source of Funds: TCEQ Rider 7 “Near-Nonattainment” Grant

Is item already included in fiscal year budget?  Yes  No

Does item represent a new expenditure?  Yes  No

Does item represent a pass-through purchase?  Yes  No

If so, for what city/county/etc.? n/a

PROCUREMENT: Interlocal Agreement

**ACTION REQUESTED:**

Consider approval of entering an interlocal agreement with the University of Houston to measure air pollution in the Austin – Round Rock – San Marcos MSA using their mobile monitor lab.

BACK-UP DOCUMENTS ATTACHED: Draft Scope of Work

BACK-UP DOCUMENTS NOT ATTACHED: None

# Capital Area Council of Governments Interlocal Agreement for 2024 Mobile Air Monitoring

## **Sec. 1. Parties and Purpose**

- 1.1. The Capital Area Council of Governments ("CAPCOG") is a regional planning commission and political subdivision of the State of Texas organized and operating under the Texas Regional Planning Act of 1965, as amended, chapter 391 of the Local Government Code.
- 1.2. The University of Houston ("UH") is an agency of the State of Texas.
- 1.3. CAPCOG has received funding from the Texas Commission on Environmental Quality (TCEQ) that can be used to monitor ozone (O<sub>3</sub>) air pollution in the five-county Austin-Round Rock-San Marcos Metropolitan Statistical Area (MSA), which includes Bastrop, Caldwell, Hays, Travis, and Williamson Counties.
- 1.4. UH is capable of conducting mobile air pollution monitoring in the Austin-Round Rock-San Marcos MSA.
- 1.5. This agreement is entered into between CAPCOG and UH pursuant to Texas Government Code chapter 791.
- 1.6. For purposes of carrying out CAPCOG's duties and obligations under this agreement, the parties understand agree that references to CAPCOG includes its employees, officers, directors, volunteers, agents (including the Capital Area Council of Governments – CAPCOG) and their representatives, individually, officially, and collectively.
- 1.7. Each CAPCOG and UH being referred to individually as the "Party" or collectively are referred to as "Parties" in this document.

## **Sec. 2. Goods and Services**

- 2.1. UH agrees to provide CAPCOG with the goods and services described in Attachment A.

## **Sec. 3. Term of Agreement**

- 3.1. The effective date is upon execution of this agreement, and ends, unless sooner terminated under Sec. 9, 10, or 11, on December 31, 2024, or extended by written mutual agreement of the Parties.

## **Sec. 4. Agreement Price and Payment Terms**

- 4.1. CAPCOG agrees to compensate UH for the goods and services provided under this Agreement, in a fixed price amount not to exceed \$200,000.00 as described in Attachment A.
- 4.2. UH shall CAPCOG for work performed no more than monthly, and CAPCOG will provide payment within thirty (30) business days.

- 4.3. The invoices requesting payment must be delivered via e-mail to CAPCOG's project representative described in Attachment B.
- 4.4. UH agrees to certify each invoice as follows:  
UH certifies that this invoice is correct and complete and that the amount requested has not been received.
- 4.5. CAPCOG agrees to pay UH the amount owed on each invoice within 30 calendar days after its receipt, subject to acceptance of the deliverable as specified in Attachment A

## **Sec. 5. Rights and Duties**

- 5.1. To the extent authorized under Texas law, as to any judicial or administrative suit, claim, investigation, or proceeding (each a "Proceeding") brought by someone other than UH that arises out of UH's breach of this agreement or any negligent or intentional act of UH under this agreement or any of the transactions contemplated under this agreement, UH shall indemnify CAPCOG, its directors, officers, employees, and agents (collectively, "CAPCOG Indemnitees") against all (a) amounts awarded in, or paid in settlement of, the Proceeding, including any interest, and (b) any out-of-pocket expense incurred in defending the Proceeding or in any related investigation or negotiation, including court filing fees, court costs, arbitration fees, witness fees, and attorneys' and other professionals' fees and disbursements (collectively, "Indemnifiable Losses") except to the extent that a CAPCOG Indemnitee negligently or intentionally caused those Indemnifiable Losses. To the extent authorized under Texas law, as to any Proceeding brought by someone other than CAPCOG that arises out of CAPCOG's breach of this agreement or any negligent or intentional act of CAPCOG under this agreement or any of the transactions contemplated under this agreement, CAPCOG shall indemnify UH, The Texas A&M University System, its regents, officers, employees, students, and agents (collectively, "UH Indemnitees") against all Indemnifiable Losses except to the extent that a UH Indemnitee negligently or intentionally caused those Indemnifiable Losses.
- 5.2. For purposes of this agreement, "Force Majeure Event" means, with respect to a Party, any event or circumstance, whether or not foreseeable, that was not caused by that Party (other than a strike or other labor unrest that affects only that Party, an increase in prices or other change in general economic conditions, a change in law, or an event or circumstance that results in that Party's not having sufficient funds to comply with an obligation to pay money) and any consequences of that event or circumstance. If a Force Majeure Event prevents a Party from complying with any one or more obligations under this agreement, that inability to comply will not constitute breach if (1) that Party uses reasonable efforts to perform those obligations, (2) that Party's inability to perform those obligations is not due to its failure to (a) take reasonable measures to protect itself against events or circumstances of the same type as that Force Majeure Event or (b) develop and maintain a reasonable contingency plan to respond to events or circumstances of the same type as that Force Majeure Event, and (3) that Party complies with its obligations under this section. If a Force Majeure Event occurs, the noncomplying Party shall promptly notify the other Party of the occurrence of that Force Majeure Event, its effect on performance, and how long the noncomplying Party expects it to last. Thereafter the noncomplying Party shall update that information as reasonably necessary.

During a Force Majeure Event, the noncomplying Party shall use reasonable efforts to limit damages to the other Party and to resume its performance under this agreement.

## **Sec. 6. Compliance with Applicable Law and Policy**

- 6.1. UH agrees to comply with all APPLICABLE LAW and POLICY in carrying out this Agreement, including any purchases or reimbursement requests made hereunder.

## **Sec. 7. Independent Contractor**

- 7.1. UH is not an employee or agent of CAPCOG, but it performs this contract solely as an independent contractor.

## **Sec. 8. Assignment and Subcontracting**

- 8.1. Except as specified in the attached scope of services, UH may not assign its rights or subcontract its duties under this Agreement without the prior written consent of CAPCOG. An attempted assignment or subcontract in violation of this Sec. 8.1 is void.

## **Sec. 9. Records and Inspections**

- 9.1. UH agrees to maintain records adequate to document its performance, costs, and receipts under this Agreement. UH agrees to maintain these records at UH's office address described in Sec. 15.
- 9.2. Subject to the additional requirement of Sec. 9.3, UH agrees to preserve the records for four years after receiving its final payment under this Agreement.
- 9.3. If an audit of or information in the records is disputed or the subject of litigation, UH agrees to preserve the records until the dispute or litigation is finally concluded, regardless of the ending or early termination of this Agreement.
- 9.4. CAPCOG is entitled to inspect and copy, during normal business hours at UH's offices where they are maintained, the records maintained under this Agreement for as long as they are preserved.
- 9.5. CAPCOG is also entitled to visit UH's offices and talk to its personnel during normal business hours to assist in evaluation of its performance under this Agreement.

## **Sec. 10. Proprietary or Confidential Information**

- 10.1. All information in CAPCOG's possession is public information and is subject to disclosure to third parties upon request, unless exempted from disclosure by the Texas Public Information Act.
- 10.2. If UH believes that information it submits to CAPCOG is proprietary or confidential and is not disclosable to a third party, UH must clearly mark the information as proprietary or confidential and inform CAPCOG in writing that UH will contest disclosure of the information if disclosure is requested under the Texas Public Information Act.
- 10.3. If the allegedly proprietary or confidential information is clearly marked as such and CAPCOG was informed of UH's desire to keep the information confidential, CAPCOG agrees to use the information only in performing this Agreement and to take reasonable precautions to protect the information from unauthorized disclosure to third parties. CAPCOG agrees to refuse to

disclose the information, if requested to do so under the Texas Public Information Act, and instead to request an Attorney General's decision on whether the information may be disclosed. CAPCOG agrees to inform UH of any request for disclosure of the information under the Texas Public Information Act.

## **Sec. 11. Termination of Agreement without Cause**

- 11.1. Agreement may be terminated by either Party with a 60-day written notice delivered under the terms of Section 15.

## **Sec. 12. Suspension or Termination of Agreement for Unavailability of Funds**

- 12.1. UH acknowledges that CAPCOG is a governmental entity without taxing power and agrees that CAPCOG may suspend its payment obligations under or terminate this Agreement in whole or part if CAPCOG learns that funds to pay for all or part of the goods and services will not be available at the time of delivery or performance. If CAPCOG suspends or terminates only part of this agreement for unavailability of funds, UH agrees to perform the unsuspended or unterminated part if CAPCOG so requests.
- 12.2. CAPCOG suspends or terminates this agreement for unavailability of funds by giving UH notice of the suspension or termination, as soon as it learns of the funding unavailability, specifying the suspension or termination date, which may not be fewer than 10 business days from the notice date, and describing the part or parts suspended or terminated. The Agreement is suspended or terminates on the specified termination date.
- 12.3. If this agreement is suspended or terminated for unavailability of funds under this Sec. 12, UH is entitled to compensation for the services it performed before it received notice of suspension or termination. However, CAPCOG is not liable to UH for costs it paid or incurred under this Agreement after or in anticipation of its receipt of notice of suspension or termination.

## **Sec. 13. Termination for Breach of Contract**

- 13.1. If UH or CAPCOG breaches a material provision of this Agreement, the other may notify the breaching Party describing the breach and demanding corrective action. The breaching Party has five business days from its receipt of the notice to correct the breach, or to begin and continue with reasonable diligence and in good faith to correct the breach. If the breach cannot be corrected within a reasonable time, despite the breaching party's reasonable diligence and good faith effort to do so, the parties may agree to terminate the Agreement or either party may invoke the dispute resolution process of Sec. 14.
- 13.2. Termination for breach under this section does not waive either party's claim for damages resulting from the breach.

## **Sec. 14. Dispute Resolution**

- 14.1. The parties desire to resolve disputes arising under this Agreement without litigation. Accordingly, if a dispute arises, the parties agree to attempt in good faith to resolve the dispute between themselves.

- 14.2. CAPCOG shall use the dispute resolution process provided in Chapter 2260 of the Texas Government Code to attempt to resolve a dispute arising under this contract and such process is a required prerequisite to suit in accordance with Chapter 107, Texas Civil Practice and Remedies Code. CAPCOG must submit written notice of a claim of breach of contract under this chapter to University of Houston, Attn: Sarai Hernandez, 3507 Cullen Blvd, Houston, TX 77204, [shernandez20@uh.edu](mailto:shernandez20@uh.edu).
- 14.3. The parties agree to continue performing their duties under this Agreement, which are unaffected by the dispute, during the negotiation and mediation process.

## **Sec. 15. Notice to Parties**

- 15.1. Notice to be effective under this contract must be in writing and received by the party against whom it is to operate. Notice is received by a party: (1) when it is delivered to the party personally; (2) on the date shown on the return receipt if mailed by registered or certified mail, return receipt requested, to the party's address specified in Sec. 15.2 and signed on behalf of the party; or (3) three business days after it's deposited in the United States mail, with first-class postage affixed, addressed to the party's address specified in Sec. 15.2.
- 15.2. CAPCOG's address is 6800 Burleson Road, Building 310, Suite 165, Austin, TX 78744, Attention: Betty Voights, Executive Director, [bvoights@capcog.org](mailto:bvoights@capcog.org).
- 15.3. UH's address is Attn: Sarai Hernandez, 3507 Cullen Blvd, Houston, TX 77204, [shernandez20@uh.edu](mailto:shernandez20@uh.edu).
- 15.4. A Party may change its address by providing notice of the change in accordance with Sec. 15.1.

## **Sec. 16. Attachments**

- 16.1. The following attachments are part of this agreement:
  - Attachment A: Scope of Services
  - Attachment B: Project Representatives and Records Location

## **Sec. 17. Miscellaneous**

- 17.1. Each individual signing this Agreement on behalf of a party warrants that he or she is legally authorized to do so and that the party is legally authorized to perform the obligations undertaken.
- 17.2. This Agreement states the entire agreement of the parties, and an amendment to it is not effective unless in writing and signed by all parties.
- 17.3. This Agreement is binding on and inures to the benefit of the parties' successors in interest.
- 17.4. This Agreement is performable in Travis County, Texas, and Texas law governs the interpretation and application of this contract.
- 17.5. This Agreement is executed in duplicate originals.

University of Houston

Capital Area Council of Governments

By \_\_\_\_\_

By \_\_\_\_\_

Fred McGhee

Betty Voights

Executive Director

Executive Director

Date: \_\_\_\_\_

Date: \_\_\_\_\_

# Attachment A: Scope of Services

## Background

Pursuant to Rider 7 to its fiscal year 2024-2025 budget, the Texas Commission on Environmental Quality (TCEQ) awarded the Capital Area Council of Governments (CAPCOG) funding for 2024-2025 for ozone (O<sub>3</sub>)-related monitoring and emissions inventory work for the Austin-Round Rock-San Marcos Metropolitan Statistical Area (MSA) (Bastrop, Caldwell, Hays, Travis, and Williamson Counties).

To better understand air quality conditions CAPCOG's air quality program is partnering with University of Houston and Baylor University to collect ozone and ozone precursor condition data in the Austin, Round Rock, San Marcos MSA using the state-of-the-art mobile air quality laboratory (MAQL3) in August 2024. Mobile measurements will be conducted in areas upwind and downwind of the metro area to characterize the incoming air and potential local contributions. These measurements will help CAPCOG and TCEQ to gain insight into the ozone and ozone precursor conditions present in Austin as well as acting as a baseline against which future studies can be compared.

The MAQL3 will also attempt to collocate with select CAPCOG O<sub>3</sub> monitors to ensure comparability and validate measurements between the two systems. Mobile measurements will also be collected between the monitors to characterize the spatial variability not observable by the existing network.

UH and collaborators will monitor air quality in the Austin region with a UH mobile laboratory for three weeks during August 2024. The mobile air quality monitoring will be conducted at locations in and around the Austin, Round Rock, San Marcos MSA depending on meteorological conditions and air quality forecasts.

## Principal Investigators (PI):

PI: James Flynn, PhD: Research Associate Professor, Department of Earth and Atmospheric Sciences, University of Houston, Houston, Texas, USA, [jhflynn@uh.edu](mailto:jhflynn@uh.edu), 281-794-6708

PI: Subin Yoon, PhD: Research Scientist, Department of Earth and Atmospheric Sciences, University of Houston, Houston, Texas, USA, [syoon9@central.uh.edu](mailto:syoon9@central.uh.edu), 469-531-5520

PI: Rebecca Sheesley, Ph.D.: Associate Professor, Department of Environmental Science, Baylor University, Waco, Texas, USA, [rebecca\\_sheesley@baylor.edu](mailto:rebecca_sheesley@baylor.edu), (254) 710-3158

PI: Sascha Usenko, Ph.D.: Associate Professor, Department of Environmental Science, Baylor University, Waco, Texas, USA, [sascha\\_usenko@baylor.edu](mailto:sascha_usenko@baylor.edu), (254) 710-2302

## Task 1: QAPP

Prior to the commencement of Task 2, UH will develop a QAPP that describes the steps that UH (and all project partners) will take to ensure data quality for this project. UH will develop the QAPP for this project in accordance with TCEQ's Category III QA requirements<sup>1</sup> which require a minimum of 10% of datasets will be spot-checked.

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<sup>1</sup> TCEQ Air Quality Research: Quality Assurance standards are available online: <https://www.tceq.texas.gov/airquality/airmod/project/quality-assurance>.



**Deliverable 1: QAPP**

Due Date: July 31, 2024

**Cost Estimate:** TBD

## **Task 2: Mobile Monitoring Lab Deployment**

Using MAQL3, UH and project partners will collect the following data in the Austin, Round Rock, San Marcos MSA, trace gases, meteorological parameters, and aerosol optical properties.

A Handix POPS will measure aerosol size distribution and number. Trace gases measurements will include ozone (O<sub>3</sub>), nitric oxide (NO), nitrogen dioxide (NO<sub>2</sub>), total reactive nitrogen (NO<sub>x</sub>), carbon monoxide (CO), and sulfur dioxide (SO<sub>2</sub>). Meteorological parameters will include wind speed, wind direction, ambient temperature, relative humidity, planetary boundary layer height, and photolysis rate of nitrogen dioxide (jNO<sub>2</sub>). MAQL3 will also measure volatile organic compounds (VOCs), including highly reactive volatile organic compounds (HRVOCs) using a Hills Scientific Reactive Alkene Detector for bulk unspciated HRVOCs. Baylor's Aroma-VOC instrument will be installed and operate for bulk VOC (alkanes, aromatics, dienes, and methane) while doing mobile sampling and speciated VOC (isoprene, benzene, toluene, ethyl benzenes, xylene, and styrene) while in stationary sampling mode. Resin tubes may also be sampled from MAQL3.

**Deliverable 2: Data Collection**

Due Date: September 30, 2024

**Cost Estimate:** TBD

## **Task 3: Reporting**

Upon completion of Task 2, UH will prepare provide CAPCOG with the data collected. UH will also provide CAPCOG with a written report of project activities including when and where the MAQL3 is located around the region, work completed, and issues that arose. Upon the request of CAPCOG, UH and/or another project partner will present to CAPCOG's Clean Air Coalition, on the MAQL3 and data collected during the project.

**Deliverable 3.1:** Spreadsheets and/or database with data collected.

Due Date: October 31, 2024

**Deliverable 3.2:** Written report of project activities

Due Date: November 30, 2024

**Deliverable 3.3:** Deliver Virtual Presentation of results to the Clean Air Coalition

Due Date: November 30, 2024

**Cost Estimate:** TBD

# Budget Assumption

Table 1. Budget for Monitoring Project<sup>2</sup>

Category	Amount
Salary & Fringe	\$68,748
Travel	\$19,847
Materials & Supplies	\$43,361
Baylor University subaward	\$68,044
<b>Total</b>	<b>\$200,000</b>

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<sup>2</sup> \*The budget details are included for contextual information only.

## **Attachment B: Project Representatives and Records Location**

### **CAPCOG Project Representative**

The individual named below is the CAPCOG Project Representative, who is authorized to give and receive communications and directions on behalf of CAPCOG. All communications including all payment requests must be addressed to the CAPCOG Project Representative or his or her designee. The CAPCOG Project Representative may indicate a designee through an e-mail to [jhflynn@uh.edu](mailto:jhflynn@uh.edu).

Anton Cox

(Name)

Air Quality Program Manager

(Title)

Telephone No.: (512) 916-6036

Facsimile No.: (512) 916-6001

E-mail: [acox@capcog.org](mailto:acox@capcog.org)

Capital Area Council of Governments  
6800 Burleson Road  
Building 310, Suite 165  
Austin, Texas 78744

### **UH Project Representative**

The individual named below is the UH Project Representative, who is authorized to give and receive communications and directions on behalf of UH. All communications must be addressed to the UH Project Representative or his or her designee, with a copy sent to [e-mail]. The UH Project Representative may indicate a designee through an e-mail to [acox@capcog.org](mailto:acox@capcog.org).

James Flynn

(Name)

Research Associate Professor

(Title)

Telephone No.: 281-794-6708

Facsimile No.: None

E-mail: [jhflynn@uh.edu](mailto:jhflynn@uh.edu)

University of Houston  
3507 Cullen Blvd.  
Houston, TX, 77204

### **Submittal of Payment Requests**

Payment requests must be submitted to the CAPCOG Project Representative.

### **Designated Location for Records Access and Review**

UH designates the physical location below for record access and review pursuant to any applicable provision of this Contract:

University of Houston  
3507 Cullen Blvd.  
Houston, TX, 77204

## EXECUTIVE COMMITTEE MEETING

MEETING DATE: May 8, 2024

AGENDA ITEM: 9. Consider Approving Scoring and Funding Recommendations for 2025 General Victim Assistance (VOCA) Criminal Justice Grants

### GENERAL DESCRIPTION OF ITEM:

The General Victim Assistance Grant program (VOCA) is the largest of the five grant categories administered by the Office of the Governor (OOG) that CAPCOG's Criminal Justice Advisory Committee (CJAC) reviews each year. This year, 21 applications were submitted for a total of \$9,846,759.43 requested. The RBE for VOCA in plan year 2025 is \$7,846,267.63. The CJAC reviewed the applications, heard presentations from the applicants and scored each application in accordance with the policy that was adopted by the Executive Committee in November 2023. At its April 3rd meeting, the CJAC determined the method to use for recommending funding for the grant applications.

For the VOCA funding category the CJAC recommends funding the applications in the order ranked by score in the requested amounts with indirect costs removed, excluding the two applicants at the end of the ranked list, which are not to receive funding due to having a final score lower than 70 points and funds are to be allocated and exhausted should there be any remaining.

### Suggested Motion to Accomplish the CJAC's Recommendation

Recommend funding the VOCA applications listed in the Priority Listing and Funding Recommendations document in the order indicated in the Rank Number column for the amount indicated in the Recommended Funding column to the extent that funds are available, partially funding the last application if funds are not available for the entire amount indicated.

### THIS ITEM REPRESENTS A:

- New issue, project, or purchase
- Routine, regularly scheduled item
- Follow-up to a previously discussed item
- Special item requested by board member
- Other

PRIMARY CONTACT/STAFF MEMBER:

Charles Simon, Director of Regional Planning & Services

### BUDGETARY IMPACT:

Total estimated cost: \$0

Source of Funds: NA

Is item already included in fiscal year budget?

Yes

No

Does item represent a new expenditure?

Yes

No

Does item represent a pass-through purchase?

Yes

No

If so, for what city/county/etc.? n/a

PROCUREMENT: NA

### ACTION REQUESTED:

Consider approving prioritization and funding recommendations for General Victim's Assistance (VOCA) Criminal Justice Grants for Plan Year 2025 with the indicated suggested motion.

BACK-UP DOCUMENTS ATTACHED:

1. Memo of supplemental information to consider Scoring, and Funding Recommendations.
2. Summary of VOCA Project Scores, Ranking, Funding Requests, and Funding Recommendations
3. Project Summary Sheets submitted for VOCA Applications
4. CAPCOG Policy Statement

**BACK-UP DOCUMENTS NOT ATTACHED:**

NA



6800 Burleson Road, Building 310, Suite 165  
Austin, Texas 78744-2306  
Ph: 512-916-6000 Fax: 512-916-6001  
[www.capcog.org](http://www.capcog.org)

BASTROP BLANCO BURNET CALDWELL FAYETTE HAYS LEE LLANO TRAVIS WILLIAMSON

## MEMORANDUM

May 8, 2024

**TO: Executive Committee**

**FROM: Charles Simon, Director of Regional Planning and Services**

**RE: Supplemental Information to Consider Scoring and Funding Recommendations of Plan Year 2025 Criminal Justice Grants**

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Each year, the Criminal Justice Advisory Committee (CJAC) reviews applications that have been submitted to the Office of the Governor (OOG) for several criminal justice grant programs. This year, the grant programs for which applications were reviewed are listed below. The commonly used names and abbreviations for the programs are indicated in the parentheses.

- General Victim Assistance Grant Program (VOCA or VA)
- Violence Against Women Justice and Training Program (VAWA or WF)
- Criminal Justice Grant Program (JAG or DJ)
- Juvenile Justice Grant Program (JJ)
- Truancy Prevention Grant Program (JJ)

### **Method for reviewing OOG Criminal Justice grant applications:**

#### **Scoring**

This year scoring is composed of two components: an objective score and a discretionary score.

The objective score is applied to an application's total score and is dependent on the applicant: 1) attending CAPCOG's criminal justice workshop held in January (10 points), and 2) submitting the nine-question project summary sheet that was due in February (10 points). If the applicant did not accomplish either of those tasks, the application received zero points for the task. This is different than previous years when the policy automatically assigned a score of zero and a funding recommendation of zero dollars if either of these tasks were not accomplished. Out of 55 applicants, 47 attended the Workshop and 45 submitted Project Summary Sheets.

All 55 applications were reviewed by the CJAC members individually and the CJAC met three times (April 1-3) to hear presentations from applicants and collaborate on the applications. Each CJAC member scored the applications based on how well they addressed regional priorities (0-10 points), the content of the written application (0-50 points), and the content of the presentation (0-20 points) which made up the discretionary

score. The scores were then totaled using the methodology outlined in the Plan Year 2025 Policy Statement. The CJAC finalized scoring and made funding recommendations for each application on Wednesday, April 3<sup>rd</sup>, 2024.

**Funding recommendations:**

The tables that accompany the agenda items for each fund category – labeled *Priority Listing and Funding Recommendations* – illustrate CJAC’s recommendation. The following information will also assist in understanding the scoring and funding recommendations.

*Scoring Ranked Column vs Recommended Order of Funding Column*- During the application review process, the CJAC identified instances where certain applications warranted funding before others resulting in a recommendation to provide funding to some applications in an order that is different than the order the applications are ranked by score. To address this and to make an accurate recommendation to the OOG, the tables reflect the CJAC’s recommendation by including two columns with “Rank” in the heading. The “Scoring Rank” column indicates each application’s rank based on its score and the CAPCOG policy for ranking. The “Rank Number” column reflects the order of funding recommended by the CJAC. “Rank Number” is the term used by the OOG in the form used to submit the COG’s recommendations, so it is appropriate to use that term in our documentation and in the motion. Additional guidance for a motion is provided with each agenda item.

*Reasonable Budget Expectation (RBE)* – In prior years the RBE (amount of funds the OOG estimates will be available for all projects in this fund category for the region) was indicated in the priority listing table to estimate which projects are likely to receive funding and which are likely in a position beyond the amount of funds available. Since the CJAC’s recommendation includes prioritization and funding of applications regardless of the funds available, the RBE is not included in the table this year.

*Declining Funding Recommendation* – The CAPCOG Plan year 2025 Policy Statement includes a provision that applications submitted by local governments are subject to a reduction in recommended funding for repeat projects. A project first funded in Plan Year 2024 (last year) is eligible to receive a recommendation for up to 80% of its first-year award amount while one first funded in 2023 is eligible to for a recommendation up to 60%, etc. Seven applications were found to be subject to the decreasing funding ratio. To determine the status of projects, CAPCOG staff reviewed all applications and determined if they met the definition of a continuation project; the CJAC did not make any modifications. The declining funding recommendation requirement only applies to the recommendation from the CJAC. The Executive Committee may choose to follow it or take different action.

*Progressed Projects* – Similar to the Declining Funding Recommendation, applications for projects that first received funding in 2021 or earlier received a funding recommendation of \$0.00. Two applications met that criterion and therefore were defined as progressed projects. The applications for progressed projects were reviewed and scored by the CJAC with all other applications. The policy includes a provision to reduce their score in order for them to be ranked below non-progressed projects after scoring. The progressed project funding recommendation requirement only applies to the recommendation from the CJAC. The Executive Committee may choose to follow it or take different action.

*Required Resolution*- A new contract requirement this year is for the COG to submit a resolution indicating the COG’s action. A resolution is included as a separate agenda item following the consideration of all grant category items.

## VOCA Grant

### Priority Listing and Funding Recommendations for Plan Year 2025

<u>Rank Number</u>	<u>Scoring Rank</u>	<u>Applicant</u>	<u>Grant #</u>	<u>Requested Amount</u>	<u>Score</u>	<u>Recommended Funding</u>	<u>Foot Notes</u>
1	1	Bastrop County Women's Shelter	2903007	\$361,565.54	95.00	\$361,565.54	
2	2	Highland Lakes Family Crisis Center Inc	1366823	\$465,812.83	93.60	\$465,812.83	
3	3	Hays County Women's Center	1366423	\$1,398,586.00	93.30	\$1,398,586.00	
4	4	Marble Falls, City of	5010101	\$14,177.60	90.40	\$14,177.60	7
5	5	The SAFE Alliance	1400524	\$1,972,580.40	90.40	\$1,808,092.00	3, 7
6	6	SAHELI dba Asian Family Support Services of Austin	2551012	\$1,730,021.93	87.50	\$1,580,606.89	3
7	7	Round Rock ISD	4935501	\$80,000.00	86.50	\$80,000.00	
8	8	Travis County	4426203	\$141,965.00	85.90	\$98,525.40	4,7
9	9	Helping Hand Home for Children	3633306	\$366,582.00	85.90	\$366,582.00	7
10	10	Austin Child Guidance Center	4942701	\$250,000.00	85.80	\$225,000.00	3
11	11	Volunteer Legal Services of Central Texas	4702502	\$94,155.43	84.70	\$88,555.43	3
12	12	Williamson County	4990501	\$33,330.00	83.50	\$33,330.00	
13	13	The Ecumenical Center	4228004	\$75,536.58	82.90	\$68,669.62	3
14	14	Red Oak Hope	4728302	\$314,392.00	82.50	\$314,392.00	



15	15	Williamson County Crisis Center dba Hope Alliance	2884907	\$715,221.83	81.90	\$715,221.83	2
16	16	Bluebird's Hope Inc	4994001	\$105,306.86	81.20	\$105,306.86	
17	17	The Settlement Home for Children	3947605	\$586,200.00	80.50	\$586,200.00	
18	18	Buda, City of	5113001	\$79,366.00	78.40	\$79,366.00	2
19	19	Del Valle ISD	5022901	\$324,120.00	78.30	\$324,120.00	
20	20	OnPurpose Enrichment of Austin	5010301	\$145,511.43	51.10	\$0.00	1,2,6
21	21	Austin, City of	2827409	\$592,328.00	41.30	\$0.00	5,6

The Rank Number indicates the order in which the applications are recommended to be funded in the amount indicated in the Recommended Funding column as long as funding is available. The Rank Number value for each application will be entered into the column of the same name in the eGrants portal used for reporting the priority listing and funding recommendations to the OOG.

1. Did not attend Criminal Justice Grant Workshop
2. Did not submit Project Summary Sheet
3. CJAC moved to remove indirect costs from funding recommendation.
4. Application is a continuation project subject to decreasing funding ratio **(Criminal Justice Policy Statement Sec 8.1)**
5. Application is a progressed project **(Criminal Justice Policy Statement Sec 3.1.M)**
6. Application received a total final score lower than 70 points **(Criminal Justice Policy Statement Sec 8.2)**
7. Tie Breaker applied to application **(Criminal Justice Policy Statement Sec 6.4.d 10)**
8. Application is applicant's secondary project preference **(Criminal Justice Policy Statement Sec 6.2 c)**

## VOCA

### Project Summary Sheets

Included:

3633306	Helping Hand Homes for Children
4942701	Austin Child Guidance Center
4228004	The Ecumenical Center
1366423	Hays County Women's Center
2827409	Austin, City of
4728302	Red Oak Hope
1366823	Highland Lakes Family Crisis Center
5010101	Marble Falls, City of
2903007	Bastrop County Women's Shelter Family Crisis Center
4990501	Williamson County Sheriff's Office
5022901	Del Valle ISD VOCA Support Services
4426203	Travis County
4935501	Round Rock ISD
1400524	The SAFE Alliance
3947605	The Settlement Home for Children
4702502	Volunteer Legal Services of Central Texas
2551012	Saheli dba Asian Family
4999501	Bluebird's Hope Inc

Not Submitted by Applicant:

2884907	Williamson county crisis Center dba Hope Alliance
5113001	Buda, City of
5010301	On Purpose Enrichment



# CAPCOG Application Review – Project Summary Sheet

<b>Organization Name:</b>	Helping Hand Home for Children
<b>eGrants Application Identification Number:</b>	3633306
<b>What Fund Source Are You Applying For?</b>	<input checked="" type="checkbox"/> VOCA <input type="checkbox"/> VAWA <input type="checkbox"/> JAG <input type="checkbox"/> Truancy Prevention <input type="checkbox"/> Juvenile Justice
<b>Project Title:</b>	Trauma-informed Residential Treatment for Abused and Neglected Children
<b>Is This a Continuation Project?</b>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<b>What Percentage of the Agency's Budget Does This Grant Cover?</b>	3%

**Project Performance: (Maximum 750 characters per question)**

1. Does the project have other components or is part of another project that the CJAC would want to know about?

Our residential treatment center is part of a continuum of care that includes a charter school and a foster and adoption program. Both are designed to meet the specialized needs of the children in residential treatment. Our goal is to heal these young victims with crisis intervention and therapy (with VOCA funds), provide academic education and enrichment, and place them into loving, permanent families.

2. If this is a continuation project, are you on track to meet your current impact measures? Please provide details supporting the progress of each measure.

Measures for 23-24 grant, with progress from first quarterly report:

Counseling Hours: Target: 16,952. Actuals: HHH gave 4,565 counseling hours, on track to meet annual goal.

# of survivors receiving counseling/therapy: Target: 60. Actuals: The Home served 28 children, on track to meet the annual goal.

# of survivors receiving crisis counseling: Target: 60. Actuals: The Home served 28 children, on track to meet the annual goal.

# of victims/survivors seeking services who were served: Target: 60. Actuals: The Home served 28 children, on track to meet the annual goal.

# of victims seeking services who were not served: Target: 60. Actuals: The Home turned away 9 victims that were eligible for our services.

3. If your project will cover multi-jurisdictions, please list other cities and counties served.

While the residential treatment center (RTC) is located in Travis County, the Home can serve children in all CAPCOG counties. In the past year the RTC served children from Travis, Williamson and Llano counties. With non-VOCA funding we have also served children from the nearby counties of Bell, Bosque, Falls, and Bexar. It is important to note that, once the children arrive at the Home, they are counted on the U.S. Census for Austin/Travis County, they live at the Home for an average of 18 months, they receive services locally, and they attend Austin I.S.D. schools.

4. Please provide a breakdown of where your clientele is located.

Our clientele is all located in Travis County.

5. What is the demographic breakdown of your clientele served or proposed to be served?

a. [White/African American / Hispanic /Asian/etc.]

Based on our service history, we estimate the following demographic breakdown of children to be served:

White: 40%

Black: 40%

Latino: 15%

Multi-Racial:4%

Asian: 1%

60% of the children are racial minorities

6. Are services provided through temporary or permanent facilities?

Services are provided in permanent facilities.

**Fiscal Capability: (Maximum 750 characters per question)**

7. Based on the current Fiscal Year identify by source the amount of funds allocated for the proposed project?  
For example: This project will be funded 50 % by HHSC for \$5,000 and by 50% by the OOG for \$5,000.

**Revenue Sources for Project:**

TX. Dept. of Family and Protective Services \$3,278,858, or 53%  
Philanthropy (Foundations, Events, Individuals) \$2,354,232, or 38%  
VOCA Government Grant \$366,079, or 6%  
Medicaid Reimbursements 51,600, or 1%  
National School Lunch Program \$85,275, or 2%  
Total: \$6,136,044

8. What are your long-term plans for funding? (Maximum 750 characters)

Our plans are to continue to raise private funds to match, at least one to one, the government funding that the Home receives from OOG and other government agencies. Each year, Helping Hand Home raises approximately \$6 million in funds from foundations, individuals and community events. The Home has about 35 foundation funders, approximately 2,000 individual funders, and two large community events. The Home also has a Major Gifts and a Planned Giving program.

9. What would the impact of 10% reduction in funding be on this project? (Maximum 750 characters)

A 10% reduction of funding would reduce the staff hours and the number of children served by this specific grant.



## CAPCOG Application Review – Project Summary Sheet

<b>Organization Name:</b> Austin Child Guidance Center
<b>eGrants Application Identification Number:</b> 4942701
<b>What Fund Source Are You Applying For?</b> <input checked="" type="checkbox"/> VOCA <input type="checkbox"/> VAWA <input type="checkbox"/> JAG <input type="checkbox"/> Truancy Prevention <input type="checkbox"/> Juvenile Justice
<b>Project Title:</b> Mental Health Services for Child Survivors of Crime
<b>Is This a Continuation Project?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<b>What Percentage of the Agency's Budget Does This Grant Cover?</b> 8.5%

**Project Performance: (Maximum 750 characters per question)**

1. Does the project have other components or is part of another project that the CJAC would want to know about?

Current crime victim-specific programming is funded by Travis County Crime Victims' Fund & OAG-Other Victims Assistance Grants.

ACGC has applied for funding under SB 26 Supporting Mental Health & Resiliency in Texans (SMART) Innovation Grant Program (TxHHS). An award will allow for greater expansion into the CAPCOG area through increased clinical capacity. Services will be provided at identified Community Partner sites focusing on underserved/under-resourced areas. Current Community Partners include the Boys and Girls Club of the Austin Area & Any Baby Can. ACGC's proposal (SMART) includes individual/family/group therapy, internal psychological assessment & psychiatric services referrals, caregiver education, and professional training.

2. If this is a continuation project, are you on track to meet your current impact measures? Please provide details supporting the progress of each measure.

This project is not a continuation project. We are on track to meet current measures associated with the Travis County Crime Victim's Fund and Office of the Attorney General - Other Victims Assistance Grants.

3. If your project will cover multi-jurisdictions, please list other cities and counties served.

ACGC's project will serve the CAPCOG 10-county region of Bastrop, Blanco, Burnet, Caldwell, Fayette, Hays, Lee, Llano, Travis, and Williamson counties. ACGC is located in Austin/Travis County. ACGC will provide services on-site at the ACGC building, virtually via a HIPAA-compliant teletherapy platform, and community partner locations in the nine counties outside of Travis County. ACGC offers a Technology Lending Program for families indicating a lack of equipment and internet access.

4. Please provide a breakdown of where your clientele is located.

For the period of 10/01/2022 and 09/30/2023, ACGC clients served through crime victim's funding resided in the following counties: Bastrop: 4%; Brazos: 2%; Travis: 60%; Wharton 2%; Williamson: 18%; and Unknown: 5%. No outreach and engagement services were undertaken during that time, reducing ACGC's visibility and overall reach. Additionally, services were only available on-site at ACGC's office in Travis County or through teletherapy appointments.

5. What is the demographic breakdown of your clientele served or proposed to be served?

a. [White/African American / Hispanic /Asian/etc.]

ACGC's mental health services (intake, therapy/counseling services, psychological assessment, psychiatric services, and brief case management) collected demographics for the period of 10/01/2022 and 09/30/2023 show:

Race: 8% Black/African American; 2% Asian; 7% Other; and 57% White

Ethnicity: 42% Hispanic/Latino

Age: 3%, ages 0 to 5; 28%, ages 5 to 11; 13%, ages 12 to 14; 8%, ages 15 to 17

Gender: 39% Male; 59% Female

6. Are services provided through temporary or permanent facilities?

ACGC will provide on-site services at the ACGC building, via HIPAA-compliant teletherapy platform, and community partner locations in the nine counties outside Travis County.

**Fiscal Capability: (Maximum 750 characters per question)**

7. Based on the current Fiscal Year identify by source the amount of funds allocated for the proposed project?  
For example: This project will be funded 50 % by HHSC for \$5,000 and by 50% by the OOG for \$5,000.

This project will be funded:

82% by the OOG for \$250,000  
16% by the OAG for \$49,490  
2% by the Travis County Crime Victims' Fund for \$7,035

8. What are your long-term plans for funding? (Maximum 750 characters)

ACGC is focused on increasing/diversifying staffing capacity to meet client needs. This includes our ability to increase program income from services to reduce ACGC's reliance on grant funds gradually year-over-year. ACGC continues to prioritize payments made by third parties and clients. Since 08/2021, ACGC has expanded the number of credentialed providers from 3 to 14 (367% increase) & the number of insurance companies from 4 to 10 (150% increase). ACGC is experiencing an increase in clients with the financial means to pay out-of-pocket for services, allowing ACGC to gradually offer more no-flow-cost services to clients living at or below the Federal Poverty Level, identifying as crime victims, or presenting other barriers to care.

9. What would the impact of 10% reduction in funding be on this project? (Maximum 750 characters)

A 10% reduction in funding would impact the program's capacity to hire one clinician, thus reducing the project's outputs by at least that much:

Victims/Survivors served reduced from 250 to at least 225

Counseling/Therapy hours provided to victims/survivors reduced from 1,750 to at least 1,575

It would also restrict the project's growth in the nine counties outside of Travis County, which would ultimately impact Central Texas child crime victims and their families' stability, safety, and security, as well as inhibit their understanding of and participation in the criminal justice system.





## CAPCOG Application Review – Project Summary Sheet

Organization Name: The Ecumenical Center
eGrants Application Identification Number: 4228003
What Fund Source Are You Applying For? <input checked="" type="checkbox"/> VOCA <input type="checkbox"/> VAWA <input type="checkbox"/> JAG <input type="checkbox"/> Truancy Prevention <input type="checkbox"/> Juvenile Justice
Project Title: Hill Country Counseling Program
Is This a Continuation Project? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
What Percentage of the Agency's Budget Does This Grant Cover? 1.8%

**Project Performance: (Maximum 750 characters per question)**

1. Does the project have other components or is part of another project that the CIAC would want to know about?

No, there are no other components.

2. If this is a continuation project, are you on track to meet your current impact measures? Please provide details supporting the progress of each measure.

The Ecumenical Center is on track for the number of survivors served and counseling hours to be provided. For Crisis Services, we have experienced staff turnover and didn't provide the number anticipated at the beginning of the grant year. This has been solved and we anticipate meeting all grant measures by the conclusion of this grant year.

3. If your project will cover multi-jurisdictions, please list other cities and counties served.

Services will be provided in Johnson City and Marble Falls, Texas, at the Community Resource Centers.

4. Please provide a breakdown of where your clientele is located.

The majority of clients are from Burnet County and Blanco County.

5. What is the demographic breakdown of your clientele served or proposed to be served?

a. [White/African American / Hispanic /Asian/etc.]

White clients are 62% of the clients served.

African American are 6% of the clients served.

Hispanic/Latino are 13% of the clients served.

Mixed Races are 19% of the clients served.

6. Are services provided through temporary or permanent facilities?

The facilities where counseling services are offered are permanent facilities owned by our collaborative partners.

**Fiscal Capability: (Maximum 750 characters per question)**

7. Based on the current Fiscal Year identify by source the amount of funds allocated for the proposed project?  
For example: This project will be funded 50 % by HHSC for \$5,000 and by 50% by the OOG for \$5,000.

This program is 100% funded by OOG during the current fiscal year. For the proposed program all cash match will be provided by a local private foundation, Methodist Healthcare Ministries.

8. What are your long-term plans for funding? (Maximum 750 characters)

The Ecumenical Center's executive staff is dedicated to pursuing a diverse portfolio of funding opportunities, to include state and federal government grant funding, foundations, corporate partners, and individual donors.

9. What would the impact of 10% reduction in funding be on this project? (Maximum 750 characters)

The impact of a 10% reduction in funding would be difficult, but The Ecumenical Center's executive staff would pursue alternative sources to insure that victims would have access to free services.



## CAPCOG Application Review – Project Summary Sheet

<b>Organization Name:</b> Hays County Women's Center, Inc.
<b>eGrants Application Identification Number:</b> 1366423
<b>What Fund Source Are You Applying For?</b>
<input checked="" type="checkbox"/> VOCA <input type="checkbox"/> VAWA <input type="checkbox"/> JAG <input type="checkbox"/> Truancy Prevention <input type="checkbox"/> Juvenile Justice
<b>Project Title:</b> Safety and Healing for Victims of Abuse
<b>Is This a Continuation Project?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<b>What Percentage of the Agency's Budget Does This Grant Cover?</b> 25%

**Project Performance: (Maximum 750 characters per question)**

1. Does the project have other components or is part of another project that the CJAC would want to know about?

The proposed project continues to provide direct crisis services to 1600 victims of family violence, dating violence, and sexual assault and abuse. In addition to providing 24-hour shelter, crisis intervention, individual and group counseling, resource and legal advocacy, legal and medical accompaniment, and 24-hour hotline assistance, in August of 2022, HCWC welcomed its first families into the newly constructed transitional housing complex on our campus in San Marcos. The Marla R. Johnson Family Housing Center provides safe, affordable, longer-term housing and free childcare and education for our youngest residents at our on-site Early Head Start Center, the only EHS center to be located within transitional housing in the country.

2. If this is a continuation project, are you on track to meet your current impact measures? Please provide details supporting the progress of each measure.

HCWC is on track to meet or exceed all of our current FY24 goals and impact measures.

For our FY23 VOCA grant, we exceeded all of the stated goals:

Number of counseling hours provided to survivors.	Goal: 4000	Actual: 4501
Number of victims / survivors provided shelter.	Goal: 350	Actual: 367
Number of survivors assisted through the legal process.	Goal: 200	Actual: 712
Number of survivors receiving counseling / therapy.	Goal: 850	Actual: 939
Number of survivors receiving crisis counseling.	Goal: 1250	Actual: 1551
Number of times survivors are accompanied to court.	Goal: 75	Actual: 85

3. If your project will cover multi-jurisdictions, please list other cities and counties served.

HCWC covers Hays and Caldwell counties. However, when space is available, we will accept victims of family violence from outside of our service area who are in need of safe shelter.

4. Please provide a breakdown of where your clientele is located.

HCWC serves survivors from Hays and Caldwell counties. For FY23:

Hays County: 75% (San Marcos 33%, Kyle, 23%, Buda 9%, Wimberley 3%, Dripping Springs 2%, other Hays 5%)

Caldwell County: 25% (Lockhart 10%, Luling 2%, Martindale 1%, other Caldwell 12%)

5. What is the demographic breakdown of your clientele served or proposed to be served?

a. [White/African American / Hispanic /Asian/etc.]

Latino: 50%

White: 40%

Black: 5%

Asian: 1%

Multi-race: 4%

6. Are services provided through temporary or permanent facilities?

All of HCWC's facilities (the Administrative/Education Office, the Counseling Center, the Family Violence Shelter, and the Transitional Housing Complex) on the San Marcos campus are permanent and owned by the agency. The Caldwell County / Lockhart Office is a rented office space.

**Fiscal Capability: (Maximum 750 characters per question)**

7. Based on the current Fiscal Year identify by source the amount of funds allocated for the proposed project?  
For example: This project will be funded 50 % by HHSC for \$5,000 and by 50% by the OOG for \$5,000.

Health and Human Services	\$792,154	23%
Office of the Attorney General	\$334,933	10%
Local Foundations	\$385,000	11%
Local Government	\$165,000	5%
Other Local Funds	\$491,000	14%
Total Program/Project Budget: \$3,566,670		

8. What are your long-term plans for funding? (Maximum 750 characters)

HCWC has a 45-year history of successful grant writing, grant management, and fundraising and will continue to aggressively seek funding for critical victim services for survivors of abuse in Hays and Caldwell counties.

9. What would the impact of 10% reduction in funding be on this project? (Maximum 750 characters)

A 10% reduction would mean that HCWC would have to reduce the number of individual and group counseling sessions available for survivors of domestic violence, dating violence, and sexual assault and abuse.



## CAPCOG Application Review – Project Summary Sheet

<b>Organization Name:</b> City of Austin
<b>eGrants Application Identification Number:</b> 2827409
<b>What Fund Source Are You Applying For?</b> <input checked="" type="checkbox"/> VOCA <input type="checkbox"/> VAWA <input type="checkbox"/> JAG <input type="checkbox"/> Truancy Prevention <input type="checkbox"/> Juvenile Justice
<b>Project Title:</b> APD Victims of Crime Act Project
<b>Is This a Continuation Project?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<b>What Percentage of the Agency's Budget Does This Grant Cover?</b> Less than 1%

**Project Performance: (Maximum 750 characters per question)**

1. Does the project have other components or is part of another project that the CJAC would want to know about?

This is a stand alone project with no other components.

2. If this is a continuation project, are you on track to meet your current impact measures? Please provide details supporting the progress of each measure.

The City receive an award for the FY24 grant cycle in December 2023. In January, the City's budget was approved by the OOG and APD is in the process of hiring personnel and implementing approved activities. Based on the budget approved in January, activities are on track to meet current impact measures by the grant end date.

3. If your project will cover multi-jurisdictions, please list other cities and counties served.

This project will operate in one jurisdiction (City of Austin Police Department).

4. Please provide a breakdown of where your clientele is located.

Clientele is located in Austin, Texas, Travis County.

5. What is the demographic breakdown of your clientele served or proposed to be served?

a. [White/African American / Hispanic /Asian/etc.]

City of Austin demographics 2020 census ([www.census.gov](http://www.census.gov)):

White ( 54.7%), Black ( 7.3%), American Indian / Alaska Native ( 0.9%), Asian ( 9.0%), Native Hawaiian / Pacific Islander (0.1%), Hispanic / Latino ( 32.5%), two or more races ( 16.2%).

6. Are services provided through temporary or permanent facilities?

Services will be provided in City facilities - primarily permanent, potentially a few temporary work sites.



**Fiscal Capability: (Maximum 750 characters per question)**

7. Based on the current Fiscal Year identify by source the amount of funds allocated for the proposed project?  
For example: This project will be funded 50 % by HHSC for \$5,000 and by 50% by the OOG for \$5,000.

The current FY project is funded at 100% by OOG, with state funding in the amount of \$507,510.

8. What are your long-term plans for funding? (Maximum 750 characters)

The City is requesting \$592,328 for FY25. The proposed budget includes all project costs at 100%, with an in-kind match of 20% (\$148,087). This is an application for year 2 of a 3-year project. We understand that regional rules require CJAC reductions and the City's governing body is aware that, should we receive an FY25 award, our agency will need to contribute to remain fully staffed for the 12-month performance period. We commit to the continuation of programming for the three year period and beyond.

9. What would the impact of 10% reduction in funding be on this project? (Maximum 750 characters)

If there is a 10% reduction, the City would either reduce the number of proposed direct service hours or increase the amount of City funds dedicated to the program.



# CAPCOG Application Review – Project Summary Sheet

<b>Organization Name:</b> Red Oak Hope
<b>eGrants Application Identification Number:</b> 4728302
<b>What Fund Source Are You Applying For?</b>
<input checked="" type="checkbox"/> VOCA <input type="checkbox"/> VAWA <input type="checkbox"/> JAG <input type="checkbox"/> Truancy Prevention <input type="checkbox"/> Juvenile Justice
<b>Project Title:</b> Red Oak Hope Austin Programs
<b>Is This a Continuation Project?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<b>What Percentage of the Agency's Budget Does This Grant Cover?</b> 27.29%

**Project Performance: (Maximum 750 characters per question)**

- Does the project have other components or is part of another project that the CJAC would want to know about?

We're in the preparation stage to begin raising funds to open an Emergency Shelter and Stabilization Program to increase our capacity and expand our capabilities to support more victims of commercial sexual exploitation or trafficking. We will not begin to seek funds for this until we have a facility but we recognize the significant gap in services that remain for this population.

- If this is a continuation project, are you on track to meet your current impact measures? Please provide details supporting the progress of each measure.

In the first reporting quarter of the current grant cycle we reported the following:

- 50% of target for Number of survivors receiving crisis counseling;
- 50% of target for Number of victims / survivors provided shelter;
- 85% of target for Number of victims / survivors seeking services who were served;
- 80% of target for Number of victims seeking services who were not served; and
- 40% of target for Number of victims who requested shelter.

We provided 426 nights of safe shelter to 6 clients, provided and/or supported 25 medical appointments, 83 hours of counseling, 27 hours of legal support, and 429 hours of case management to 17 clients.

3. If your project will cover multi-jurisdictions, please list other cities and counties served.

We are located in Travis County but take referrals from around the state and occasionally out of state.

4. Please provide a breakdown of where your clientele is located.

The clients we serve are ~97% in Travis or surrounding counties We have a small number of clients we support remotely via phone or email who have reached out to us via our website or were referred, as well as some who have relocated out of state.

5. What is the demographic breakdown of your clientele served or proposed to be served?

a. [White/African American / Hispanic /Asian/etc.]

Below are the average percentages of the race/ethnicity of our clientele to date:

American Indian/Alaska Native -10%; Asian Black/African American - 44%; Hispanic or Latino - 11%; Native Hawaiian and Other Pacific 0%; White/Non-Latino Caucasian - 13%; Some Other Race/Multiple Races - 22%

6. Are services provided through temporary or permanent facilities?

We currently rent the facilities our services operate from.

**Fiscal Capability: (Maximum 750 characters per question)**

7. Based on the current Fiscal Year identify by source the amount of funds allocated for the proposed project?  
For example: This project will be funded 50 % by HHSC for \$5,000 and by 50% by the OOG for \$5,000.

This project will be funded 49% by the OOG and 51% through a combination of individual donations, fundraising events, giving campaigns, and funding from foundations.

8. What are your long-term plans for funding? (Maximum 750 characters)

Red Oak Hope financially maintains all programs and services through individual donations (recurring monthly donations, one-time gifts, corporate, and church supporters), fundraising events (gala, luncheons, etc.), giving campaigns, and funding from foundations and grants. We recognize the need for diverse funding sources and have a robust development effort. Last year, 45.6% of our organizational income came from individual donations, monthly recurring donors, and corporate and faith community partners. We raised 23.7% of our revenue through events (gala, luncheons, etc.). Foundations and grants provided 30.8% of our overall income.

9. What would the impact of 10% reduction in funding be on this project? (Maximum 750 characters)

A 10% reduction in funding will require us to shift projections and fundraising efforts. Our fiscal year is January 1-December 31, and notice of funding by October 1 provides an opportunity to modify our fundraising plans and needs before the next fiscal year begins.



## CAPCOG Application Review – Project Summary Sheet

<b>Organization Name:</b> Highland Lakes Family Crisis Center
<b>eGrants Application Identification Number:</b> 1366823
<b>What Fund Source Are You Applying For?</b> <input checked="" type="checkbox"/> VOCA <input type="checkbox"/> VAWA <input type="checkbox"/> JAG <input type="checkbox"/> Truancy Prevention <input type="checkbox"/> Juvenile Justice
<b>Project Title:</b> Family Violence/Sexual Assault Services
<b>Is This a Continuation Project?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<b>What Percentage of the Agency's Budget Does This Grant Cover?</b> 31%

**Project Performance: (Maximum 750 characters per question)**

1. Does the project have other components or is part of another project that the CJAC would want to know about?

No, the Family Violence/Sexual Assault Services project is the core services program at Highland Lakes Family Crisis Center. The project is however supported by other funds outside of VOCA.

2. If this is a continuation project, are you on track to meet your current impact measures? Please provide details supporting the progress of each measure.

Yes, the current project is on track to meet all performance measures and to expend the current full award. Currently the project has served 73% of target number of survivors seeking services from Highland Lakes Family Crisis Center (HLFCC) in the first quarter. HLFCC has also achieved 25%+ of the project target for number of survivors receiving counseling/therapy and survivors receiving crisis counseling in the first quarter.

3. If your project will cover multi-jurisdictions, please list other cities and counties served.

The Family Violence/Sexual Assault Services project covers Blanco, Burnet and Llano counties.

4. Please provide a breakdown of where your clientele is located.

Survivors utilizing HLFCC services in fiscal year 2023 were located in the following counties: HLFCC service area accounts for 63% of survivors served (1% - Blanco, 47% - Burnet, 12% - Llano, 3% - Lampasas\* (\*HLFCC covers this county but does not utilize CAPCOG funds for services provided in this community))

21% of survivors served come from other CAPCOG counties (12% - Travis, 7% - Williamson 1%- Bastrop, 1% - Hays).

16% of survivors served come from other Texas counties

5. What is the demographic breakdown of your clientele served or proposed to be served?

a. [White/African American / Hispanic /Asian/etc.]

Survivors utilizing HLFCC services in fiscal year 2023 identified as the following:  
36% - White; 1% - American Indian or Alaska Native; 13% - Black or African American; 28% - Hispanic or Latino; 21% - Multiple Races; Less than 1% each - Asian or Native Hawaiian or other Pacific Islander

81% - Female; 18% - Male; Less than 1% - Gender Nonconforming

66% - Adult; 34% - Child

6. Are services provided through temporary or permanent facilities?

Highland Lakes Family Crisis Center (HLFCC) services are provided through both temporary (shared) and permanent facilities. All residential (shelter) services are provided through permanent facilities owned by (HLFCC). Nonresidential or Outreach services are provided through both permanent facilities owned by HLFCC, as well as shared and donated space that provide private and confidential meeting spaces with clients. These spaces are located throughout the service area and co-located with Community Resource Centers (CRCs), area faith based organizations and other community services partners.

**Fiscal Capability: (Maximum 750 characters per question)**

7. Based on the current Fiscal Year identify by source the amount of funds allocated for the proposed project?  
For example: This project will be funded 50 % by HHSC for \$5,000 and by 50% by the OOG for \$5,000.

VOCA (OOG) - 35% for \$419,681.60

HHSC - 28% for \$340,858.10

SAPCS-State (OAG) - 13% for \$155,590.00

OVAG (OAG) - 4% for \$42,000.00

Local community funds and fundraising/thrift store revenue - 20% for \$243,000.00

8. What are your long-term plans for funding? (Maximum 750 characters)

Since FY19 Highland Lakes Family Crisis Center (HLFCC) has increased the agency's fundraising efforts by 210%, HLFCC has also sought out local and community-based grants for additional funding for the Domestic Violence/Sexual Assault Services project. HLFCC also operates the Good Neighbor Thrift Store which has increased its revenue by 84% in the past 24 months. In the long-term Highland Lakes Family Crisis Center will continue to increase fundraising efforts and seek out additional local, state, and national grant funding to support this project.

9. What would the impact of 10% reduction in funding be on this project? (Maximum 750 characters)

Any funding reduction in excess of 10% would result in a reduction in workforce, along with the subsequent reduction in service quality and capacity at Highland Lakes Family Crisis Center.



## CAPCOG Application Review – Project Summary Sheet

<b>Organization Name:</b> Marble Falls Police Department
<b>eGrants Application Identification Number:</b> 5010101
<b>What Fund Source Are You Applying For?</b> <input checked="" type="checkbox"/> VOCA <input type="checkbox"/> VAWA <input type="checkbox"/> JAG <input type="checkbox"/> Truancy Prevention <input type="checkbox"/> Juvenile Justice
<b>Project Title:</b> SPIDR Tech
<b>Is This a Continuation Project?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<b>What Percentage of the Agency's Budget Does This Grant Cover?</b> 0.39%

**Project Performance: (Maximum 750 characters per question)**

1. Does the project have other components or is part of another project that the CJAC would want to know about?

This is a stand alone project that would provide the Marble Falls Police Department with the crime victim notification system, SPIDR Tech. SPIDR Tech is a multilingual electronic software system that would allow the agency to leverage its own data to improve communication and increase efficiency when providing victims with information regarding their case. SPIDR Tech's software system ensures that crime victims of all populations receive up to date information regarding their rights, the resources available to them, and the status of their investigation, which are all critical components of victim restoration. Victims will also have the ability to provide feedback on each interaction they have with an officer.

2. If this is a continuation project, are you on track to meet your current impact measures? Please provide details supporting the progress of each measure.

This is not a continuation project.



3. If your project will cover multi-jurisdictions, please list other cities and counties served.

This project will effect any person served by the Marble Falls Police Department. In some instances, the agency responds to assist within the cities of Horseshoe Bay, Granite Shoals, and Cottonwood Shores. At times, the agency may also assist the Burnet County Sheriff's Office, the Blanco County Sheriff's Office, and the Llano County Sheriff's Office at addresses located within their respective counties.

4. Please provide a breakdown of where your clientele is located.

In 2023, the Marble Falls Police Department responded to 19,531 requests for assistance. These requests came from individuals both inside and outside of the city limits. In addition, Marble Falls Police Department engaged in 3,886 traffic stops. SPIDR-Tech will send automated and customizable messages and emails to each and every emergency/non-emergency complainant, traffic violator, and crime victim entered into the agency's CAD and Report Management System, regardless of their geographic location.

5. What is the demographic breakdown of your clientele served or proposed to be served?

a. [White/African American / Hispanic /Asian/etc.]

SPIDR Tech will affect every citizen served by the MFPD regardless of age, race, gender, or residency. According to current US Census data, the city of Marble Falls, Texa is comprised of approximately 67% White persons, 30% Hispanic or Latino persons, 3% Black or African American persons. The city has a poverty rate of 9.2%. Women make up 46.3% of the population while men make up 53.7%. Approximately 19% of citizens are ages 65 or older, and 13% of those under the age of 65 have been diagnosed with some type of disability. Our city also serves 224 veterans. Finally, data also indicates that 15.9% of the population includes individuals ages 5 yoa and older that speak a language other than English in the home.

6. Are services provided through temporary or permanent facilities?

Marble Falls Police Department  
606 Avenue N  
Marble Falls, TX 78654

**Fiscal Capability: (Maximum 750 characters per question)**

7. Based on the current Fiscal Year identify by source the amount of funds allocated for the proposed project?  
For example: This project will be funded 50 % by HHSC for \$5,000 and by 50% by the OOG for \$5,000.

If awarded, 80% of this project would be funded by the OOG (General Victim Assistance Grant) in the amount of \$14,177.60. The remaining 20% of funding would be provided by the City of Marble Falls in the amount of \$3,544.40, for a total project amount of \$17,722.00.

8. What are your long-term plans for funding? (Maximum 750 characters)

The Marble Falls Police Department will enter into a contract with SPIDR Tech a Versaterm Public Safety Company for a one time purchase in the amount of \$17,722.00 for deployment and installation of SPIDR Platform: Insights Module, SPIDR Platform: Investigations Module, and SPIDR Tech Platform: Patrol Module. SPIDR Tech will then invoice the agency on an annual basis in the amount of \$7,722.00 for licensing/subscription fees (26 total licenses). The Marble Falls Police Department will be responsible for payment of all subsequent annual licensing fees (no grant funding requested or allocated).

9. What would the impact of 10% reduction in funding be on this project? (Maximum 750 characters)

With a 10% reduction in funding, the City of Marble Falls City Council would have to approve a cash match of \$5,316.60 to complete the project. If not approved, the project would be postponed for possible future funding opportunities in for Fiscal Year 2026.



# CAPCOG Application Review – Project Summary Sheet

<b>Organization Name:</b> Bastrop County Women’s Shelter, dba Family Crisis Center
<b>eGrants Application Identification Number:</b> 2903007
<b>What Fund Source Are You Applying For?</b> <input checked="" type="checkbox"/> VOCA <input type="checkbox"/> VAWA <input type="checkbox"/> JAG <input type="checkbox"/> Truancy Prevention <input type="checkbox"/> Juvenile Justice
<b>Project Title:</b> Comprehensive Victim Services
<b>Is This a Continuation Project?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<b>What Percentage of the Agency’s Budget Does This Grant Cover?</b> 11%

**Project Performance: (Maximum 750 characters per question)**

1. Does the project have other components or is part of another project that the CJAC would want to know about?

Family Crisis Center's Comprehensive Victim Services project provides community-based victim services in both non-residential and nonresidential settings and includes the following core victim services: 24/7 access to crisis intervention; emergency shelter; access to services through satellite offices; emergency transportation; safety planning; counseling; assistance with the protective order process; assistance filing criminal charges; court accompaniment; assistance with applying for Crime Victims’ Compensation; case management; and personal advocacy. This project represents the majority of core victim services provided by the Center; the other components are violence prevention education and a transitional housing program.

2. If this is a continuation project, are you on track to meet your current impact measures? Please provide details supporting the progress of each measure.

The project is on track to meet impact measures. In the first quarter of the FY24 project, the Family Crisis Center recorded 1) 100 individuals received advocacy and support services (22% to goal); 2) 58 individuals received emergency shelter (25% to goal); and 12 individuals received counseling services (22% to goal). Additionally, of the clients surveyed, 91% reported increased knowledge of community resources, 92% reported increased knowledge of personal safety strategies, 86% reported increased knowledge of child safety strategies, and 94% reported decreased feelings of isolation as a result of contact with the program.

3. If your project will cover multi-jurisdictions, please list other cities and counties served.

The Family Crisis Center's Comprehensive Victim Services project service area includes all cities, smaller un-incorporated communities and rural areas of Bastrop County, Fayette County, and Lee County.

4. Please provide a breakdown of where your clientele is located.

The Family Crisis Center serves residents of Bastrop, Fayette, and Lee counties. Bastrop County has a population of 106,188 residents, Fayette County has a population of 24,913 residents, and Lee County has a population of 17,954 residents (U.S. Census Bureau American Community Survey 2022). Bastrop County is the most heavily populated county in the service-area and has experienced a population growth of 42% in the last ten years.

5. What is the demographic breakdown of your clientele served or proposed to be served?

a. [White/African American / Hispanic /Asian/etc.]

Of the clients served in 2023 by the Family Crisis Center, 76% were women, 19% were children, and 5% were men. The ethnic makeup of clients served was as follows: 41.5% of clients identified as White, 35.6% Hispanic, 13.8% Black or African American, 6.0% Multi-racial, 1.2% as another ethnicity not listed, 0.5% Asian, 0.5% as Unknown, 0.4% Native American, and 0.4% Hawaiian Native or Other Pacific Islander.

6. Are services provided through temporary or permanent facilities?

Services are provided through the Family Crisis Center's main office and emergency shelter in Bastrop County, and outreach offices in Fayette and Lee County, all of which are permanent facilities.

**Fiscal Capability: (Maximum 750 characters per question)**

7. Based on the current Fiscal Year identify by source the amount of funds allocated for the proposed project?  
For example: This project will be funded 50 % by HHSC for \$5,000 and by 50% by the OOG for \$5,000.

The agency total annual budget for FY24 is \$3,161,799. Approximately 74%, or \$2,324,423, of the total budget supports the Family Crisis Center's victim services project. The amount requested from OOG for this project is \$361,565.54, which represents 16% of the project costs. The remaining 84% of funding for this project comes from other government grants, including HHSC Family Violence Program grant, US DOJ – Office on Violence Against Women grants, OAG Other Victims Assistance Grant (OVAG), OAG SAPCS-Federal and SAPCS-State grants; foundation grants; city & county funding; local contributions; and proceeds from the agency's thrift store.

8. What are your long-term plans for funding? (Maximum 750 characters)

The services outlined in this application are a vital part of the Family Crisis Center's victim services program, and these services have been provided since the Center's incorporation in 1983. The Center is committed to ensuring these services continue and has a clearly defined sustainability plan, through which it continues to explore ways to diversify funding. Currently, 50% of the Center's 3.1M annual budget is supported by government grants, and the remaining 50% is supported through other funding from foundations, city/county funding, local contributions, program income, fundraising, and thrift store profits.

9. What would the impact of 10% reduction in funding be on this project? (Maximum 750 characters)

Funding through the Criminal Justice Division of the Governor's Office is a core component of the agency's governmental grant funding, and 96% of the proposed project budget is allocated to direct service staff salaries. A 10% reduction in funding has the potential to impact core victim services staff positions at the agency, and could result in a reduction of staff and victim services provided. The agency will continue to seek other funding and support for victim services programs through local contributions, fundraising activities, and the research and identification of new grant opportunities.



# CAPCOG Application Review – Project Summary Sheet

<b>Organization Name:</b> Williamson County Sheriffs Office
<b>eGrants Application Identification Number:</b> 4990501
<b>What Fund Source Are You Applying For?</b> <input checked="" type="checkbox"/> VOCA <input type="checkbox"/> VAWA <input type="checkbox"/> JAG <input type="checkbox"/> Truancy Prevention <input type="checkbox"/> Juvenile Justice
<b>Project Title:</b> Williamson County Sheriff's Office Victim Assistance Unit
<b>Is This a Continuation Project?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<b>What Percentage of the Agency's Budget Does This Grant Cover?</b> 20%

**Project Performance: (Maximum 750 characters per question)**

1. Does the project have other components or is part of another project that the CJAC would want to know about?

No

2. If this is a continuation project, are you on track to meet your current impact measures? Please provide details supporting the progress of each measure.

N/A

3. If your project will cover multi-jurisdictions, please list other cities and counties served.

Project will cover Williamson County Sheriff's Office and other agencies we assist within Williamson County. This includes Hutto PD, Liberty Hill PD, Jarrell PD, Georgetown PD, Taylor PD, Granger PD, Florense PD, Thrall PD.

4. Please provide a breakdown of where your clientele is located.

Incorporated cities, towns, and census designated places in Williamson County Austin, Bartlett, Brush Creek, Cedar Park, Coupland, Florence, Georgetown, Granger, Hutto, Jarrell, Leander, Liberty Hill, Round Rock, Santa Rita Ranch, Serenada, Sonterra, Taylor, Thrall, Weir

5. What is the demographic breakdown of your clientele served or proposed to be served?

a. [White/African American / Hispanic /Asian/etc.]

White alone, 77.6%

Black or African American alone, 7.9%

American Indian and Alaska Native alone, 0.9%

Asian alone, 10.3%

Native Hawaiian and Other Pacific Islander alone, 0.2%

Two or More Races, 3.1%

Hispanic or Latino, 25.3%

White alone, not Hispanic or Latino, 54.6%

6. Are services provided through temporary or permanent facilities?

Permanent Facility; Williamson County Sheriff Office

**Fiscal Capability: (Maximum 750 characters per question)**

7. Based on the current Fiscal Year identify by source the amount of funds allocated for the proposed project?  
For example: This project will be funded 50 % by HHSC for \$5,000 and by 50% by the OOG for \$5,000.

The total amount of the grant is approximately \$44,000 with \$35,200 being requested from the OOG and 20% match of \$8,800

8. What are your long term plans for funding? (Maximum 750 characters)

Receiving the grant will allow the unit to focus on financing general operations costs needed by the unit to help the victims we encounter for immediate crisis services. During the year that the unit has the grant, the unit plans to find additional funds outside the grant to be put in our donation fund that currently holds \$294. Donation funds come from outside community sources that must be approved by commissioner court before funds can be used.

9. What would the impact of 10% reduction in funding be on this project? (Maximum 750 characters)

A 10% reduction in funding for this project would cause at least one objective to be removed. Specifically, the unit would need to remove budgeted training for staff, remodel our family counseling room for families and children, or translating and printing material for our incoming expat population arriving soon. The unit's priority would be emergency crisis services; therefore we would not remove or reduce funding from this category or objective.





## CAPCOG Application Review – Project Summary Sheet

<b>Organization Name:</b> Del Valle ISD
<b>eGrants Application Identification Number:</b> 5022901
<b>What Fund Source Are You Applying For?</b> <input checked="" type="checkbox"/> VOCA <input type="checkbox"/> VAWA <input type="checkbox"/> JAG <input type="checkbox"/> Truancy Prevention <input type="checkbox"/> Juvenile Justice
<b>Project Title:</b> Del Valle ISD VOCA Support Services
<b>Is This a Continuation Project?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<b>What Percentage of the Agency's Budget Does This Grant Cover?</b> Less than 1%

**Project Performance: (Maximum 750 characters per question)**

1. Does the project have other components or is part of another project that the CJAC would want to know about?

Personnel from the project would also have access to the Licensed Chemical Dependency Counselors that have been a part of our district for 2 years. The access would allow for students to receive information about the impact of substance use/abuse if it was pertinent to the crime that was experienced by the student. The therapist would be involved with the CARE team that provides a space for discussion of challenging student situations and provide information to access additional academic supports that students may qualify for in our schools. If are families are experiencing loss of stable housing they will be connected to our McKinney-Vento supports provided by the Student Re-engagement team.

2. If this is a continuation project, are you on track to meet your current impact measures? Please provide details supporting the progress of each measure.

This is not a continuation project.

3. If your project will cover multi-jurisdictions, please list other cities and counties served.

Austin, Del Valle, Creedmore and Cedar Creek

Counties - Travis and Bastrop

4. Please provide a breakdown of where your clientele is located.

Our services are located on the campus in the Del Valle ISD school districts.

Three therapist will be utilized where the greatest need is based on referrals as such there is a potential to obtain referrals from 9 Elem, 3 Middle School, 1 High School and 2 alternative programs.

5. What is the demographic breakdown of your clientele served or proposed to be served?

a. [White/African American / Hispanic /Asian/etc.]

The districts current demographics are as follows:

White 4.38%,Hispanic-Latino 83.38%, Black African American 8.89%

Asian 1.65%, American Indian-Alaskan Native 0.05%, Native Hawain Pacific Islander 0.08%

Two or More Races 1.58%

6. Are services provided through temporary or permanent facilities?

Services are provided through permanent facilities.

**Fiscal Capability: (Maximum 750 characters per question)**

7. Based on the current Fiscal Year identify by source the amount of funds allocated for the proposed project?  
For example: This project will be funded 50 % by HHSC for \$5,000 and by 50% by the OOG for \$5,000.

This project will be funded 20% by Del Valle ISD as the therapist will work collaboratively with the district's social workers and counselors including the district's Director of Student Support and 80% by the OOG.

8. What are your long-term plans for funding? (Maximum 750 characters)

Seeking grants from government agencies, foundations, and other funding sources can provide additional support for school-based mental health programs. The district can actively pursue grant opportunities that align with their goals and objectives, helping to supplement existing funding and expand services. In addition we can seek out partners who utilize medicaid, sliding scale rate based on income or other insurance to help support our families

9. What would the impact of 10% reduction in funding be on this project? (Maximum 750 characters)

The 10% reduction will have a significant impact by : Reduction of a position - with less funding available, the project may have to cut back on staff members, leading to fewer mental health professionals available to support students. This could result in increased caseloads for remaining staff, reducing the quality and quantity of care each student receives.

The project may have to reduce spending on essential resources such as therapy materials, training programs, or technology resources used for mental health interventions. This could limit the effectiveness of the interventions and hinder the ability to adapt to the needs of the students.



# CAPCOG Application Review – Project Summary Sheet

Organization Name: <b>Travis County</b>
eGrants Application Identification Number: <b>4426203</b>
What Fund Source Are You Applying For? <input checked="" type="checkbox"/> VOCA <input type="checkbox"/> VAWA <input type="checkbox"/> JAG <input type="checkbox"/> Truancy Prevention <input type="checkbox"/> Juvenile Justice
Project Title: <b>Specialized and Trauma Informed Services for Victims of Family Violence</b>
Is This a Continuation Project? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
What Percentage of the Agency's Budget Does This Grant Cover? <b>.1</b>

### Project Performance:

1. Does the project have other components or is part of another project that the CJAC would want to know about?

This project is an expansion of trauma informed care for family violence victims currently provided by the Victim Services Division of the Travis County District Attorney's Office. This project is also supported by a Texas Council on Family Violence grant to support a Domestic Violence-High Risk Team Coordinator in 2022, which was extended to August, 2024. It is also supported by a federal grant from the Office on Violence Against Women, which became active in FY23 and supports two victim counselors on the Family Violence team for 3 years.

2. If this is a continuation project, are you on track to meet your current impact measures? Please provide details supporting the progress of each measure.

This is a continuation project, and we are on track to meet our current impact measures. In the first quarter, the family violence team saw 156 convictions, towards our target of 600 over the year. Grant-funded counselors attended 12 multi-disciplinary team meetings, out of a target of 45 over the year. Our counselors assisted 54 survivors through the legal process, out of a target of 175 over the year, and our counselors provided crisis services to 41 victims, out of a target of 200 over the year. Our counselors also accompanied 11 victims to court during this quarter, out of a target of 55 accompaniments for the year, and lastly, counselors served 68 total victims, out of a target 245 for the year.

3. If your project will cover multi-jurisdictions, please list other cities and counties served.

This program services Travis County, including the cities of Austin, Manor, Pflugerville, Round Rock, etc.

4. Please provide a breakdown of where your clientele is located.

Over 230 different zip codes, with a majority in Austin, Pflugerville, Del Valle, Manor, Round Rock

5. What is the demographic breakdown of your clientele served or proposed to be served?

a. [White/African American / Hispanic /Asian/etc.]

Race: 26% Black, 68% White, 1.1% Asian or Pacific Islander.

Ethnicity: 41% Hispanic/Latino, 49% Non-Hispanic/Latino.

6. Are services provided through temporary or permanent facilities?

The services are provided through permanent facilities at the TCDAO in the Ronald Earle Building at 416 11th St. Austin, TX.

**Fiscal Capability:**

7. Based on the current Fiscal Year identify by source the amount of funds allocated for the proposed project?  
For example: This project will be funded 50 % by HHSC for \$5,000 and by 50% by the OOG for \$5,000.

This fiscal year, the Victim Services division is supported by State Funds (OAG- DVHRT & OOG - VOCA) 8%, federal funds (OVW-ICJR) 15%, and local County funds, 77%.

This specific project, supporting two counselors within the family violence division, would be funded 80% by OOG funds and 20% by local county funds.

8. What are your long-term plans for funding?

If grant funds are discontinued, TCDAO would request the Commissioners Court to fund the position using revenue from the general fund.

9. What would the impact of 10% reduction in funding be on this project?

100% of awarded grant funds will go towards staff salary. Any reduction in funding will reduce the duration of time that we can have counselors in the positions, and therefore will reduce the capacity of our office to serve victims. At the time of our original grant application, our counselor's caseloads were untenable. This grant has allowed us to hire additional counselors, thereby reducing the caseload of each counselor on our team and increasing their capacity to best serve victims in a timely and thorough manner.



## CAPCOG Application Review – Project Summary Sheet

<b>Organization Name:</b> Round Rock ISD
<b>eGrants Application Identification Number:</b> 4935501
<b>What Fund Source Are You Applying For?</b> <input checked="" type="checkbox"/> VOCA <input type="checkbox"/> VAWA <input type="checkbox"/> JAG <input type="checkbox"/> Truancy Prevention <input type="checkbox"/> Juvenile Justice
<b>Project Title:</b> Victim Assistance
<b>Is This a Continuation Project?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<b>What Percentage of the Agency's Budget Does This Grant Cover?</b> 2%

**Project Performance: (Maximum 750 characters per question)**

1. Does the project have other components or is part of another project that the CJAC would want to know about?

This project is not part of another project. A grant from the Office of the Texas Governor (OOG) will allow Round Rock ISD Police Department to create the Victim Advocate position and implement the program.

2. If this is a continuation project, are you on track to meet your current impact measures? Please provide details supporting the progress of each measure.

N/A

3. If your project will cover multi-jurisdictions, please list other cities and counties served.

The project will cover Round Rock ISD Police Department's jurisdiction. This includes Williamson County and portions of Travis County. Round Rock, north Austin and Cedar Park.

4. Please provide a breakdown of where your clientele is located.

This program will target Round Rock ISD students, staff members and families who are in need of victim's assistance. Of the Round Rock ISD campuses, 35 are located in Round Rock and 19 are located Austin.

5. What is the demographic breakdown of your clientele served or proposed to be served?

a. [White/African American / Hispanic /Asian/etc.]

The district's student population is roughly 8.3% African American, 30.2% Hispanic, 35.5% White, .4% Native American, 20.5% Asian, Pacific Islander .2% and 4.5% two or more races.

6. Are services provided through temporary or permanent facilities?

The services will be provided at permanent Round Rock ISD facilities.



**Fiscal Capability: (Maximum 750 characters per question)**

7. Based on the current Fiscal Year identify by source the amount of funds allocated for the proposed project?  
For example: This project will be funded 50 % by HHSC for \$5,000 and by 50% by the OOG for \$5,000.

The OOG grant will provide 80% of the initial start up funds needed to create the position and implement the program. Round Rock ISD will contribute 20%.

8. What are your long-term plans for funding? (Maximum 750 characters)

Round Rock ISD Police Department plans to absorb the position into its local budget in the long-term. The Victim Advocate has the ability to save the district money; therefore, contributing to its own sustainability. For example, the Case Manager will help victims remain in school. Public school districts are funded based upon attendance, not enrollment.

9. What would the impact of 10% reduction in funding be on this project? (Maximum 750 characters)

Round Rock ISD Police Department is deeply committed to seeing the Victim Advocate position come to fruition. Officers are currently doing their best to provide support to victims; however, this takes attention from their normal duties. If funded at a 10% reduction, the department will work to find additional funding to close the gap.



## CAPCOG Application Review – Project Summary Sheet

<b>Organization Name:</b> The SAFE Alliance
<b>eGrants Application Identification Number:</b> 1400524
<b>What Fund Source Are You Applying For?</b>
<input checked="" type="checkbox"/> VOCA <input type="checkbox"/> VAWA <input type="checkbox"/> JAG <input type="checkbox"/> Truancy Prevention <input type="checkbox"/> Juvenile Justice
<b>Project Title:</b> Comprehensive Services for Family and Sexual Violence Victims
<b>Is This a Continuation Project?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<b>What Percentage of the Agency's Budget Does This Grant Cover?</b> 5.9%

**Project Performance:**

- Does the project have other components or is part of another project that the CJAC would want to know about? (Maximum 750 characters)

The comprehensive services presented in this application include 24/7 crisis hotline, emergency shelters, legal and medical advocacy or accompaniment, case management, peer support, legal representation or advocacy, counseling, peer support, case management and foster and adoption services. These are a portion of the wide spectrum of additional victim services offered by SAFE. Annually, SAFE serves over 6,000 victims of violence annually. In this PY25 application, SAFE will continue to subcontract with American Gateways to provide legal representation to crime victims.

- If this is a continuation project, are you on track to meet your current impact measures? Please provide details supporting the progress of each measure. (Maximum 750 characters)

Project is on track to meet most measures, per Q1 reporting.  
 On track: # victims served: target 1,500; Q1: 374; # survivors receiving crisis counseling: target 17,000; Q1: 4,526; Avg length of shelter stay (days): target 60; Q1: 53; # victims requesting shelter: target 2000; Q1: 904; # victims sheltered: target 270; Q1: 80; # secondary victims sheltered: target 275; Q1:89; # assisted through legal process: target 285; Q1: 67; # survivors in support groups: target 40; Q1 15; # survivors not served: target: 6,025; Q1: 1,179.

Below target due to staff vacancy/winter holidays: # court accompaniments: target 250; Q1: 0; # survivors receiving counseling: 400; Q1: 53; # support group: target 200; Q1: 12; # counseling hours: target 2000; Q1: 240.

3. If your project will cover multi-jurisdictions, please list other cities and counties served.(Maximum 750 characters)

This program primarily serves residents of Travis County.

4. Please provide a breakdown of where your clientele is located. (Maximum 750 characters)

SAFE serves clients who primarily live throughout Travis County, or who are staying at our emergency shelter. Services may be provided onsite at SAFE or virtually. Clients may be referred from outside Travis County for emergency shelter if there are safety and lethality concerns for staying in their community. In the last 3 months, survivors accessing these SAFE services were from over 50 different zip codes in South, East, Central, North and West Austin, Travis County.

5. What is the demographic breakdown of your clientele served or proposed to be served?

a. [White/African American / Hispanic /Asian/etc.]

Based on recent client demographics:

Asian: 2%

Black/African-American: 16%

Hispanic or Latino: 53%

White: 18%

Multi-race: 6%

Other: 2%

Unknown: 3%

6. Are services provided through temporary or permanent facilities? (Maximum 750 characters)

SAFE's services are primarily provided onsite at permanent facilities at the Rathgeber Village Campus on Manor Road in North Central Austin, and the Grove Blvd. campus in SE Austin. Services are also provided virtually for clients who have barriers with transportation, child care, safety issues leaving home, or prefer the convenience of virtual services.

**Fiscal Capability:**

7. Based on the current Fiscal Year identify by source the amount of funds allocated for the proposed project?  
For example: This project will be funded 50 % by HHSC for \$5,000 and by 50% by the OOG for \$5,000.

While CJD funding is our most comprehensive funding source, a significant amount of financial support for the proposed activities also come from the following federal, state, city, county, and philanthropic resources, including: DOJ \$65,049; HHSC \$785,493, City of Austin \$1,237,643; Travis County \$284,299; Office of Attorney General \$474,354; TX DFPS \$24,798; philanthropic \$208,498. This total amount represents 34% of the budget for the proposed project.

8. What are your long-term plans for funding? (Maximum 750 characters)

SAFE relies on funding from the Office of the Governor to sustain the activities included in our PY25 application. Additionally, SAFE's Development Team, as well as volunteers and staff members conduct ongoing fundraising activities. Fundraising activities include: social media and direct mail appeals; growing and maximizing support from corporations and community groups; a major gifts program to increase and sustain significant annual gifts from individual donors; fundraising events, a planned giving program; and submitting over 100 governmental and philanthropic grant applications annually.

9. What would the impact of 10% reduction in funding be on this project? (Maximum 750 characters)

SAFE is requesting \$1,972,580.40 for 24/7 hotline, emergency shelter, sexual assault medical accompaniment, legal advocacy, peer support, counseling, Foster/Adopt services & a sub-award to American Gateways to provide legal services to victims seeking Violence Against Women Act & other legal protections.

The impact of a 10% reduction would include:

- A) eliminating our project partner (legal services) and language interpreter costs. This would result in a gaping hole for immigrant survivors, due to no other legal services to fill the gap.
- B) forcing to lay off staff, thereby reducing essential victim services. Most programs included in this application have ponderous waiting lists, and might leave victims in dangerous situations.



# CAPCOG Application Review – Project Summary Sheet

<b>Organization Name:</b> The Settlement Home for Children
<b>eGrants Application Identification Number:</b> 3947605
<b>What Fund Source Are You Applying For?</b>
<input checked="" type="checkbox"/> VOCA <input type="checkbox"/> VAWA <input type="checkbox"/> JAG <input type="checkbox"/> Truancy Prevention <input type="checkbox"/> Juvenile Justice
<b>Project Title:</b> Trauma-informed Residential Care for Children Who Are Survivors of Abuse and Neglect
<b>Is This a Continuation Project?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<b>What Percentage of the Agency's Budget Does This Grant Cover?</b> 6.9% (based on 2023-24 budget, as we are still developing our 2024-25 budget)

**Project Performance: (Maximum 750 characters per question)**

1. Does the project have other components or is part of another project that the CJAC would want to know about?

We also provide our residents with education through our on-campus University of Texas Charter School. Additionally, we provide a continuum of services to foster and adoptive families of children who are survivors of child abuse or neglect.

2. If this is a continuation project, are you on track to meet your current impact measures? Please provide details supporting the progress of each measure.

We are on track to meet all of our impact measures in eGrants:

[Average length of stay in shelter. Target: 300, Quarter 1: 493] [Cases reviewed by the multi-disciplinary team. T: 300, Q1: 75] [Counseling hours provided. T: 2,600, Q1: 675]  
 [Meetings held by multi-disciplinary teams. T: 200, Q1: 50] [Secondary victims provided shelter. T: 90, Q1: 45] [Support group sessions held. T: 250, Q1: 67]  
 [Survivors participating in support groups. T: 60, Q1: 36] [Survivors receiving counseling/therapy. T: 75, Q1: 62] [Survivors provided shelter. T: 120, Q1: 45] [Survivors seeking services who were served. T: 190, Q1: 75] [Victims seeking services who were not served. T: 900, Q1: 236]  
 [Victims who requested shelter. T: 1,200, Q1: 311]

3. If your project will cover multi-jurisdictions, please list other cities and counties served.

This project would serve survivors statewide.

4. Please provide a breakdown of where your clientele is located.

The youth in our residential programs live on our campus in Austin. The youth in our Foster & Adoption Program live with families within a 100-mile radius of Austin. Our children come from counties all over Texas; most are from Region 7.

5. What is the demographic breakdown of your clientele served or proposed to be served?

a. [White/African American / Hispanic /Asian/etc.]

Black: 23%  
Hispanic: 40%  
White 29%  
Other: 8%

6. Are services provided through temporary or permanent facilities?

Permanent

**Fiscal Capability: (Maximum 750 characters per question)**

7. Based on the current Fiscal Year identify by source the amount of funds allocated for the proposed project?  
For example: This project will be funded 50 % by HHSC for \$5,000 and by 50% by the OOG for \$5,000.

Our project total is \$1,117,540. Our project will be funded: [1.80% by The Carl C. Anderson Sr. & Marie Jo Anderson Charitable Foundation for \$20,000] [16.1% by The Michael & Susan Dell Foundation for \$180,000] [0.45% by The Donald D. Hammill Foundation for \$5,000] [0.35% by The Kocurek Foundation for \$4,000] [0.50% by the Office of the Attorney General of Texas for \$5,500] [4.50% by Tejemos Foundation for \$50,000] [16.8% the Texas Department of Family and Protective Services for \$188,340] [7.00% Anonymous Individual Donors for \$78,500] [52.5% by OOG for \$586,200] (Our 20% match is covered by DFPS and the foundation grants above.)

8. What are your long-term plans for funding? (Maximum 750 characters)

We fund our programs through fundraising events, grants and individual donations. We also receive reimbursements from the Texas Department of Family and Protective Services.

9. What would the impact of 10% reduction in funding be on this project? (Maximum 750 characters)

Although our project would provide uninterrupted services to survivors whether or not we receive funding from this grant, support from CAPCOG and OOG would enable us to better fund this project and redirect our fundraising efforts towards other organization program needs. For example, we are currently working to expand our Group Home Program to serve more children.



# CAPCOG Application Review – Project Summary Sheet

<b>Organization Name:</b> Volunteer Legal Services of Central Texas
<b>eGrants Application Identification Number:</b> 4702502
<b>What Fund Source Are You Applying For?</b>
<input checked="" type="checkbox"/> VOCA <input type="checkbox"/> VAWA <input type="checkbox"/> JAG <input type="checkbox"/> Truancy Prevention <input type="checkbox"/> Juvenile Justice
<b>Project Title:</b> Pro Bono Legal Services for Victims/Survivors of Domestic Violence
<b>Is This a Continuation Project?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<b>What Percentage of the Agency's Budget Does This Grant Cover?</b> 10%

**Project Performance: (Maximum 750 characters per question)**

1. Does the project have other components or is part of another project that the CJAC would want to know about?

N/A

2. If this is a continuation project, are you on track to meet your current impact measures? Please provide details supporting the progress of each measure.

Yes, we are on track to meet our current impact measures. We have completed one quarter of our current grant period. Results from the first quarter are:

Number of survivors assisted through the legal process (annual target: 350): We had 124 in one quarter (35% of annual target)

Number of survivors receiving crisis counseling (annual target: 350): 124 (35% of annual target)

Number of times survivors are accompanied to court (annual target: 30): 8 (27% of annual target)

Number of victims/survivors seeking services who were served (annual target: 350): 124 (35% of annual target)



3. If your project will cover multi-jurisdictions, please list other cities and counties served.

Travis County  
Bastrop County  
Hays County  
Williamson County

4. Please provide a breakdown of where your clientele is located.

Bastrop County - 5%  
Hays County - 4%  
Travis County - 67%  
Williamson County - 17%  
Other - 7%

5. What is the demographic breakdown of your clientele served or proposed to be served?

a. [White/African American / Hispanic /Asian/etc.]

1.7% American Indian/Alaska Native  
15.5% Asian  
15.5% Black  
41.4% Hispanic or Latino  
20.7% White - Not Hispanic or Latino  
1.7% Multi-Racial  
3.4% Didn't Report

6. Are services provided through temporary or permanent facilities?

Our permanent office is located at 8001 Centre Park Dr., Suite 120 in Austin. Clinics are held at Austin Public Library-Ruiz Branch and Austin Public Library-St. John Branch.

**Fiscal Capability: (Maximum 750 characters per question)**

7. Based on the current Fiscal Year identify by source the amount of funds allocated for the proposed project?  
For example: This project will be funded 50 % by HHSC for \$5,000 and by 50% by the OOG for \$5,000.

This project will be funded 70% by OOG for \$99,755.43 and funded 19% by CVCLS (Crime Victims Civil Legal Services through Texas Access to Justice Foundation) funding for \$26,957.44. The remaining 11% will be funded by the Travis County Crime Victims Fund at \$9,661.48 and the Travis County Women Lawyers Foundation at \$5,000.

8. What are your long-term plans for funding? (Maximum 750 characters)

We will continue to apply for all applicable government (local, state, and federal), private foundation, and corporate grants. We will continue to fundraise from individual donors and corporate supporters. Over 40% of VLS' current overall budget is supported through individual, firm, and corporate contributions.

9. What would the impact of 10% reduction in funding be on this project? (Maximum 750 characters)

A 10% reduction would mean not being able to fully cover a staff member's salary or would cause us to reduce the litigation expense help that would could provide for a survivor. VLS would replace that funding with private foundations, corporate funding, and/or individual/law firm contributions as best as possible.



## CAPCOG Application Review – Project Summary Sheet

<b>Organization Name:</b> SAHELI, dba Asian Family Support Services of Austin
<b>eGrants Application Identification Number:</b> 2551012
<b>What Fund Source Are You Applying For?</b>
<input checked="" type="checkbox"/> VOCA <input type="checkbox"/> VAWA <input type="checkbox"/> JAG <input type="checkbox"/> Truancy Prevention <input type="checkbox"/> Juvenile Justice
<b>Project Title:</b> Regional Domestic & Sexual Violence Support for Asian Survivors in Central TX
<b>Is This a Continuation Project?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<b>What Percentage of the Agency's Budget Does This Grant Cover?</b> 41%

**Project Performance: (Maximum 750 characters per question)**

1. Does the project have other components or is part of another project that the CJAC would want to know about?

AFSSA was formed to break the cycle of violence in Asian communities in the CAPCOG region. We hold a federal technical "culturally specific" designation, allowing us to bring dedicated federal resources to serve marginalized people and localities. We are the first, oldest, continuously operating culturally specific domestic and sexual violence services organization in Texas. Only two other organizations like AFSSA meet minimum standards to protect the safety and provide services to survivors as outlined in Ch. 51 of the Texas Human Resources Code, Office of Attorney General Minimum Standards for Sexual Assault Providers, and Dept. of Justice Office of Violence Against Women service standards; they are in Houston and Dallas.

2. If this is a continuation project, are you on track to meet your current impact measures? Please provide details supporting the progress of each measure.

Yes and No; it depends on the measure. The project was to start 10/01/23; the contract came after 12/01/23. In the "no active contract" gap, AFSSA used community funds/operating reserve + re-allocated staff to other fund sources to continue level services. When staff are allocated to other sources, measures then are credited to other sources. There are 20 project measures which cannot be addressed in allotted space, but these substantive measures demonstrate AFSSA will exceed required performance goals:

1. 205 victims seeking services who were served, of 220 annual goal
2. 29 survivors receiving crisis counseling, of 75 annual goal
3. 44 receiving counseling/therapy, of 60 annual goal
4. 22 support group sessions held, of 32 annual goal

3. If your project will cover multi-jurisdictions, please list other cities and counties served.

All cities, counties, ISDs, and unincorporated areas within the CAPCOG 10-county region which includes Bastrop, Blanco, Burnet, Caldwell, Fayette, Hays, Lee, Llano, Travis, and Williamson Counties

4. Please provide a breakdown of where your clientele is located.

Bastrop 1%  
Blanco 0%  
Burnet 1%  
Caldwell 0%  
Fayette 0%  
Hays 2%  
Llano 0%  
Travis 67%  
Williamson 27%; Unknown/not declared 3%

5. What is the demographic breakdown of your clientele served or proposed to be served?  
a. [White/African American / Hispanic /Asian/etc.]

American Indian/Alaska Native 0%  
Asian 47%  
Black/African American 11%  
Hispanic or Latino 17%  
Native Hawaiian/Other Pacific Islander 0%  
White Non-Latino/Caucasian 5%  
Some other race 1%  
Multiple Races 5%; Not reported 6%; Not Tracked 8%; Total 100%

6. Are services provided through temporary or permanent facilities?

Services are provided through permanent facilities.

**Fiscal Capability: (Maximum 750 characters per question)**

7. Based on the current Fiscal Year identify by source the amount of funds allocated for the proposed project?  
For example: This project will be funded 50 % by HHSC for \$5,000 and by 50% by the OOG for \$5,000.

Office of Attorney General 1%, Office of Attorney General 3%, Texas Health and Human Services 5%, Dept. of Justice OVW 5%, Dept. of Justice OVW 3%, TAASA OVW 5%, TAASA ARP 5% Dept. of Justice OVW 6%, Dept. of Justice OVW 5%, Texas OOG VAWA 7%, Texas OOG VOCA 41%, Philanthropic/Community Support 15%. Note: expected 1-2 new contracts from Office of Attorney General to overlap with this project period which will reduce percentage of VOCA/VAWA contracts; values are TBD and dependent upon successful award. When an agency is listed twice, these are separate contracts for distinct, restricted purposes.

8. What are your long-term plans for funding? (Maximum 750 characters)

AFSSA will continue to apply for government grants designated for culturally-specific domestic/sexual violence services as well as designated for victims of domestic and sexual violence, stalking, and trafficking for the broader population. We continue to build on two successful years of increased philanthropic funds through foundations and major individual gifts. Our goal is to raise 30%+ of our budget from private philanthropic sources. As long as there are VOCA and VAWA funds dedicated to Texas and Central Texas to serve survivors of abuse, AFSSA will continue to provide quality, responsive proposals. In addition, may please we have a conversation with you and your networks to learn about endowments and other local sources of support?

9. What would the impact of 10% reduction in funding be on this project? (Maximum 750 characters)

The ultimate impact would be determined in Oct. 2024 when when all agency fund sources are confirmed. At that time, if a reduction in funds is material and a firm value/number is established, AFSSA would consider: 1. safety of clients and community members currently in our care vis a vis need for service reductions or geographic limitations; 2. other funder imposed restrictions and appeals for flexibility; 3. emergency appeals to potential other funders. A 10% reduction in funding could result in reduction in services, though we would work to avoid this in order to maintain community trust and reduce trauma to highly traumatized people in dangerous and even lethal situations.



# CAPCOG Application Review – Project Summary Sheet

<b>Organization Name:</b> Bluebird's Hope, Inc.
<b>eGrants Application Identification Number:</b> 4994001
<b>What Fund Source Are You Applying For?</b>
<input checked="" type="checkbox"/> VOCA <input type="checkbox"/> VAWA <input type="checkbox"/> JAG <input type="checkbox"/> Truancy Prevention <input type="checkbox"/> Juvenile Justice
<b>Project Title:</b> Crisis Services and Case Coordination for Victims of Trauma in CAPCOG Communities
<b>Is This a Continuation Project?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<b>What Percentage of the Agency's Budget Does This Grant Cover?</b> 15%

**Project Performance: (Maximum 750 characters per question)**

1. Does the project have other components or is part of another project that the CJAC would want to know about?

Bluebird's Hope, Inc. performs the project "Training Law Enforcement, Prosecution, Victim Service Providers, and Forensic Nurses in CAPCOG Area", eGrants Application Identification Number 4999501, in conjunction with Application Identification Number 4994001. Additionally, Bluebird's Hope, Inc. operates two SAFE Programs in the AACOG Region and is in the process of opening a SAFE Program in the CAPCOG Region. Through our SAFE Programs, Bluebird's Hope, Inc. offers the Forensic Nursing Examinations that are mentioned in our grant narrative, but not funded through this grant project.

2. If this is a continuation project, are you on track to meet your current impact measures? Please provide details supporting the progress of each measure.

N/A, this is not a continuation project.

3. If your project will cover multi-jurisdictions, please list other cities and counties served.

This project is exclusively to serve crime victims from Hays, Caldwell, and Travis Counties. Bluebird's Hope, Inc. serves victims from outside the CAPCOG Region through separate projects funded by the OOG based in the AACOG Region. While Bluebird's Hope, Inc. will not turn away any victim regardless of the region they are from, we will be diligent not to use funds or resources provided for our region specific projects for those victims, and will instead rely on donated funds and resources.

4. Please provide a breakdown of where your clientele is located.

Our clientele for this project are primarily located in Hays and Caldwell Counties, with some traveling from Travis County as well.

5. What is the demographic breakdown of your clientele served or proposed to be served?

a. [White/African American / Hispanic /Asian/etc.]

Our proposed clientele to be served will mirror the demographic breakdown of the counties we serve, on average we expect to serve the following percentages of each Race: 45.06% White, not Hispanic, 43.4% Hispanic, 3.8% Asian, 6.833% Black or African American, 1.43% American Indian and Alaska Native, 0.1% Native Hawaiian, and 2.4% Two or More Races. These percentages are averages of the three counties we will primarily serve, Hays, Caldwell, and Travis, and are based on data from Census.gov.

6. Are services provided through temporary or permanent facilities?

Services are provided through temporary, leased facilities, with an option to renew at the end of the lease.

**Fiscal Capability: (Maximum 750 characters per question)**

7. Based on the current Fiscal Year identify by source the amount of funds allocated for the proposed project?  
For example: This project will be funded 50 % by HHSC for \$5,000 and by 50% by the OOG for \$5,000.

This project will be funded 80% by the OOG for \$105,306.86, and 20% by Bluebird's Hope, Inc. via matched funds and in-kind donations for \$26,350.14.

8. What are your long-term plans for funding? (Maximum 750 characters)

Bluebird's Hope, Inc. plans to be sustainable through Federal and State funded grants, private foundation grants, funds raised, and donations. Bluebird's Hope, Inc. has been successful in securing all of these funding streams since December of 2020, and anticipates being able to do so in the future.

9. What would the impact of 10% reduction in funding be on this project? (Maximum 750 characters)

A 10% reduction in funding would be quite impactful to this project, as it would increase the burden of Bluebird's Hope, Inc. to secure additional funding sources, taking precious time and resources away from the administration and performance of this grant's project.





BASTROP BLANCO BURNET CALDWELL FAYETTE HAYS LEE LLANO TRAVIS WILLIAMSON

## Capital Area Council of Governments Criminal Justice Advisory Committee (CJAC) Plan Year (PY) 2025 Policy Statement

### SECTION 1. INTRODUCTION

The following policies and procedures are established for the purpose of defining the rules that will govern the Capital Area Council of Government's (CAPCOG's) Criminal Justice Advisory Committee (CJAC) application review and prioritization process for designated funding streams controlled by the Public Safety Office's Criminal Justice Division (PSO) of the Office of the Governor (OOG) that CAPCOG is responsible for reviewing. In addition, these policies and procedures govern the operation of CAPCOG's Criminal Justice Planning as outlined in the Interagency Cooperation Agreement between the PSO and CAPCOG as it relates to CAPCOG's CJAC.

1.1. Grant applications that will be reviewed include, but are not limited to the following funding opportunities from the Office of the Governor:

- 1.1.a. Criminal Justice Grant Program (CJ-JAG).
- 1.1.b. General Victim Assistance Grant Program (VOCA).
- 1.1.c. Juvenile Justice and Delinquency Prevention Program (JJ).
- 1.1.d. Truancy Prevention Projects (TP).
- 1.1.e. Violence Against Women Justice and Training Program (VAWA) and,
- 1.1.f. Any additional or unique fund sources that PSO determines appropriate.

1.2. In addition to purposes stated or implied in the CAPCOG Regional Strategic Criminal Justice Plan of Plan Year 2022, this policy statement is intended to further the objective of addressing regional criminal justice needs by encouraging the establishment of beneficial programs and continuing funding for them with the goal of progressing them toward self-sufficiency.

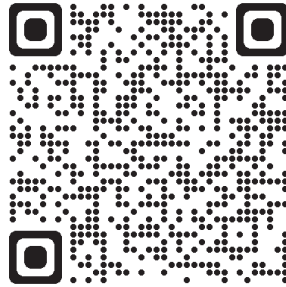
1.3. CAPCOG recognizes that some programs provide the benefit of providing core services to the region, and that long-term funding of those programs, and the agencies who operate them, may be considered differently than those programs not providing core services.

1.4. It is the intent of CAPCOG, to the extent that is reasonable, to use all funding that is allocated to the CAPCOG region for funding programs within the region and has therefore developed this policy to encourage doing that.

## SECTION 2. COMPLIANCE WITH ADMINISTRATIVE RULES AND REGULATIONS

2.1. All policies, rules, and regulations outlined in this document comply with the Texas Administrative Code (TAC), Title 1, Part 1, Chapter 3, and the state and federal statutes, rules, and regulations adopted by reference in Texas Administrative Code. 1 TAC Part 1, Chapter 3; Applicability, Subchapters A, B, D, E, and F of this chapter applies to all applications for funding and grants submitted to the PSO Information regarding the TAC and the OOG rules can be accessed on the website of the Texas Secretary of State, at the link or QR code below.

[http://texreg.sos.state.tx.us/public/readtac\\$ext.ViewTAC?tac\\_view=3&ti=1&pt=1](http://texreg.sos.state.tx.us/public/readtac$ext.ViewTAC?tac_view=3&ti=1&pt=1)



2.2. All meetings of the CJAC will be held in compliance with the general provisions of the Government Code, Chapter 551. Texas Open Meetings Act, which can be viewed online at:

<http://www.sos.state.tx.us/texreg/index.shtml>



## SECTION 3. POLICY DEFINITIONS AND INTERPRETATIONS

3.1. The following terms, as used in this policy, shall have the meanings indicated.

- 3.1.a. Applicant - the agency/organization requesting grant funds from the Office of Governor's Public Safety Office.
- 3.1.b. Application - an online form that is completed by a potential grantee in eGrants in response to a request for a specific funding opportunity from the Office of Governor for a project or activity. It also refers to the content that is provided in the form along with

any required documents that is subject to this policy and being considered for funding by the PSO.

- 3.1.c. Awarded Project Amount – the total awarded amount from the Office of the Governor’s Public Safety Office not including matching contributions.
- 3.1.d. CAPCOG Region - the 10-county CAPCOG area which includes the following counties Bastrop; Blanco; Burnet; Caldwell; Fayette; Hays; Lee; Llano; Travis; and Williamson.
- 3.1.e. Conflict of Interest – as defined in Section 9 of this policy, a condition that may affect CAPCOG staff, CJAC members, or Executive Committee members from participating in review and processing of applications.
- 3.1.f. Continuation Project – a project that is the subject of an Application and was funded in any previous plan year having the same Applicant, serving essentially the same target group, and having a similar scope of work regarding the area served, the personnel proposed, and services offered as determined by the CJAC.
- 3.1.g. Criminal Justice Advisory Committee (CJAC) - a volunteer committee comprised of a multi-disciplinary representation of members from the region. The primary responsibility of the CJAC is to review criminal justice-related grant applications from throughout the region, score and prioritize applications, and provide funding recommendations, based on a standardized application review and prioritization process and a standardized score sheet, to CAPCOG’s Executive Committee for review.
- 3.1.h. eGrants – the online grant management system used by Public Safety Office programs used by applicants to register for an account, submit and certify applications, and manage any grants awarded. Located at [egrants.gov.texas.gov](http://egrants.gov.texas.gov).
- 3.1.i. Executive Committee – CAPCOG’s governing body.
- 3.1.j. Fourth Year Project – a Continuation Project for which Plan Year 2022 is the first year it received funding.
- 3.1.k. Local Government - political subdivisions of the State of Texas, including but not limited to counties, municipalities, cities, towns, utility districts, housing agencies, school districts, and similar public entities.
- 3.1.l. New Project – a project that is the subject of an Application that does not meet the criteria to be considered a Continuation Project.
- 3.1.m. Progressed Project - a Continuation Project that first received funding in Plan Year 2021 or an earlier plan year.
- 3.1.n. Project Summary Sheet- a form created by CAPCOG, which includes several questions about an Application and is used to help the CJAC during its review and scoring of applications.
- 3.1.o. Project - the subject of a grant application with a proposed set of objectives that will be performed by the applicant should grant funding be awarded.

- 3.1.p. Regional Budget Estimate (RBE) - an annual notification of fund availability CAPCOG receives from the PSO. The RBE identifies the estimated amount of funds being reserved for each grant program under which the region receives a funding allocation from the PSO.
- 3.1.q. Second Year Project – a Continuation Project for which Plan Year 2024 is the first year it received funding.
- 3.1.r. Third Year Project – a Continuation Project for which Plan Year 2023 is the first year it received funding.

3.2. When used in this policy statement, the phrases indicated below have the meaning as described for each.

- 3.2.a. Received funding – a Project or Application was awarded funding by the PSO, regardless if the Project or Application received a funding recommendation from the CAPCOG Executive Committee or the CJAC, or if the funding was used by the Applicant.
- 3.2.b. When referring to actions during the application, review, scoring, or funding recommendation process, the term CAPCOG may refer to either the CAPCOG staff, the CJAC, the Executive Committee, or any combination of these.

#### **SECTION 4. ELIGIBILITY GUIDELINES FOR CAPCOG REGION**

- 4.1. To be eligible to participate in CAPCOG’s application review and prioritization process, the Applicant must provide services within the CAPCOG Region.
- 4.2. Agencies within the CAPCOG Region may expand their service area outside of the CAPCOG Region; however, CAPCOG will only consider Applications for Projects that will provide services within the CAPCOG Region.
- 4.3. Agencies headquartered outside of the CAPCOG Region may submit a grant Application for CAPCOG review and prioritization if the requested funding will be used to provide services within the CAPCOG Region.
- 4.4. Agencies that receive direct allocations from the PSO for service programs may not apply for funds that are prioritized by CAPCOG. (Examples include but are not limited to: *Mothers Against Drunk Driving; Crime Stoppers; Children’s Advocacy Centers; and Court Appointed Special Advocates.*)

#### **SECTION 5. REGIONAL PRIORITIES**

- 5.1. Priorities for PY 2025 include the following activities, based on feedback from stakeholders collected through a region-wide online survey and endorsed by the CJAC and Executive Committee:
  - 5.1.a. Violence Prevention (Juvenile Justice and School-based system)
  - 5.1.b. Training for Law Enforcement (Criminal Justice System)
  - 5.1.c. Counseling/Therapy Services (Mental Health/Substance Abuse Treatment)
  - 5.1.d. Crisis Services (Victims of Crime)

5.2. These priorities update the priorities listed in the CAPCOG Regional Strategic Criminal Justice Plan of Plan Year 2022.

5.3. CAPCOG will evaluate Applications on the extent to which they advance these priorities.

## **SECTION 6. APPLICATION**

### **6.1. Office of the Governor Application Process**

- 6.1.a. To be considered for funding, grant Applications must be submitted directly to the PSO via eGrants by the designated deadline (**Actual Deadline Date**). eGrants will not accept late application submissions.
- 6.1.b. All Office of the Governor funding opportunities are located on the eGrants website. The Office of the Governor criminal justice grant funding announcements include eligibility requirements set by the Office of the Governor. Please refer to information at the link or QR code below to review applicant eligibility requirements. <https://egrants.gov.texas.gov/>
- 6.1.c. The PSO will screen all Applications to ensure that they meet the requirements included in the funding announcement. Eligible local and regional applications will be forwarded by PSO to CAPCOG.
- 6.1.d. PSO will make the final determination as to which funding source is most appropriate for each application.

### **6.2. Application Limitations**

- 6.2.a. Applicants are limited to three (3) Applications per funding source.
- 6.2.b. Any Applicant that submits more than three Applications in a single fund source will receive a score of zero and a funding recommendation of \$0.00 for all Applications in excess of three submitted Applications as determined by the date and time that the Application was submitted. Applications in excess of three for an Applicant in a single fund source will not be forwarded to the CJAC for scoring or a funding recommendation and will not present to the CJAC.
- 6.2.c. Applicants with multiple Applications in a fund source must indicate the project preference by indicating which of their applications is primary, secondary, and tertiary on the Project Summary Sheets.
- 6.2.d. CAPCOG will assign project preference to Applications for which a preference is not indicated on the Project Summary Sheet.

### **6.3. Application Requirements**

- 6.3.a. In addition to the requirements established by the PSO, this policy establishes several requirements that have consequences to the scoring of an Application. Applicants should review Section 7 of this policy to understand how an Application's scoring can be affected

by these requirements. Applications that do not adhere to one or more of these requirements will still be reviewed by the PSO and are eligible to receive funding but are likely to receive a lower score and a lower funding recommendation from CAPCOG.

- 6.3.b. **Grant Application Workshop-** CAPCOG will conduct a grant application workshop that will be open to all prospective Applicants, at which the provisions of this policy will be reviewed and changes from the Plan Year 2024 policy will be highlighted. Failure of an applicant to attend a grant workshop could adversely affect the scoring and funding recommendation.
- 6.3.c. **Project Summary Sheet-** A Project Summary Sheet, in a form provided by CAPCOG, that should be submitted for each Application that is submitted through eGrants. The Project Summary Sheet includes several questions about the Application and proposed Project and is used to help the CJAC during its review and scoring of applications. Failure of an applicant to submit this form to CAPCOG could adversely affect the scoring and funding recommendation.
- 6.3.d. **Attendance at CJAC Project Presentation Meetings-** A representative of the Applicant who is familiar with the application and the proposed project should attend the presentation meeting conducted by the CJAC at the time that has been assigned for the Application. The Applicant representative will be allotted five minutes to present information about the Application to the CJAC and must be prepared to address questions from the CJAC members. Attendance at the meeting or making a presentation by the Applicant are not required. Failure of an Applicant to present its Application to the CJAC could adversely affect the scoring and funding recommendation.

#### 6.4. CAPCOG Application Review Process

##### 6.4.a. Forwarding Eligible Applications to the CJAC

- 6.4.a.(1) CAPCOG will forward all Applications that are eligible for review to the CJAC. The forwarded Applications will be accompanied by the Project Summary Sheet for the Application and necessary scoring materials.
- 6.4.a.(2) CAPCOG staff will provide to the CJAC a list of the Applications that are not eligible for review stating the appropriate reason the project cannot be reviewed. CAPCOG will send out email correspondence to the Applicant with reasoning as to why the Application will not be reviewed by the CJAC.
- 6.4.a.(3) CAPCOG will evaluate each Application that is eligible for review and will determine the status of each Application as a New Project, First Year Project, Second Year Project, Third Year Project, or a Progressed Project.
- 6.4.a.(4) CAPCOG will indicate the status of each forwarded Application. The CJAC or a subcommittee of the CJAC, may choose to meet prior to the scoring meeting to review the status of Applications and make changes to an Application's status.

##### 6.4.b. Review of Applications by the CJAC

- 6.4.b.(1) CJAC members will individually review the forwarded Applications as soon as they are received and may continue to review them until scoring them.
- 6.4.b.(2) Prior to the scoring meetings, the CJAC may choose to meet to collaborate on the forwarded Applications. Attendance at the meeting by CJAC members is not required and no action will be taken.
- 6.4.c. Applicant Presentation to the CJAC
  - 6.4.c.(1) CAPCOG will determine the schedule for meetings at which Applicants will have the opportunity to present their Applications to the CJAC.
  - 6.4.c.(2) CAPCOG will notify the eligible Applicants to choose a preferred time to present their Application to the CJAC from a list of available times. The times available for presentations and the final presentation schedule is determined by CAPCOG.
  - 6.4.c.(3) Applicants will be notified of their assigned presentation time no later than 5 business days prior to the first day of the presentation meetings.
  - 6.4.c.(4) Applicants who are presenting their Applications must be present and prepared to present at their assigned time.
  - 6.4.c.(5) Applicants will be allowed five minutes to make a presentation to the CJAC. The CJAC, in its sole discretion, may allow more time as long as it does not interfere with the start time for the next scheduled presentation.
  - 6.4.c.(6) The CJAC may allow an Applicant to present earlier than their scheduled time if the Applicant is present and prepared to present and the Applicant that is scheduled to present at that time is not present or has already presented. The CJAC, in its sole discretion, may allow an Applicant to present at a time later than its scheduled time.
  - 6.4.c.(7) The CJAC may choose to conduct one or more additional meetings for presentations after the meetings initially scheduled. Scheduling of presentations during any additional meetings will be determined by the CJAC.
- 6.4.d. Scoring of Applications by the CJAC
  - 6.4.d.(1) CJAC members will consider a variety of factors in scoring the Applications including, but not limited to, cost-effectiveness, overall funding availability, regional priorities, identified gaps in services or resources, geographic distributions, the inherent value of the Project's impact, whether the Project has the potential to be a model program, whether delaying the Project would have a significant negative impact on the area proposed to be served, and any additional factors relevant to a specific request for applications.
  - 6.4.d.(2) After each presentation and before the next scheduled presentation, the CJAC members will individually assign scores to the Application using the materials provided



and based on the guidance in this policy and separate scoring guidance materials provided to them.

- 6.4.d.(3) CAPCOG staff will collect the CJAC members' scores for the Application either in written or electronic form as determined by the CJAC and will calculate the Application's overall score using the scoring method prescribed in this policy.
- 6.4.d.(4) A CJAC member may not change the score they assigned for any Application after their score for that Application has been collected by CAPCOG staff or submitted electronically.
- 6.4.d.(5) All scores must be submitted by CJAC members at or before the time that the next presentation begins.
- 6.4.d.(6) Score sheets used by the CJAC will include a multiplier that will be applied to all scores submitted by each CJAC member that will be used:
  - 6.4.d.(6)i For Applications submitted by Local Government Applicants: assign higher priority to New Projects, Second Year Projects, Third Year Projects, and Fourth Year Projects over Progressed Projects, and
  - 6.4.d.(6)ii For all other Applications: assign a higher priority over Applications for Progressed Projects submitted by Local Government Applicants.
- 6.4.d.(7) The member score is the total score submitted by the CJAC member on the materials provided after the multiplier has been applied.
- 6.4.d.(8) When all member scores are submitted or collected, the CAPCOG staff will remove the highest member score and the lowest member score, sum all remaining member scores, and divide by the number of member scores that were summed to determine the application score.
- 6.4.d.(9) The Applications will first be grouped by project preference indicated on the Project Summary Sheet, or in the event that a project preference was not indicated on Project Summary Sheet, by the project preference assigned for the Application by CAPCOG.
  - 6.4.d.(9) i The Applications will then be ordered for each project preference group from highest score to lowest score.
- 6.4.d.(10) Tie Breaker: In the event two or more applications achieve a tie score, the following method will be used to break the tie by applying the rules below, in the order they are listed, until no ties remain.
  - 6.4.d.(10) i New Projects will be placed in order before Applications for Continuation Projects and Progressed Projects.
  - 6.4.d.(10) ii Applications will be sorted in descending order by the year of their first year to receive funding.



6.4.d.(10)iii Applications will be sorted in ascending order by the amount of funding requested, or if a Continuation Project, by the maximum funding recommendation amount indicated in Section 8.1.

6.4.d.(10)iv The existence of a tie is evaluated after each rule is applied and the next rule is applied only if a tie remains.

#### 6.4.e. Recommendation of Funding by the CJAC

- (1) After all presentations for a funding category have completed, the CJAC will determine the method to use when recommending funding for each Application. The CJAC may adopt one or more formulas to determine the recommended funding for an Application based on its score, determine the funding recommendation based on discussion among the CJAC members and voting on a proposed score, or a combination of methods.
- (2) Regardless of the method chosen by the CJAC to recommend funding for applications, the limitations described in Section 8 of this policy apply.
- (3) Within 5 business days after the CJAC has made funding recommendations for all funding categories, all Applicants will be notified of the scoring, ranking, and funding recommendations of all Applications within the same funding category as their Application.

#### 6.4.f. Review of Applications by the Executive Committee and Funding Recommendation to the Public Safety Office

- 6.4.f.(1) Prior to the deadline date for CAPCOG to submit scoring, ranking, and funding recommendations to PSO, the CAPCOG Executive Committee will review the scoring and ranking of the Applications from the CJAC and the funding recommendations for each at one of its regularly scheduled meetings, or if it deems necessary, at a specially called meeting.
- 6.4.f.(2) The Executive Committee will vote on funding recommendations for all Applications prior to the deadline date for CAPCOG to submit scoring, ranking, and funding recommendations to PSO. The Executive Committee may make funding recommendations that are consistent with the CJAC recommendation or may make different funding recommendations as it deems appropriate.
- 6.4.f.(3) Unless otherwise provided by this policy, the Executive Committee cannot change the scoring or ranking of Applications.
- 6.4.f.(4) The Executive Committee may specify or give guidance to include any notes or additional information to the PSO for Applications or for an entire grant category.

#### 6.4.g. Appeals to Suspected Scoring Errors Process

- 6.4.g.(1) Any Applicant of an Application that was scored and received a funding recommendation from the CJAC may appeal the score that the Application received if the Applicant believes that an error was made in calculating the Application's score or in implementing the scoring or tie breaker methods of this policy. An Applicant may not submit a request for an appeal for any other purpose.

- 6.4.g.(2) Requests for an appeal must be submitted to CAPCOG’s Criminal Justice Liaison: Karina Trevino at ktrevino@capcog.org no later than five business days prior to the Executive Committee meeting at which the priority list of Applications will be recommended for funding. The request must include a statement describing the reason for the appeal, including what the Applicant believes to be the error made in scoring signed by the authorized official as designated by the PSO. Supporting documentation may be included.
- 6.4.g.(3) If a request for an appeal is received, the CJAC members will receive a copy of the written appeal and the Chair, Vice Chair, or designated representative from the CJAC will be invited to attend the Executive Committee meeting at which the priority list of Applications will be recommended for funding.
- 6.4.g.(4) The request for the appeal and the supporting material will be provided to the Executive Committee at the same time as materials for the priority list of Applications and funding recommendations.
- 6.4.g.(5) The Executive Committee, after considering the request for appeal, may change the Application’s score and priority ranking and the ranking of other Applications that may be affected by the Application’s revised score.
- 6.4.g.(6) The Executive Committee may choose to, but is not obligated to, discuss the appeal, hear testimony from the appellant, or change the score and ranking of the subject Application based on the information provided.
- 6.4.g.(7) The decision of the Executive Committee will be the final action concerning all appeals. The CJAC members will be notified of the result of the appeal.

## SECTION 7. SCORING CRITERIA

7.1. An Application’s score is composed of scores from two parts: Objective Score and Discretionary Score

7.2. The **Objective Score** has two components which are all dependent upon the Applicant’s performance during application process or submittal of materials. The score for each objective score component is determined by CAPCOG staff. Objective scores are all (earned if the applicant complied with the requirements of the component) or nothing (assigned if the Applicant did not comply with the requirements of the component). Partial objective scores will not be assigned to any Application.

7.2.a. The objective score for each Application, a description of each, and the values of the components are:

***0 or 10 Points for Grant Application Workshop Attendance-*** CAPCOG will schedule and hold a grant Application workshop to discuss funding opportunities provided by the PSO. If a representative of the applying organization attends a grant application workshop all of the organization’s Applications receive 10 points. If an applying organization does not have a representative attend a grant application workshop, all of that organization’s

Applications will receive zero points for the grant application workshop attendance component.

**0 or 10 Points for Submission of Project Summary Sheets-** Applicants are to complete and submit a Project Summary Sheet. If a Project Summary Sheet has been submitted for an Application, the Application will receive 10 points. If a Project Summary Sheet has not been submitted for an Application, the Application will receive zero points for the submission of Project Summary Sheet component.

7.3. The Discretionary Score has three components. Which are all dependent upon the Applicant's performance in regard to regional priorities and the written Application submitted to eGrants.

7.3.a. The discretionary score for each Application, a description of each, and the values of the components are:

**0-10 Points for Regional Priorities** - All Applications that are forwarded to the CJAC for scoring will be eligible to receive up to 10 points as determined by the scoring of the individual CJAC members and the method of calculating application scores established in this policy.

**0 - 50 Points for Written Application** – All Applications that are forwarded to the CJAC for scoring will be eligible to receive up to 50 points for the written application component of the discretionary score as determined by the scoring by individual CJAC members and the method of calculating application scores established in this policy. The score sheets that will be used by the CJAC to assign scores to an Application may divide the written application component into subcomponents that are scored independently and total 50 points.

**0 - 20 Points for Project Presentations** - All Applications that are forwarded to the CJAC for scoring will be eligible to receive up to 20 points for the project presentation component of the discretionary score as determined by the scoring by individual CJAC members and the method of calculating application scores established in this policy. The score sheets that will be used by the CJAC to assign scores to an Application may divide the project presentation component into subcomponents that are scored independently and total 20 points.

## **SECTION 8. CJAC FUNDING RECOMMENDATION LIMITATIONS**

8.1. Except for the exception mentioned below, Continuation Projects submitted by Local Government Applicants are limited to receive a funding recommendation from the CJAC in an amount no greater than the amounts indicated below depending on the Continuation Project's first year of funding for all funding categories.

8.1.a. Second Year Projects: 80% of amount awarded by PSO for the Project's first year of funding.

8.1.b. Third Year Projects: 60% of amount awarded by PSO for the Project's first year of funding.

8.1.c. Fourth Year Projects: 40% of amount awarded by PSO for the Project's first year of funding.

- 8.2. Except for the exception mentioned below, Progressed Projects submitted by Local Government Applicants will receive a funding recommendation of \$0.00 from the CJAC.
- 8.3. Except for the exception mentioned below, Applications that receive a total final score less than 70 points will receive a funding recommendation of \$0.00 from the CJAC.
- 8.4. Except for the exception mentioned below, Applications that are in excess of an Applicant's three permitted Applications for a fund source will receive a funding recommendation of \$0.00 from the CJAC.
- 8.5. The CJAC may not recommend funding in an amount greater than the amount requested by the Applicant.
- 8.6. If the total value of the CJAC funding recommendation for Applications in a funding category is less than the RBE for that category, the CJAC may recommend funding for applications in an amount greater than the amount allowed by Sections 8.1, 8.2, 8.3, 8.4 above.

**SECTION 9. CONFLICT OF INTEREST**

- 9.1 To avoid a conflict of interest, members of the CAPCOG's Executive Committee, CJAC members, and CAPCOG staff must abstain from voting and comment on discussion regarding the application and all other applications in the same funding category.
- 9.2 Potential Conflicts of Interest include the member or an individual related to the member within the third degree of consanguinity or within the second degree by affinity:
  - 9.2.a. Is employed by the Applicant agency and works for the unit or division that would administer the grant, if awarded; or,
  - 9.2.b. Serves on any board that oversees the unit or division that would administer the grant if awarded; or,
  - 9.2.c. Owns or controls any interest in a business entity or other non-governmental organization that benefits, directly or indirectly, from activities with the Applicant agency; or
  - 9.2.d. Receives any funds, or a substantial amount of tangible goods or services, from the Applicant agency as a result of the grant, if awarded.
- 9.3. If a member must abstain from reviewing, voting, commenting, or taking any action on any grant Application, the member must also abstain from voting on any competing Applications within that funding source during the prioritization process.
- 9.4. Members will clearly state their abstention from voting on certain Applications and will not speak on behalf of or in support of an Applicant.
- 9.5. If CAPCOG learns that any inappropriate actions occurred during the scoring or prioritization of PSO criminal justice projects, CAPCOG will notify the PSO of the concerns.

**SECTION 10. CAPCOG TECHNICAL ASSISTANCE**

- 10.1. CAPCOG will maintain a website and post all reference materials here: [www.capcog.org/what-we-do/funding-grants/criminal-justice/](http://www.capcog.org/what-we-do/funding-grants/criminal-justice/).
- 10.2. CAPCOG staff will provide current grantees, potential Applicants, and others with CAPCOG's criminal justice priorities, a copy of the CJAC application review and prioritization scoring instrument, the criteria used in the scoring of Applications, and other relevant materials, including relevant policies, procedures, and bylaws, during the grant application workshop or by request. For more information, please contact CAPCOG's Criminal Justice Liaison: Karina Trevino at ktrevino@capcog.org.
- 10.3. 10.3 In addition to the CAPCOG-facilitated grant application workshops, Applicants may request grant-related technical assistance before the Applicant's submission of the certified Application to PSO.
- 10.4. CAPCOG staff will answer questions for both current grantees and new Applicants via email consultation as much as is practical, as well as in-person upon request. For more information, please contact CAPCOG's Criminal Justice Liaison: Karina Trevino at ktrevino@capcog.org
- 10.5. The Office of the Governor, PSO staff will provide technical assistance with the operation of the eGrants web-based application.

## EXECUTIVE COMMITTEE MEETING

MEETING DATE: May 8, 2024

AGENDA ITEM: 10. Consider Approving Scoring and Funding Recommendations for 2025 Juvenile Justice (JJ) Criminal Justice Grants

### GENERAL DESCRIPTION OF ITEM:

The Juvenile Justice (JJ) grant program is one of five grant categories administered by the Office of the Governor (OOG) that CAPCOG's Criminal Justice Advisory Committee (CJAC) reviews each year. This year, 7 applications were submitted for a total of \$1,022,354.83 requested. The RBE for this funding category is \$227,823.79. The CJAC reviewed the applications, heard presentations from the applicants and scored each application in accordance with the policy that was adopted by the Executive Committee in November 2023. At its April 3<sup>rd</sup> meeting, the CJAC determined the method to use for recommending funding for the grant applications. Those funding recommendations are attached to this item.

For Juvenile Justice funding category, the CJAC recommends funding all the applications in the order ranked by score in the requested amounts with indirect costs removed, excluding the two applicants at the end of the ranked list, which are not to receive funding due to having a final score lower than 70 points, and all funds are to be allocated and exhausted should there be any remaining.

### Suggested Motion to Accomplish the CJAC's Recommendation

Recommend funding the JJ applications listed in the Priority Listing and Funding Recommendations document in the order indicated in the Rank Number column for the amount indicated in the Recommended Funding column to the extent that funds are available, partially funding the last application if funds are not available for the entire amount indicated.

### THIS ITEM REPRESENTS A:

- New issue, project, or purchase
- Routine, regularly scheduled item
- Follow-up to a previously discussed item
- Special item requested by board member
- Other

PRIMARY CONTACT/STAFF MEMBER:

Charles Simon, Director of Regional Planning & Services

### BUDGETARY IMPACT:

Total estimated cost: \$0

Source of Funds: NA

Is item already included in fiscal year budget?

Yes

No

Does item represent a new expenditure?

Yes

No

Does item represent a pass-through purchase?

Yes

No

If so, for what city/county/etc.? n/a

PROCUREMENT: N/A

### ACTION REQUESTED:

Consider approving prioritization and funding recommendations for Juvenile Justice (JJ) Criminal Justice Grants for Plan Year 2025 with the indicated suggested motion.

**BACK-UP DOCUMENTS ATTACHED:**

1. Memo of supplemental information to consider Scoring, and Funding Recommendations.
2. Summary of JJ Project Scores, Ranking, Funding Requests, and Funding Recommendations
3. Project Summary Sheets for JJ Applications

**BACK-UP DOCUMENTS NOT ATTACHED: N/A**

## JJ Grant

### Priority Listing and Funding Recommendations for Plan Year 2025

<u>Rank Number</u>	<u>Scoring Rank</u>	<u>Applicant</u>	<u>Grant #</u>	<u>Requested Amount</u>	<u>Score</u>	<u>Recommended Funding</u>	<u>Foot Notes</u>
1	1	Big Brothers Big Sisters of Central Texas	4211704	\$50,000.00	92.50	\$50,000.00	
2	2	The Arc of the Capital Area	4454203	\$83,992.70	90.00	\$76,357.00	3
3	3	American YouthWorks	4469103	\$23,662.13	76.17	\$17,341.79	1,2,3
4	4	Boys & Girls Club of Georgetown	5123901	\$45,000.00	72.25	\$45,000.00	1,2
5	5	Council on At-Risk Youth	3385108	\$52,000.00	70.08	\$52,000.00	1,2
6	6	Kids Write Good dba Austin Bat Cave	5074401	\$60,100.00	68.83	\$0.00	1,6
7	7	Manor ISD	5142901	\$707,600.00	29.38	\$0.00	5,6

The Rank Number indicates the order in which the applications are recommended to be funded in the amount indicated in the Recommended Funding column as long as funding is available. The Rank Number value for each application will be entered into the column of the same name in the eGrants portal used for reporting the priority listing and funding recommendations to the OOG.

1. Did not attend Criminal Justice Grant Workshop
2. Did not submit Project Summary Sheet
3. CJAC moved to remove indirect costs from funding recommendation.
4. Application is a continuation project subject to decreasing funding ratio (**Criminal Justice Policy Statement Sec 8.1**)
5. Application is a progressed project (**Criminal Justice Policy Statement Sec 3.1.M**)
6. Application received a total final score lower than 70 points (**Criminal Justice Policy Statement Sec 8.2**)
7. Tie Breaker applied to application (**Criminal Justice Policy Statement Sec 6.4.d 10**)
8. Application is applicant's secondary project preference (**Criminal Justice Policy Statement Sec 6.2 c**)



**Juvenile Justice**  
**Project Summary Sheets**

Included:

5074401	Kids Write Good dba Austin Bat Cave
4454203	The Arc of the Capital Area
4211704	Big Brothers Big Sisters of Central Texas

Not Submitted by Applicant:

4469103	American Youth Works
3385108	Council on At-Risk Youth
5142901	Manor ISD
5123901	Boys & Girls Club of Georgetown



## CAPCOG Application Review – Project Summary Sheet

<b>Organization Name:</b> Kids Write Good, dba "Austin Bat Cave"
<b>eGrants Application Identification Number:</b> 5074401
<b>What Fund Source Are You Applying For?</b> <input type="checkbox"/> VOCA <input type="checkbox"/> VAWA <input type="checkbox"/> JAG <input checked="" type="checkbox"/> Truancy Prevention <input checked="" type="checkbox"/> Juvenile Justice
<b>Project Title:</b> Youth Writing Programs for Truancy and Drop-Out Prevention
<b>Is This a Continuation Project?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<b>What Percentage of the Agency's Budget Does This Grant Cover?</b> 16

**Project Performance: (Maximum 750 characters per question)**

1. Does the project have other components or is part of another project that the CJAC would want to know about?

One aspect of our youth programs not fully highlighted in our application is our Intergenerational Pen Pal Mentorship program, in which that program's director (a licensed social worker) helps LGBTQ+ build resilience, self-expression, self-confidence and creative expression by brokering pen pal writing exchanges with senior citizens in the LGBTQ+ community. We also have summer programming partnerships with the African American Youth Harvest Foundation for a summer program (Courageous Cadence) and an ongoing relationship with Texas School for the Blind and Visually Impaired. In addition, we have steady bilingual programming—we know that truancy can often impact young people in marginalized communities most and hardest.

2. If this is a continuation project, are you on track to meet your current impact measures? Please provide details supporting the progress of each measure.

This is not a continuation project.

3. If your project will cover multi-jurisdictions, please list other cities and counties served.

We serve the greater Austin Area / Travis County—one of our longest-lasting relationships has been with the community of Del Valle, which remains of the poorest and under-resourced communities in the metropolitan region. We also work in Manor, Creedmoor, Cedar Park and Pflugerville, and we are now offering more steady programming in Round Rock / Williamson County.

4. Please provide a breakdown of where your clientele is located.

Our clientele are located in the greater Austin area. They are often in the least resourced ZIP codes of the city, or they are pushed into suburban and rural areas do to rising cost of living pressures.

5. What is the demographic breakdown of your clientele served or proposed to be served?

a. [White/African American / Hispanic /Asian/etc.]

Our typical clientele breakdown is 70% Hispanic, 20% White and 20% Black / African American. 60% are considered at-risk in a typical year, and around 70 are considered economically disadvantaged.

In some partner district, the school profile is more at-risk. For example:

Del Valle Middle School (Del Valle) is majority Latino/Hispanic (86%). Almost 88% are classified as "at-risk" and 89% are considered "economically disadvantaged." About 43% of students have Limited English proficiency and 42.4% are ESL/bilingual.

6. Are services provided through temporary or permanent facilities?

Most of our programs are offered through partner schools and community centers or at partner institutions. Some of our programs are offered through our mobile classroom and library.

For example:

- Texas School for the Blind and Visually Impaired, Oral Testimonies & Storytelling (In-School), 10th - 12th
- Pflugerville Library, Tween Poetry Program & Kids Poetry Program (Community Partnership), 4th - 6th
- Cedar Park Public Library, Personal Narrative Workshops (Community Partnership), 6th - 12th
- St. Stevens Literary Festival, Author Visit & Writing Workshop (In-School), 9th - 12th
- Out Youth, Writing Club Drop-Ins (Community Partnership), 8th - 12th
- Creedmoor Elementary School, After School Writing Club, 4th - 5th

**Fiscal Capability: (Maximum 750 characters per question)**

7. Based on the current Fiscal Year identify by source the amount of funds allocated for the proposed project?  
For example: This project will be funded 50 % by HHSC for \$5,000 and by 50% by the OOG for \$5,000.

The majority of our Project Director and Project Manager's funding will come from Austin Public Health, the City of Austin's Arts Education Fund, and Travis County funding. About 30% comes from this request. Half of our teaching stipends

8. What are your long-term plans for funding? (Maximum 750 characters)

We are continuing to maintain county and city funding. We are also seeking United Way funding for to maintain our mobile program. we are also seeking private foundation funding to guarantee the ability to grow into other areas (e.g., San Marcos), and to expand staff capacity.

9. What would the impact of 10% reduction in funding be on this project? (Maximum 750 characters)

We will have to tap into our reserves to ensure programs run smoothly. In the medium term, it would hamper our ability to expand into Williamson County and work with other under-resourced youth in suburban and rural areas.



# CAPCOG Application Review – Project Summary Sheet

<b>Organization Name:</b> The Arc of the Capital Area
<b>eGrants Application Identification Number:</b> 17412944294000
<b>What Fund Source Are You Applying For?</b> <input type="checkbox"/> VOCA <input type="checkbox"/> VAWA <input type="checkbox"/> JAG <input checked="" type="checkbox"/> Truancy Prevention <input checked="" type="checkbox"/> Juvenile Justice
<b>Project Title:</b> Juvenile Justice Services Program
<b>Is This a Continuation Project?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<b>What Percentage of the Agency's Budget Does This Grant Cover?</b> 3%

### Project Performance:

1. Does the project have other components or is part of another project that the CJAC would want to know about?

The project feeds into The Arc of the Capital Area's transition services. Clients who age out of JJS may be eligible to continue receiving services through The Arc's Adult Case Management Program (ADCM) as long as they meet that programs requirements. Clients of the JJS program may also qualify to recieve low-cost Guardianship services with The Arc as well.

2. If this is a continuation project, are you on track to meet your current impact measures? Please provide details supporting the progress of each measure.

We are on track to meet our current impact measures. Since August, our new JJS Case Manager has increased the number of clients we serve. This trend is anticipated to continue as she has completed intakes with almost every student on our interest list, with three intakes this month scheduled already. We have seen increased service hours, and ARD/School/Team meetings attended with youth. Our data shows fewer students have been charged, with only one student reoffending this year. We have also seen decrease in conflicts between families and school districts. Our JJS case manager continues participating in the Travis and Wilco community resource group (CRG).

3. If your project will cover multi-jurisdictions, please list other cities and counties served.

Bastrop, Blanco, Brazos, Burleson, Burnet, Caldwell, Fayette, Grimes, Hays, Lampasas, Lee, Lano, Mills, San Saba, Travis, Washington, Williamson Counties.

4. Please provide a breakdown of where your clientele is located.

78613,78620,78626,78660,78702,78723,78741,78744 (4),78746,78748,78752,78753,78758 (2)

5. What is the demographic breakdown of your clientele served or proposed to be served?

a. [White/African American / Hispanic /Asian/etc.]

White: 47%, African American: 23%, Asian: 11%, Tow or More Races: 8%, Unknown: 11%

6. Are services provided through temporary or permanent facilities?

The Arc of the Capital Area serves in permanent facilities.

**Fiscal Capability:**

7. Based on the current Fiscal Year identify by source the amount of funds allocated for the proposed project?  
For example: This project will be funded 50 % by HHSC for \$5,000 and by 50% by the OOG for \$5,000.

Currently, there are no other sources of funding for the proposed JJS program

8. What are your long-term plans for funding?

The Arc of the Capital Area will keep the Juvenile Justice Services program going by applying for grants and organizing fundraising events such as art shows, raffles, golf tournaments, and a gala. With more juveniles with disabilities, it's important to grow the program. We hope fundraising will expand the program to more clients, and long-term funding will come from a wider support network.

9. What would the impact of 10% reduction in funding be on this project?

In light of the current absence of additional funding sources for the JJS program, any reduction in funding would have a profound and adverse impact on our organization's capacity to deliver essential services. Despite our diligent fundraising efforts, it is important to note that Texas currently ranks 49th in the nation for funding dedicated to intellectual and developmental disability (IDD) services. The Arc remains steadfast in our commitment to serving this at-risk and vulnerable population, and the significance of your financial contribution remains paramount. It is essential to stress that a 10% reduction in funding would hinder our ability to grow at the anticipated rate and impede our objective of establishing a sustainable program.



## CAPCOG Application Review – Project Summary Sheet

<b>Organization Name:</b> Big Brothers Big Sisters of Central Texas
<b>eGrants Application Identification Number:</b> 5136301
<b>What Fund Source Are You Applying For?</b> <input type="checkbox"/> VOCA <input type="checkbox"/> VAWA <input type="checkbox"/> JAG <input checked="" type="checkbox"/> Truancy Prevention <input type="checkbox"/> Juvenile Justice
<b>Project Title:</b> BBBS One-to-One Mentoring Program for At-Risk Youth (age 6+)
<b>Is This a Continuation Project?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<b>What Percentage of the Agency's Budget Does This Grant Cover?</b> 1.6%

**Project Performance: (Maximum 750 characters per question)**

1. Does the project have other components or is part of another project that the CJAC would want to know about?

This project is central to the mission of Big Brothers Big Sisters of Central Texas and is of utmost importance to the organization and the youth we serve. It is part of an ongoing program to support Texas youth as described in the proposal.

2. If this is a continuation project, are you on track to meet your current impact measures? Please provide details supporting the progress of each measure.

N/A - This project is part of an ongoing program. Each year we set new impact measures and track progress against those measures. In this way, each year represents a distinct project within the program.



3. If your project will cover multi-jurisdictions, please list other cities and counties served.

Bastrop, Bell, Blanco, Burnet, Caldwell, Coryell, Hays, Lampasas, Lee, Llano, Travis, and Williamson counties.

4. Please provide a breakdown of where your clientele is located.

BBBS One-to-One Mentoring Program for At-Risk Youth (age 6+) is a community-based mentorship program. Mentor and mentee activities take place in Bastrop, Bell, Blanco, Burnet, Caldwell, Coryell, Hays, Lampasas, Lee, Llano, Travis, and Williamson counties.

5. What is the demographic breakdown of your clientele served or proposed to be served?

a. [White/African American / Hispanic /Asian/etc.]

Currently, 46% of BBBS mentored youth are Hispanic, 32% are Black, 11% are White, 6% are Multi-racial and 5% identify as Other.

6. Are services provided through temporary or permanent facilities?

BBBS of Central Texas operates from a permanent facility but utilizes a community-based mentoring model.

**Fiscal Capability: (Maximum 750 characters per question)**

7. Based on the current Fiscal Year identify by source the amount of funds allocated for the proposed project?  
For example: This project will be funded 50 % by HHSC for \$5,000 and by 50% by the OOG for \$5,000.

Amachi Foundation	\$735,492	23.2%
Big Brothers Big Sisters of America/JJ	\$200,000	6.3%
Moody Foundation	\$ 75,000	2.4%
The Peirels Foundation	\$72,200	2.3%
Powell Foundation	\$ 60,000	1.9%
TX Dept of Families & Protective Services	\$ 53,604	1.7%
Montandon Charitable Trust	\$ 25,000, 0.8%	Hays County Health & Human Services \$5,250, 0.2%

8. What are your long-term plans for funding? (Maximum 750 characters)

BBBS of Central Texas is committed to a strategic and comprehensive fundraising program consisting of individual giving, corporate philanthropy, grants, and other sources.

9. What would the impact of 10% reduction in funding be on this project? (Maximum 750 characters)

While a 10% reduction would detrimentally impact the organization, BBBS of Central Texas is fully committed to the mission of improving the lives of children and would find a way to continue fulfilling this mission.

# EXECUTIVE COMMITTEE MEETING

**MEETING DATE:** May 8, 2024

**AGENDA ITEM:** 11. Consider Approving Scoring and Funding Recommendations for 2025 Truancy Prevention (TP) Criminal Justice Grants

**GENERAL DESCRIPTION OF ITEM:**

The Truancy Prevention (TP) grant program is one of five grant categories administered by the Office of the Governor (OOG) that CAPCOG’s Criminal Justice Advisory Committee (CJAC) reviews each year. This year, 6 applications were submitted for a total of \$1,004,351.48 requested. The total RBE for Truancy Prevention is \$639,351.48. The CJAC reviewed the applications, heard presentations from the applicants and scored each application in accordance with the policy that was adopted by the Executive Committee in November 2023. At its April 3rd meeting, the CJAC determined the method to use for recommending funding for the grant applications. Those funding recommendations are attached to this item.

For Truancy Prevention category the CJAC recommends funding all applications in the order ranked by score in the requested amounts with indirect costs removed and that all funds are to be allocated and exhausted should there be any remaining.

**Suggested Motion to Accomplish the CJAC’s Recommendation**

Recommend funding the TP applications listed in the Priority Listing and Funding Recommendations document in the order indicated in the Rank Number column for the amount indicated in the Recommended Funding column to the extent that funds are available, partially funding the last application if funds are not available for the entire amount indicated.

**THIS ITEM REPRESENTS A:**

- New issue, project, or purchase
- Routine, regularly scheduled item
- Follow-up to a previously discussed item
- Special item requested by board member
- Other

**PRIMARY CONTACT/STAFF MEMBER:**

**Charles Simon, Director of Regional Planning & Services**

**BUDGETARY IMPACT:**

Total estimated cost: \$0

Source of Funds: NA

- |   |                              |  |
|---|------------------------------|--|
| Is item already included in fiscal year budget? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| Does item represent a new expenditure?          | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| Does item represent a pass-through purchase?    | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| If so, for what city/county/etc.?               | <u>n/a</u>                   |  |

**PROCUREMENT:** N/A

**ACTION REQUESTED:**

Consider approving prioritization and funding recommendations for Truancy Prevention (TP) Criminal Justice Grants for Plan Year 2025 with the indicated suggested motion.

**BACK-UP DOCUMENTS ATTACHED:**

1. Memo of supplemental information to consider Scoring, and Funding Recommendations.
2. Summary of TP Project Scores, Ranking, Funding Requests, and Funding Recommendations
3. Project Summary Sheets for TP Applications

**BACK-UP DOCUMENTS NOT ATTACHED: N/A**

## TP Grant

### Priority Listing and Funding Recommendations for Plan Year 2025

<u>Rank Number</u>	<u>Scoring Rank</u>	<u>Applicant</u>	<u>Grant #</u>	<u>\$ Requested</u>	<u>Score</u>	<u>Recommended Funding</u>	<u>Foot Notes</u>
1	1	Blanco ISD	4743202	\$16,386.06	93.36	\$15,321.08	4
2	2	Smithville, City of	5121301	\$24,900.00	90.91	\$24,900.00	
3	3	Austin ISD	4957701	\$105,397.00	87.18	\$99,696.00	3
4	4	Round Rock ISD	4935101	\$91,325.00	86.00	\$91,325.00	
5	5	Del Valle ISD	4741202	\$391,480.00	82.73	\$300,562.08	3,4
6	6	Austin, City of	4808702	\$374,863.42	82.09	\$321,550.38	4

The Rank Number indicates the order in which the applications are recommended to be funded in the amount indicated in the Recommended Funding column as long as funding is available. The Rank Number value for each application will be entered into the column of the same name in the eGrants portal used for reporting the priority listing and funding recommendations to the OOG.

1. Did not attend Criminal Justice Grant Workshop
2. Did not submit Project Summary Sheet
3. CJAC moved to remove indirect costs from funding recommendation.
4. Application is a continuation project subject to decreasing funding ratio **(Criminal Justice Policy Statement Sec 8.1)**
5. Application is a progressed project **(Criminal Justice Policy Statement Sec 3.1.M)**
6. Application received a total final score lower than 70 points **(Criminal Justice Policy Statement Sec 8.2)**
7. Tie Breaker applied to application **(Criminal Justice Policy Statement Sec 6.4.d 10)**
8. Application is applicant's secondary project preference **(Criminal Justice Policy Statement Sec 6.2 c)**

**Truancy Prevention  
Project Summary Sheets**

Included:

4743202	Blanco ISD
4808702	City of Austin
4957701	Austin ISD
4741202	Del Valle ISD
5121301	City of Smithville
5121301	Round Rock ISD



# CAPCOG Application Review – Project Summary Sheet

<b>Organization Name:</b> Blanco Independent School District
<b>eGrants Application Identification Number:</b> 47432-02
<b>What Fund Source Are You Applying For?</b> <input type="checkbox"/> VOCA <input type="checkbox"/> VAWA <input type="checkbox"/> JAG <input checked="" type="checkbox"/> Truancy Prevention <input type="checkbox"/> Juvenile Justice
<b>Project Title:</b> Truancy Prevention Project
<b>Is This a Continuation Project?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<b>What Percentage of the Agency's Budget Does This Grant Cover?</b> .001%

**Project Performance: (Maximum 750 characters per question)**

1. Does the project have other components or is part of another project that the CJAC would want to know about?

Kat Staas, BISD Truancy Interventionist, splits her time between truancy prevention activities and student wellness activities within BISD. The Truancy Prevention Project proposed grant will fund 25% of her current salary, for truancy interventions. The other 75% of her salary is funded by BISD and through the Drug-Free Communities (DFC) federal grant. Ms. Staas works as the Student Wellness Assistant. She provides health education for students, parents/caregivers, and community members.

2. If this is a continuation project, are you on track to meet your current impact measures? Please provide details supporting the progress of each measure.

Yes we are on track to meet our current impact measures. The majority of students make-up hours for excessive absences during the spring semester so this impact measure (500 hours) has not been met this year. We referred one student to truancy court during the Fall semester. There are currently 25 students who are considered truant but have not been referred to truancy court. Those students are meeting with the truancy interventionist and the administration to create plans to make-up time owed for excessive absences. There are currently 9 students who have made up time for excessive absences.

3. If your project will cover multi-jurisdictions, please list other cities and counties served.

N/A

4. Please provide a breakdown of where your clientele is located.

Clientele will include students who reside within the Blanco Independent School District (BISD). Currently there are 1117 students within BISD. Portions of Blanco and Kendall counties make up the majority of BISD. There are a handful of transfer students who live outside of BISD who attend BISD schools.

5. What is the demographic breakdown of your clientele served or proposed to be served?

a. [White/African American / Hispanic /Asian/etc.]

American Indian/Alaskan - .18%

Asian - .27%

Black/African American - .81%

Hispanic/Latino - 39.73%

White - 55.84%

Hawaiian/Pacific Islander - .09%

Two or more - 3.08%

6. Are services provided through temporary or permanent facilities?

Permanent Facilities - The Blanco Independent School District



**Fiscal Capability: (Maximum 750 characters per question)**

7. Based on the current Fiscal Year identify by source the amount of funds allocated for the proposed project?  
For example: This project will be funded 50 % by HHSC for \$5,000 and by 50% by the OOG for \$5,000.

This project will be funded 100% by the OOG for \$16,386.06.

8. What are your long-term plans for funding? (Maximum 750 characters)

The Blanco Independent School District will continue to fund this part-time position if grant funding is no longer available.

9. What would the impact of 10% reduction in funding be on this project? (Maximum 750 characters)

A 10% reduction in funding would eliminate the printer paper and ink from the budget. In addition, a portion of the Project Truancy web-based computer software would be eliminated or a lower price would be negotiated.



## CAPCOG Application Review – Project Summary Sheet

<b>Organization Name:</b> City of Austin/Austin Public Health
<b>eGrants Application Identification Number:</b> 4808702
<b>What Fund Source Are You Applying For?</b> <input type="checkbox"/> VOCA <input type="checkbox"/> VAWA <input type="checkbox"/> JAG <input checked="" type="checkbox"/> Truancy Prevention <input type="checkbox"/> Juvenile Justice
<b>Project Title:</b> Go ATX Youth Truancy Prevention Project
<b>Is This a Continuation Project?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<b>What Percentage of the Agency's Budget Does This Grant Cover?</b> .03%

### Project Performance:

1. Does the project have other components or is part of another project that the CJAC would want to know about?

All project components are described within the application. This project is not a part of another project.

2. If this is a continuation project, are you on track to meet your current impact measures? Please provide details supporting the progress of each measure.

The project is on track to meet or surpass the impact measures. The project should have 300 participants over the program year, and to date there are 173 participants. The project has different participants in the summer version, and the participant numbers will look similar. The in-school participants are in the program to correct or prevent truant behavior. The program is on track to correct the attendance habits of at least 100 students.

3. If your project will cover multi-jurisdictions, please list other cities and counties served.

This project will cover Travis County and has the potential to cover Williamson and Hays Counties. For PY-24 the program covered the cities of Austin and Del Valle.

4. Please provide a breakdown of where your clientele is located.

95%-Travis County-Eastern Crescent. 5% Travis County-Central West.

5. What is the demographic breakdown of your clientele served or proposed to be served?

a. [White/African American / Hispanic /Asian/etc.]

Program is intended to serve 55% Hispanic/Latino, 30% Black/African American, and 15% White.

6. Are services provided through temporary or permanent facilities?

Services will be provided through local schools and Austin Parks and Recreation Centers (permanent facilities).

**Fiscal Capability:**

7. Based on the current Fiscal Year identify by source the amount of funds allocated for the proposed project?  
For example: This project will be funded 50 % by HHSC for \$5,000 and by 50% by the OOG for \$5,000.

This project will be funded 100% by OOG for \$371,151.42. Though not required by this grant, we have secured at least \$40,000 in cost matching in an effort to not compromise the services outlined in the project.

8. What are your long-term plans for funding?

The City will consider and may commit general operating funds or seek additional grant funds to continue activities considered promising or successful under this project. During the FY24 Budget Planning session the Austin City Council voted to convert all three personnel positions from temporary to full time regular positions with benefits. Funding for these positions and project activities will be transitioned to general operating funds and/or sustainable grant/foundation funding.

9. What would the impact of 10% reduction in funding be on this project?

A 10% reduction in funding will result in decreasing the number of youth we can serve. As shown in the grant application, our African American and Latino youth College Career Military Readiness (CCMR) scores are decreasing at a rate that, if not improved, will lead to at or near 0% CCMR within one generation for these populations. Though not required by this grant, we have secured at least \$40,000 in cost matching in an effort to not compromise the services outlined in the project. However, performance measures for the past year show that original estimates of community need were severely underestimated (we are serving almost double the number of schools we originally anticipated, and more are still seeking our services).



## CAPCOG Application Review – Project Summary Sheet

<b>Organization Name:</b> Austin Independent School District
<b>eGrants Application Identification Number:</b> 4957701
<b>What Fund Source Are You Applying For?</b> <input type="checkbox"/> VOCA <input type="checkbox"/> VAWA <input type="checkbox"/> JAG <input checked="" type="checkbox"/> Truancy Prevention <input type="checkbox"/> Juvenile Justice
<b>Project Title:</b> Enhancing Mental Health Support and Truancy Prevention Initiatives to Address Chronic Absenteeism
<b>Is This a Continuation Project?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<b>What Percentage of the Agency's Budget Does This Grant Cover?</b> .0057%

**Project Performance: (Maximum 750 characters per question)**

1. Does the project have other components or is part of another project that the CJAC would want to know about?

N/A

2. If this is a continuation project, are you on track to meet your current impact measures? Please provide details supporting the progress of each measure.

N/A

3. If your project will cover multi-jurisdictions, please list other cities and counties served.

N/A

4. Please provide a breakdown of where your clientele is located.

Austin ISD serves the majority of the population, covering 172.4 square miles of the city center. Austin, TX is located within Travis County. 8 Targeted schools will be receiving services as proposed in the grant application. These are located in the following zip codes: 78723, 78757, 78753, 78748, 78702.

5. What is the demographic breakdown of your clientele served or proposed to be served?

a. [White/African American / Hispanic /Asian/etc.]

**AISD Student Race & Ethnicity:**

Hispanic 55%

White 30.1%

Black 6.6%

Asian 4.5%

Other 3.8%

6. Are services provided through temporary or permanent facilities?

Services provided in permanent facilities.

**Fiscal Capability: (Maximum 750 characters per question)**

7. Based on the current Fiscal Year identify by source the amount of funds allocated for the proposed project?  
For example: This project will be funded 50 % by HHSC for \$5,000 and by 50% by the OOG for \$5,000.

OOG Juvenile Justice & Truancy Prevention grant program would be the sole funder of the project.

8. What are your long-term plans for funding? (Maximum 750 characters)

Austin ISD does not have the budgetary capacity to support this project without external funding. AISD continues to be the largest payer into the state finance system due to recapture payments, as mandated by 86th Texas Legislature, House Bill 3, chapter 49.

9. What would the impact of 10% reduction in funding be on this project? (Maximum 750 characters)

A reduction of funding by 10% would decrease the amount of therapeutic supplies provided to the 8 targeted campuses. In addition, the number of nudge campaign mailers sent to families of at risk children would decrease.



# CAPCOG Application Review – Project Summary Sheet

<b>Organization Name:</b> Del Valle Independent School District
<b>eGrants Application Identification Number:</b> 4741202
<b>What Fund Source Are You Applying For?</b> <input type="checkbox"/> VOCA <input type="checkbox"/> VAWA <input type="checkbox"/> JAG <input checked="" type="checkbox"/> Truancy Prevention <input type="checkbox"/> Juvenile Justice
<b>Project Title:</b> Del Valle ISD McKinney Vento Truancy Prevention Program
<b>Is This a Continuation Project?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<b>What Percentage of the Agency's Budget Does This Grant Cover?</b> Less than 1%

**Project Performance: (Maximum 750 characters per question)**

1. Does the project have other components or is part of another project that the CJAC would want to know about?

The collaborative endeavor between the Del Valle ISD's Re-Engagement team and transportation department is anticipated to significantly reduce absenteeism and dropout rates among students. Through the provision of reliable transportation services, their goal is to enhance student attendance and deter premature departures from school. Moreover, the partnership between these departments is poised to yield a more cohesive and effective resolution to these educational challenges.

2. If this is a continuation project, are you on track to meet your current impact measures? Please provide details supporting the progress of each measure.

In response to the question, if the project is a continuation, given that we commenced the project in January 2024, it's still early to assess if we are on track to meet the current impact measures. However, significant progress has been made since the initiation of the project. We have successfully hired an Integral Care Team Lead and one McKinney Vento District Re-Engagement Specialist, both essential roles in achieving our goals. Despite starting later in the grant year, we've made commendable advancements in establishing systems and implementing support services for families. These services encompass a wide range, including mental health assistance, resource provision, and housing solutions through our collaboration with Integral Care.



3. If your project will cover multi-jurisdictions, please list other cities and counties served.

4. Please provide a breakdown of where your clientele is located.

Our services are primarily provided through existing Del Valle ISD buildings and directly within student homes. This approach ensures accessibility and convenience for our clientele, allowing us to effectively reach and support students within their familiar environments. By offering services both within school premises and at students' homes, we aim to maximize engagement and facilitate seamless access to the resources and assistance they require

5. What is the demographic breakdown of your clientele served or proposed to be served?

a. [White/African American / Hispanic /Asian/etc.]

White 4.38%  
Hispanic-Latino 83.38%  
Black African American 8.89%  
Asian 1.65%  
American Indian-Alaskan Native 0.05%  
Native Hawain Pacific Islander 0.08%  
Two or More Races 1.58%

6. Are services provided through temporary or permanent facilities?

Our approach initially involves offering services through temporary means. The overarching objective is to support families and students in achieving consistent attendance in school while addressing any associated risk factors, such as housing instability. This program is designed to assist students and families in transitioning to a state where school becomes a secure and conducive environment for success on a daily basis. The ultimate goal is to foster improved attendance and, for students nearing graduation, to ensure they obtain their diplomas. By providing these supportive services, we aim to help individuals break the cycle of homelessness and create sustainable pathways towards stability and academic achievement.

**Fiscal Capability: (Maximum 750 characters per question)**

7. Based on the current Fiscal Year identify by source the amount of funds allocated for the proposed project?  
For example: This project will be funded 50 % by HHSC for \$5,000 and by 50% by the OOG for \$5,000.

For the current Fiscal Year, the proposed project will receive 75% of its funding from the OOG (Office of the Governor) and 25% from the district's own resources. Additionally, the program will benefit from the support of existing Del Valle ISD District Re-Engagement Staff and Director. This collaborative approach underscores our commitment to addressing attendance issues among students and families affected by displacement and homelessness.

8. What are your long-term plans for funding? (Maximum 750 characters)

To sustain funding, Del Valle ISD plans to explore additional grants, corporate sponsorships, and community fundraising initiatives. By demonstrating the program's effectiveness through data-driven reports and testimonials, they aim to attract ongoing support from stakeholders. Additionally, fostering partnerships with local businesses and organizations can provide resources and financial contributions. Furthermore, implementing cost-saving measures and optimizing existing resources will ensure long-term financial viability. Through diversified funding streams and financial management, Del Valle ISD aims to secure sustainable support for its initiatives addressing chronic absenteeism among homeless students.

9. What would the impact of 10% reduction in funding be on this project? (Maximum 750 characters)

A 10% reduction in funding would necessitate careful reassessment of resource allocation, potentially affecting the depth of services provided. With constrained resources, certain aspects of the project may need to be scaled back, such as staff hiring or program expansion. This could result in slower progress towards goals, reduced outreach efforts, or fewer interventions implemented. This reduction in the number of McKinney Vento (homeless) students receiving support for their chronic absences would have an impact on the utilization of a notification system for attendance letters. The strategic prioritization and efficiency measures would be crucial to maintain essential support for homeless students while adapting to budget constraints.



## CAPCOG Application Review – Project Summary Sheet

<b>Organization Name:</b> City of Smithville
<b>eGrants Application Identification Number:</b> 5121301
<b>What Fund Source Are You Applying For?</b> <input type="checkbox"/> VOCA <input type="checkbox"/> VAWA <input type="checkbox"/> JAG <input checked="" type="checkbox"/> Truancy Prevention <input type="checkbox"/> Juvenile Justice
<b>Project Title:</b> Truancy Prevention through Mentorship at the Gardens 2024
<b>Is This a Continuation Project?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No    It is the first year of a planned continuation project
<b>What Percentage of the Agency's Budget Does This Grant Cover?</b> 100

**Project Performance: (Maximum 750 characters per question)**

1. Does the project have other components or is part of another project that the CJAC would want to know about?

Although this is a stand-alone project, the Smithville Community Gardens is also home to programs in partnership with the Smithville Public Library that have been funded through the St. David's Foundation Libraries 4 Health (L4H) initiative, which serves some of the same youth and/or their families. The L4H Open Studio is a weekly multigenerational makers program that encourages creative expression through art, conversation, and community that often results in youth working with adults in a bidirectional "each one teach one" model.

2. If this is a continuation project, are you on track to meet your current impact measures? Please provide details supporting the progress of each measure.

This is the first year of a project that we hope to continue this program into the future, and if we receive funding this year, we may be returning next two years for 80% and 60% support as is allowed.

3. If your project will cover multi-jurisdictions, please list other cities and counties served.

This project is not multi-jurisdictional.

4. Please provide a breakdown of where your clientele is located.

Smithville Independent School District (roughly, Zip Code 78957)

5. What is the demographic breakdown of your clientele served or proposed to be served?

a. [White/African American / Hispanic /Asian/etc.]

School District Data 2022-23 (latest available):

\* White 60%

\* Hispanic 30%

\* Black 6%

\* Two or more 4%

6. Are services provided through temporary or permanent facilities?

Services are provided at the Smithville Community Gardens located at 107 SW 2nd Street in Smithville. These are permanent facilities. SCG is the "tenant" in a City-owned building located on City property.

**Fiscal Capability: (Maximum 750 characters per question)**

7. Based on the current Fiscal Year identify by source the amount of funds allocated for the proposed project?  
For example: This project will be funded 50 % by HHSC for \$5,000 and by 50% by the OOG for \$5,000.

We are asking OOG to fund 100% of the project costs of \$... in this year. We understand that if we are awarded this year and return for funding over the next two years, we will only be able to request 80% in Year 2 and 60% in Year 3.

8. What are your long-term plans for funding? (Maximum 750 characters)

The Smithville Community Gardens, Community Clinic, and ISD will seek funds to support this program through adding some portion of the project in each of their budgets, looking for other grant sources, and seeking sponsorship support from community members. The first years 1-3 will provide "proof of concept" to show results and impact of the program to other potential funders and decision-makers.

9. What would the impact of 10% reduction in funding be on this project? (Maximum 750 characters)

We would likely cut some of the project supplies and try to find sponsors to help make up the difference.



## CAPCOG Application Review – Project Summary Sheet

<b>Organization Name:</b> Round Rock ISD
<b>eGrants Application Identification Number:</b> 4935101
<b>What Fund Source Are You Applying For?</b> <input type="checkbox"/> VOCA <input type="checkbox"/> VAWA <input type="checkbox"/> JAG <input type="checkbox"/> Truancy Prevention <input checked="" type="checkbox"/> Juvenile Justice
<b>Project Title:</b> Juvenile Diversion Program
<b>Is This a Continuation Project?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<b>What Percentage of the Agency's Budget Does This Grant Cover?</b> 2%

**Project Performance: (Maximum 750 characters per question)**

1. Does the project have other components or is part of another project that the CJAC would want to know about?

This project is not part of another project. A grant from the Office of the Texas Governor (OOG) will allow Round Rock ISD Police Department to create the Case Manager position and implement the program.

2. If this is a continuation project, are you on track to meet your current impact measures? Please provide details supporting the progress of each measure.

N/A

3. If your project will cover multi-jurisdictions, please list other cities and counties served.

The project will cover Round Rock ISD Police Department's jurisdiction. This includes Williamson County and portions of Travis County. Round Rock, north Austin and Cedar Park.

4. Please provide a breakdown of where your clientele is located.

This program will primarily target high school students. Of the Round Rock ISD high schools, five are located in Round Rock and two are located Austin. Round Rock ISD also has alternative education campus that serves high school students who are facing disciplinary action. This campus is also located in Round Rock.

5. What is the demographic breakdown of your clientele served or proposed to be served?

a. [White/African American / Hispanic /Asian/etc.]

The district's student population is roughly 8.3% African American, 30.2% Hispanic, 35.5% White, .4% Native American, 20.5% Asian, Pacific Islander .2% and 4.5% two or more races.

6. Are services provided through temporary or permanent facilities?

The services will be provided at permanent Round Rock ISD facilities.

**Fiscal Capability: (Maximum 750 characters per question)**

7. Based on the current Fiscal Year identify by source the amount of funds allocated for the proposed project?  
For example: This project will be funded 50 % by HHSC for \$5,000 and by 50% by the OOG for \$5,000.

The OOG grant will provide initial start up funds needed to create the position and implement the program.

8. What are your long-term plans for funding? (Maximum 750 characters)

Round Rock ISD Police Department plans to absorb the position into its local budget in the long-term. The Case Manager has the ability to save the district money; therefore, contributing to its own sustainability. For example, the Case Manager will help victims remain in school. Public school districts are funded based upon attendance, not enrollment. The Case Manager will also help reduce the amount of costly graffiti and property destruction that takes place each year.

9. What would the impact of 10% reduction in funding be on this project? (Maximum 750 characters)

Round Rock ISD Police Department is deeply committed to seeing the program come to fruition. With youth crime rates climbing, it is critical to offer early diversion and help students avoid entry into the criminal justice system. If funded at a 10% reduction, the department will work to find additional funding to close the gap.



## EXECUTIVE COMMITTEE MEETING

MEETING DATE: May 8, 2024

AGENDA ITEM: 12. Consider Approving Scoring and Funding Recommendations for 2025 Violence Against Women Justice and Training Program (VAWA) Criminal Justice Grants

### GENERAL DESCRIPTION OF ITEM:

The Violence Against Women Justice and Training program (VAWA) is one of five grant categories administered by the Office of the Governor (OOG) that CAPCOG's Criminal Justice Advisory Committee (CJAC) reviews each year. This year, 4 applications were submitted for a total of \$699,560.01 requested. The RBE for VAWA in plan year 2025 is \$507,877.61. The CJAC reviewed the applications, heard presentations from the applicants and scored each application in accordance with the policy that was adopted by the Executive Committee in November 2023. At its April 3<sup>rd</sup> meeting, the CJAC determined the method to use for recommending funding for the grant applications. Those funding recommendations are attached to this item.

For the VAWA funding category the CJAC recommends funding the applications in the order ranked by score in the requested amounts with indirect costs removed and that all funds are to be allocated and exhausted should there be funds remaining.

### Suggested Motion to Accomplish the CJAC's Recommendation

Recommend funding the VAWA applications listed in the Priority Listing and Funding Recommendations document in the order indicated in the Rank Number column for the amount indicated in the Recommended Funding column to the extent that funds are available, partially funding the last application if funds are not available for the entire amount indicated.

### THIS ITEM REPRESENTS A:

- New issue, project, or purchase
- Routine, regularly scheduled item
- Follow-up to a previously discussed item
- Special item requested by board member
- Other

PRIMARY CONTACT/STAFF MEMBER:

Charles Simon, Director of Regional Planning & Services

### BUDGETARY IMPACT:

Total estimated cost: \$0

Source of Funds: NA

Is item already included in fiscal year budget?

Yes

No

Does item represent a new expenditure?

Yes

No

Does item represent a pass-through purchase?

Yes

No

If so, for what city/county/etc.? n/a

PROCUREMENT: N/A

### ACTION REQUESTED:

Consider approving scoring and funding recommendations for Violence Against Women Justice and Training program (WF/VAWA) Criminal Justice Grants for Plan Year 2025 with the indicated suggested motion.

**BACK-UP DOCUMENTS ATTACHED:**

1. Summary of WF/VAWA Project Scores, Ranking, Funding Requests, and Funding Recommendations
2. Project Summary Sheets for WF/VAWA Applications

**BACK-UP DOCUMENTS NOT ATTACHED: N/A**

## VAWA Grant

### Priority Listing and Funding Recommendations for Plan Year 2025

<u>Rank Number</u>	<u>Scoring Rank</u>	<u>Applicant</u>	<u>Grant #</u>	<u>\$ Requested</u>	<u>Score</u>	<u>Recommended Funding</u>	<u>Foot Notes</u>
1	1	Burnet County	4772902	\$57,054.00	86.91	\$45,643.20	4
2	2	Bluebird's Hope Inc	4999501	\$83,152.71	86.00	\$83,152.71	
3	3	Saheli dba Asian Family Support Services of Austin	4233904	\$306,958.30	85.55	\$279,681.45	3
4	4	Brave Alliance Mission	5113201	\$252,395.00	80.27	\$252,395.00	

The Rank Number indicates the order in which the applications are recommended to be funded in the amount indicated in the Recommended Funding column as long as funding is available. The Rank Number value for each application will be entered into the column of the same name in the eGrants portal used for reporting the priority listing and funding recommendations to the OOG.

1. Did not attend Criminal Justice Grant Workshop
2. Did not submit Project Summary Sheet
3. CJAC moved to remove indirect costs from funding recommendation.
4. Application is a continuation project subject to decreasing funding ratio **(Criminal Justice Policy Statement Sec 8.1)**
5. Application is a progressed project **(Criminal Justice Policy Statement Sec 3.1.M)**
6. Application received a total final score lower than 70 points **(Criminal Justice Policy Statement Sec 8.2)**
7. Tie Breaker applied to application **(Criminal Justice Policy Statement Sec 6.4.d 10)**
8. Application is applicant's secondary project preference **(Criminal Justice Policy Statement Sec 6.2 c)**

**VAWA**

**Project Summary Sheets**

Included:

5113201	Brave Alliance Mission
4233904	Saheli dba Asian Family Support Services of Austin
4772902	Burnet County
4999501	Bluebird's Hope Inc



## CAPCOG Application Review – Project Summary Sheet

Organization Name: <b>Brave Alliance Mission</b>
eGrants Application Identification Number: <b>5113201</b>
What Fund Source Are You Applying For? <input type="checkbox"/> VOCA <input checked="" type="checkbox"/> VAWA <input type="checkbox"/> JAG <input type="checkbox"/> Truancy Prevention <input type="checkbox"/> Juvenile Justice
Project Title: <b>Expanding Identification and Response To Crimes Against Women</b>
Is This a Continuation Project? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
What Percentage of the Agency's Budget Does This Grant Cover? <b>36%</b>

Project Performance: (Maximum 750 characters per question)

1. Does the project have other components or is part of another project that the CJAC would want to know about?

None

2. If this is a continuation project, are you on track to meet your current impact measures? Please provide details supporting the progress of each measure.

N/A

3. If your project will cover multi-jurisdictions, please list other cities and counties served.

Counties served include Hays, Bastrop, Lee, Fayette, Travis, Williamson, Milam, Bell, Burnet, Llano, San Saba, Blanco, and Lampasas. Cities served include Georgetown, Round Rock, Cedar Park, Leander, Liberty Hill, Austin, Hutto, Taylor, Pflugerville, Bee Cave, Elgin, Jonestown, Lago Vista, Lakeway, Manor, Bertram, Burnet, Cottonwood Shores, Granite Shoals, Highland Haven, Horseshoe Bay, Marble Falls, Meadowlakes, Blanco, Johnson City, Round Mountain, Llano, Lampasas, Copperas Cove, Kempner, Lometa, San Saba, Bastrop, Smithville, Lexington, Giddings, Fayetteville, La Grange, Schulenburg, Killeen, and Harker Heights.

4. Please provide a breakdown of where your clientele is located.

The majority of our clientele is located in Williamson, Travis, Blanco, Burnet, San Saba, Llano and Lampasas counties.

5. What is the demographic breakdown of your clientele served or proposed to be served?

a. [White/African American / Hispanic /Asian/etc.]

The demographic breakdown of our clientele served is White 47.96%, Hispanic 32.79%, African American 11.83%, Asian 2.37%, and Other/Mixed race 5.05%.

6. Are services provided through temporary or permanent facilities?

Our services are provided through permanent facilities. We have a location in Cedar Park which is our main office, and we provide services in several other locations, including Hill Country Children's Advocacy Center in Burnet, Bastrop Children's Advocacy Center, Blanco County Community Resource Center in Johnson City, Williamson County Jail, and Travis County Correctional Complex.

**Fiscal Capability: (Maximum 750 characters per question)**

7. Based on the current Fiscal Year identify by source the amount of funds allocated for the proposed project?  
For example: This project will be funded 50 % by HHSC for \$5,000 and by 50% by the OOG for \$5,000.

This project will be funded 100% by OOG for \$252,395.

8. What are your long-term plans for funding? (Maximum 750 characters)

Our long-term plans for funding include investments and seeking donations through fundraisers. We plan to seek out opportunities for fundraising through vendors that offer a percent of sales.

9. What would the impact of 10% reduction in funding be on this project? (Maximum 750 characters)

If our project needed to have a 10% reduction in funding, we would decrease the salaries for the project employees, decrease the amount paid to speakers and we would decrease the lease expenses paid by the grant. Our project is able to be completed with the 10% reduction in budget.



# CAPCOG Application Review – Project Summary Sheet

<b>Organization Name:</b> SAHELI, dba Asian Family Support Services of Austin
<b>eGrants Application Identification Number:</b> 4233904
<b>What Fund Source Are You Applying For?</b> <input type="checkbox"/> VOCA <input checked="" type="checkbox"/> VAWA <input type="checkbox"/> JAG <input type="checkbox"/> Truancy Prevention <input type="checkbox"/> Juvenile Justice
<b>Project Title:</b> Regional Culturally Responsive Justice Services for Asian Victims
<b>Is This a Continuation Project?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<b>What Percentage of the Agency's Budget Does This Grant Cover?</b> 7%

**Project Performance: (Maximum 750 characters per question)**

1. Does the project have other components or is part of another project that the CJAC would want to know about?

AFSSA was formed to break the cycle of violence in Asian communities in the CAPCOG region. We hold a federal technical "culturally specific" designation, allowing us to bring dedicated federal resources to improve systems response for marginalized survivors of abuse. We are the first, oldest, continuously operating culturally specific domestic/sexual violence services organization in Texas.

This project provides free training, technical assistance, and trust-building activities to partner criminal justice agencies, including but not limited to, recipients of Improving Criminal Justice Response grants to prosecutor offices. If this project is not funded, we may not be able to meet commitments to prosecutor/other agencies with ICJR awards.

2. If this is a continuation project, are you on track to meet your current impact measures? Please provide details supporting the progress of each measure.

No. In April 2023, AFSSA's project scored 4th of 4 projects; it ranked below the regional budget estimate. Based on this information, we began to wind down the prior year's project. We did not aggressively pursue filling vacancies, focusing instead on to law enforcement agencies with established relationships. It was a happy surprise in Oct. 2023 when the project was funded at the full level. It took several months to fill key vacancies, re- build capacity, and re-launch the project in earnest.

- # of criminal justice professionals trained 240 target, 68 actual
- # of non-criminal justice professionals trained 500 target, 6 actual
- # of training events provided 300 target, 10 actual
- # of final protective orders requested 22 target, 0 actual



3. If your project will cover multi-jurisdictions, please list other cities and counties served.

All cities, counties, ISDs, and unincorporated areas within the CAPCOG 10-county region which includes Bastrop, Blanco, Burnet, Caldwell, Fayette, Hays, Lee, Llano, Travis, and Williamson Counties

4. Please provide a breakdown of where your clientele is located.

Bastrop 1%  
Blanco 0%  
Burnet 1%  
Caldwell 0%  
Fayette 0%  
Hays 2%  
Llano 0%  
Travis 67%  
Williamson 27%; Unknown/not declared 3%

5. What is the demographic breakdown of your clientele served or proposed to be served?

a. [White/African American / Hispanic /Asian/etc.]

American Indian/Alaska Native 0%  
Asian 47%  
Black/African American 11%  
Hispanic or Latino 17%  
Native Hawaiian/Other Pacific Islander 0%  
White Non-Latino/Caucasian 5%  
Some other race 1%  
Multiple Races 5%; Not reported 6%; Not Tracked 8%; Total 100%

6. Are services provided through temporary or permanent facilities?

Services are provided through permanent facilities.

**Fiscal Capability: (Maximum 750 characters per question)**

7. Based on the current Fiscal Year identify by source the amount of funds allocated for the proposed project?  
For example: This project will be funded 50 % by HHSC for \$5,000 and by 50% by the OOG for \$5,000.

Office of Attorney General 1%, Office of Attorney General 3%, Texas Health and Human Services 5%, Dept. of Justice OVW 5%, Dept. of Justice OVW 3%, TAASA OVW 5%, TAASA ARP 5%  
Dept. of Justice OVW 6%, Dept. of Justice OVW 5%, Texas OOG VAWA 7%, Texas OOG VOCA 41%, Philanthropic/Community Support 15%. Note: expected 1-2 new contracts from Office of Attorney General to overlap with this project period which will reduce percentage of VOCA/VAWA contracts; values are TBD and dependent upon successful award. When an agency is listed twice, these are separate contracts for distinct, restricted purposes.

8. What are your long-term plans for funding? (Maximum 750 characters)

AFSSA will continue to apply for government grants designated for culturally-specific domestic/sexual violence services as well as designated for victims of domestic and sexual violence, stalking, and trafficking for the broader population. We continue to build on two successful years of increased philanthropic funds through foundations and major individual gifts. Our goal is to raise 30%+ of our budget from private philanthropic sources. As long as there are VOCA and VAWA funds dedicated to Texas and Central Texas to serve survivors of abuse, AFSSA will continue to provide quality, responsive proposals. In addition, may please we have a conversation with you and your networks to learn about endowments and other local sources of support?

9. What would the impact of 10% reduction in funding be on this project? (Maximum 750 characters)

The ultimate impact would be determined in Oct. 2024 when when all agency fund sources are confirmed. At that time, if a reduction in funds is material and a firm value/number is established, AFSSA would consider: 1. safety of clients and community members in existing partnered criminal justice agencies and law enforcement agencies; 2. priority needs/ requests from law enforcement, prosecutors, courts 3. other funder imposed restrictions and appeals for flexibility; 4. emergency appeals to potential other funders. A 10% reduction in funding could result in reduction in services, though we would work to avoid this in order to improve community trust between criminal justice and communities, and reduce trauma to survivors.



## CAPCOG Application Review – Project Summary Sheet

<b>Organization Name:</b> BURNET COUNTY
<b>eGrants Application Identification Number:</b> 47729-02
<b>What Fund Source Are You Applying For?</b> <input type="checkbox"/> VOCA <input checked="" type="checkbox"/> VAWA <input type="checkbox"/> JAG <input type="checkbox"/> Truancy Prevention <input type="checkbox"/> Juvenile Justice
<b>Project Title:</b> WOMAN'S VICTIM ADVOCATE
<b>Is This a Continuation Project?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<b>What Percentage of the Agency's Budget Does This Grant Cover?</b> Less than .1% (General Fund Only)

**Project Performance:**

1. Does the project have other components or is part of another project that the CJAC would want to know about?

N/A

2. If this is a continuation project, are you on track to meet your current impact measures? Please provide details supporting the progress of each measure.

Please see additional page

3. If your project will cover multi-jurisdictions, please list other cities and counties served.

Project covers all of Burnet County including the cities of Burnet, Bertram, Cottonwood Shores, Granite Shoals, Highland Haven, Horseshoe Bay, Marble Falls, and Meadowlakes.

4. Please provide a breakdown of where your clientele is located.

All clientele are located in Burnet County

5. What is the demographic breakdown of your clientele served or proposed to be served? [White/African American / Hispanic /Asian/etc.]

**This project is for female clientele only. Burnet County's resident population 50,954. Females are 50.7% and males are 49.3%. The overall population is 93.9% white, 2.1% black or African American, 1.3% American Indian and Alaska native, 1% Asian. 1% Native Hawaiian and other Pacific Islander, 1.5% two or more races. 23% is Hispanic or Latino. Historically, the majority of victim services clientele receive are white at 76%, with Hispanic or Latino at 21%.**

6. Are services provided through temporary or permanent facilities?

**Services are provided through permanent facilities, however much of the services are provided over the phone or at the scene of an emergency.**

**Fiscal Capability:**

7. Based on the current Fiscal Year identify by source the amount of funds allocated for the proposed project?  
For example: This project will be funded 50 % by HHSC for \$5,000 and by 50% by the OOG for \$5,000.

**Currently the project is funded with 70% OOG grant and 30% Sheriff's Office General Fund. With the estimated salary increases and the 20% deduction that CAPCOG requires for a year 2 application the total grant would 56% and the General Fund would be 44%. However, if awarded, the total project would be adjusted to the 70/30 ratio.**

8. What are your long-term plans for funding?

**Burnet County wishes to continue to apply for a grant for this project through the maximum 3 years. Although the Commissioners Court and the Sheriff's Department definitely endorse this project with the end of the ARPA funds, the county's general fund will be absorbing multiple positions especially in the Sheriff's Office. Most of those positions are patrol deputies. Unfortunately, absorbing those positions may take a priority over a women's victim advocate position.**

9. What would the impact of 10% reduction in funding be on this project?

**Burnet County will already be taking a 20% reduction due to CAPCOG's requirement of year 2 reductions. As stated above, with the ARPA funds coming to an end, it is possible that the absorption of patrol deputies may take a preference over a women's victim advocate position.**

2. The Woman's Victim Advocate is a new position. The FY 2024 application measures were overestimated because the county had previously written applications for both males and females. We will be adjusting the measures for the FY2025 application.

<u>FY 2024 measures</u>	<u>Est</u>	<u>Actual (1 QTR)</u>
Number of cases reviewed by the multi-disciplinary team	36	4
Number of criminal justice professionals trained	24	6
Number of final protective orders granted	50	3
Number of final protective orders requested	75	7
Number of meetings held by multi-disciplinary teams	12	3
Number of non-criminal justice professionals trained	0	0
Number of survivors assisted through the legal process	220	34
Number of survivors receiving crisis counseling	220	5
Number of temporary protective orders granted	140	17
Number of temporary protective orders requested	140	17
Number of times survivors are accompanied to court	7	2
Number of training events provided	1	3
Number of victims/survivors seeking services who were served	220	34
Number of victims seeking services who were not served	0	0



## CAPCOG Application Review – Project Summary Sheet

<b>Organization Name:</b> Bluebird's Hope, Inc.
<b>eGrants Application Identification Number:</b> 4999501
<b>What Fund Source Are You Applying For?</b> <input type="checkbox"/> VOCA <input checked="" type="checkbox"/> VAWA <input type="checkbox"/> JAG <input type="checkbox"/> Truancy Prevention <input type="checkbox"/> Juvenile Justice
<b>Project Title:</b> Training Law Enforcement, Prosecution, Victim Services Providers, and Forensic Nurses in CAPCOG Area
<b>Is This a Continuation Project?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<b>What Percentage of the Agency's Budget Does This Grant Cover?</b> 12%

**Project Performance: (Maximum 750 characters per question)**

1. Does the project have other components or is part of another project that the CJAC would want to know about?

Bluebird's Hope, Inc. performs the project "Crisis Services and Case Coordination for Victims of Trauma in CAPCOG Communities", eGrants Application Identification Number 4994001, in conjunction with Application Identification Number 4999501. Additionally, Bluebird's Hope, Inc. operates two SAFE Programs in the AACOG Region and is in the process of opening a SAFE Program in the CAPCOG Region. Through our SAFE Programs, Bluebird's Hope, Inc. offers the Forensic Nursing Examinations that are mentioned in our grant narrative, but not funded through this grant project.

2. If this is a continuation project, are you on track to meet your current impact measures? Please provide details supporting the progress of each measure.

N/A, this is not a continuation project.

3. If your project will cover multi-jurisdictions, please list other cities and counties served.

This project is exclusively to train agencies from Hays, Caldwell, and Travis Counties. Bluebird's Hope, Inc. trains agencies from outside the CAPCOG Region through separate projects funded by the OOG based in the AACOG Region. We will be diligent not to use funds or resources provided for our CAPCOG Region specific project for the training of those outside agencies.

4. Please provide a breakdown of where your clientele is located.

Our clientele for this project are primarily located in Hays and Caldwell Counties, with few being from Travis County as well.

5. What is the demographic breakdown of your clientele served or proposed to be served?

a. [White/African American / Hispanic /Asian/etc.]

Our proposed clientele to be served will mirror the demographic breakdown of the counties we serve, on average we expect to serve the following percentages of each Race: 45.06% White, not Hispanic, 43.4% Hispanic, 3.8% Asian, 6.833% Black or African American, 1.43% American Indian and Alaska Native, 0.1% Native Hawaiian, and 2.4% Two or More Races. These percentages are averages of the three counties we will primarily serve, Hays, Caldwell, and Travis, and are based on data from Census.gov.

6. Are services provided through temporary or permanent facilities?

Services are provided through a temporary, leased facility, with an option to renew at the end of the lease.



**Fiscal Capability: (Maximum 750 characters per question)**

7. Based on the current Fiscal Year identify by source the amount of funds allocated for the proposed project?  
For example: This project will be funded 50 % by HHSC for \$5,000 and by 50% by the OOG for \$5,000.

This project will be funded 100% by the OOG for \$83,152.71, with contributions/hours worked by volunteers not being considered into the funding amounts.

8. What are your long-term plans for funding? (Maximum 750 characters)

Bluebird's Hope, Inc. plans to be sustainable through Federal and State funded grants, private foundation grants, funds raised, and donations. Bluebird's Hope, Inc. has been successful in securing all of these funding streams since December of 2020, and anticipates being able to do so in the future.

9. What would the impact of 10% reduction in funding be on this project? (Maximum 750 characters)

A 10% reduction in funding would be quite impactful to this project, as it would increase the burden of Bluebird's Hope, Inc. to secure additional funding sources, taking precious time and resources away from the administration and performance of this grant's project.

## EXECUTIVE COMMITTEE MEETING

MEETING DATE: May 8, 2024

AGENDA ITEM: 13. Consider Approving Scoring and Funding Recommendations for 2025 Criminal Justice Program (DJ/JAG) Criminal Justice Grants

### GENERAL DESCRIPTION OF ITEM:

The Criminal Justice (DJ/JAG) grant program is one of five grant categories administered by the Office of the Governor (OOG) that CAPCOG's Criminal Justice Advisory Committee (CJAC) reviews each year. This year, 17 applications were submitted for a total of \$1,691,165.37 requested. For the JAG category the RBE is \$728,160.11. The CJAC reviewed the applications, heard presentations from the applicants and scored each application in accordance with the policy that was adopted by the Executive Committee in November 2023. At its April 3<sup>rd</sup> meeting, the CJAC reviewed the scores it assigned to the applications and recommended funding for them. The funding recommendations are included in the attachment titled Priority Listing and Funding Recommendations.

After reviewing the list of applications in the JAG category ranked by score the CJAC recommends moving the #15 ranked application (5046901 by Capital Area Council of Governments) on the list to position #1 as it scored the highest. Then fund the next nine applications in order ranked by score – highest to lowest – for the full eligible amounts. Then To move #11 ranked application (5018101 by City of Lockhart) to the #10 prioritization position so that it can get fully funded. Then all remaining applications receive funding recommendation for their full eligible amounts. The three applicants at the end of the list were not to receive funding due to receiving a final score lower than 70 points. Also noted that all funds were to be allocated and exhausted should there be funds remaining.

### Suggested Motion to Accomplish the CJAC's Recommendation

Recommend funding the JAG applications listed in the Priority Listing and Funding Recommendations document in the order indicated in the Rank Number column for the amount indicated in the Recommended Funding column to the extent that funds are available, partially funding the last application if funds are not available for the entire amount indicated.

### THIS ITEM REPRESENTS A:

- New issue, project, or purchase
- Routine, regularly scheduled item
- Follow-up to a previously discussed item
- Special item requested by board member
- Other

PRIMARY CONTACT/STAFF MEMBER:

Charles Simon, Director of Regional Planning & Services

### BUDGETARY IMPACT:

Total estimated cost: \$0

Source of Funds: NA

Is item already included in fiscal year budget?

Yes

No

Does item represent a new expenditure?

Yes

No

Does item represent a pass-through purchase?

Yes

No

If so, for what city/county/etc.? n/a

PROCUREMENT: N/A

**ACTION REQUESTED:**

Consider approving prioritization and funding recommendations for Criminal Justice (DJ/JAG) Criminal Justice Grants for Plan Year 2025 with the indicated suggested motion.

**BACK-UP DOCUMENTS ATTACHED:**

1. Memo of supplemental information to consider Scoring, and Funding Recommendations.
2. Summary of DJ/JAG Project Scores, Ranking, Funding Requests, and Funding Recommendations
3. Project Summary Sheets for DJ/JAG Applications

**BACK-UP DOCUMENTS NOT ATTACHED:** N/A

## JAG Grant

### Priority Listing and Funding Recommendations for Plan Year 2025

<u>Rank Number</u>	<u>Scoring Rank</u>	<u>Applicant</u>	<u>Grant #</u>	<u>Requested Amount</u>	<u>Score</u>	<u>Recommended Funding</u>	<u>Foot Notes</u>
1	15	Capital Area Council of Governments	5046901	\$67,200.00	98.11	\$67,200.00	8
2	1	Capital Area Council of Governments	5043801	\$63,510.00	97.78	\$63,510.00	
3	2	Jarrell, City of	4993501	\$20,334.00	92.89	\$20,334.00	
4	3	Austin, City of	4958501	\$70,000.00	89.78	\$70,000.00	
5	4	Burnet County	5032401	\$41,225.60	88.44	\$41,225.60	
6	5	Austin ISD	4953101	\$51,367.50	88.33	\$20,547.00	4
7	6	Pflugerville, City of	4744302	\$72,287.50	87.89	\$72,287.50	4
8	7	Round Rock ISD	4934801	\$18,394.00	84.00	\$18,394.00	
9	8	Hays County	4993001	\$142,183.71	83.67	\$142,183.71	
10	9	MELJ Center	4782502	\$200,000.00	81.00	\$200,000.00	
11	11	Lockhart, City of	5018101	\$99,393.60	80.78	\$99,393.60	2
12	10	Del Valle ISD	5021901	\$449,701.65	80.89	\$449,701.65	
13	16	Pflugerville, City of	4963001	\$68,945.08	79.11	\$68,945.08	2,8
14	17	Hays County	4943001	\$53,487.00	74.89	\$53,487.00	8
15	12	Bertram, City of	4961301	\$81,972.76	62.33	\$0.00	1,2,6
16	13	Schulenburg, City of	5027701	\$121,563.00	60.33	\$0.00	1,2,6

17	14	Kyle, City of	4980201	\$69,599.97	56.00	\$0.00	1,2,6
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The Rank Number indicates the order in which the applications are recommended to be funded in the amount indicated in the Recommended Funding column as long as funding is available. The Rank Number value for each application will be entered into the column of the same name in the eGrants portal used for reporting the priority listing and funding recommendations to the OOG.

1. Did not attend Criminal Justice Grant Workshop
2. Did not submit Project Summary Sheet
3. CJAC moved to remove indirect costs from funding recommendation.
4. Application is a continuation project subject to decreasing funding ratio **(Criminal Justice Policy Statement Sec 8.1)**
5. Application is a progressed project **(Criminal Justice Policy Statement Sec 3.1.M)**
6. Application received a total final score lower than 70 points **(Criminal Justice Policy Statement Sec 8.2)**
7. Tie Breaker applied to application **(Criminal Justice Policy Statement Sec 6.4.d 10)**
8. Application is applicant's secondary project preference **(Criminal Justice Policy Statement Sec 6.2 c)**

## JAG

### Project Summary Sheets

Included:

5043801	Capital Area Council of Governments
5046901	Capital Area Council of Governments
4993501	City of Jarrell
4980201	City of Kyle
4744302	City of Pflugerville
4993001	Hays County
4958501	City of Austin
5021901	Del Valle ISD
4782502	MELJ
4953101	Austin ISD
5032401	Burnet County
4943001	Hays County
4934801	Round Rock ISD

Not Submitted by Applicant:

4961301	Bertram, City of
5027701	City of Schulenburg
5018101	City of Lockhart
4963001	City of Pflugerville



## CAPCOG Application Review – Project Summary Sheet

<b>Organization Name:</b> Capital Area Council of Governments
<b>eGrants Application Identification Number:</b> 5043801 (1st priority application)
<b>What Fund Source Are You Applying For?</b> <input type="checkbox"/> VOCA <input type="checkbox"/> VAWA <input checked="" type="checkbox"/> JAG <input type="checkbox"/> Truancy Prevention <input type="checkbox"/> Juvenile Justice
<b>Project Title:</b> Replace Law Enforcement Training Equipment
<b>Is This a Continuation Project?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<b>What Percentage of the Agency's Budget Does This Grant Cover?</b> <1%

**Project Performance: (Maximum 750 characters per question)**

1. Does the project have other components or is part of another project that the CJAC would want to know about?

This is a stand-alone project. CAPCOG is submitting two other applications involving capital expenditures in this category, and this application should be considered the 1st-ranked application.

2. If this is a continuation project, are you on track to meet your current impact measures? Please provide details supporting the progress of each measure.

This is a one-time project involving replacement of capital assets.

3. If your project will cover multi-jurisdictions, please list other cities and counties served.

This project covers all 10 counties of the CAPCOG region in that CAPCOG's RLEA serves all 10 counties of the CAPCOG region.

4. Please provide a breakdown of where your clientele is located.

For FY 2019-2021, CAPCOG's RLEA was responsible for graduating about 25% of the peace officers licensed from training academies in the region aside from state law enforcement academies. Since the region's largest law enforcement agencies each have their own training academies, CAPCOG's BPOC courses primarily (though not exclusively) serve the other agencies across the region.

5. What is the demographic breakdown of your clientele served or proposed to be served?

a. [White/African American / Hispanic /Asian/etc.]

CAPCOG's most recently recruited BPOC class had the following ethnic/racial composition:

\*White (non-Hispanic/Latino): 48%

\*Hispanic/Latino: 36%

\*Black/African-American: 12%

\*Asian: 3%

6. Are services provided through temporary or permanent facilities?

Permanent facilities - CAPCOG conducts BPOCs primarily at CAPCOG's offices in Austin some instruction at facilities in San Marcos and Hutto through agreements with the City of San Marcos and Williamson County



**Fiscal Capability: (Maximum 750 characters per question)**

7. Based on the current Fiscal Year identify by source the amount of funds allocated for the proposed project?  
For example: This project will be funded 50 % by HHSC for \$5,000 and by 50% by the OOG for \$5,000.

This project would be funded 100% by OOG Criminal Justice Grant Funding. These expenditures would represent approximately 10% of the RLEA's total annual budget, which currently only covers operating costs and does not include capital replacement/investment.

8. What are your long-term plans for funding? (Maximum 750 characters)

This is a one-time capital request.

9. What would the impact of 10% reduction in funding be on this project? (Maximum 750 characters)

If CAPCOG received 10% less than requested for this project, it would order less fitness equipment, which would be the lowest-priority and most scalable category of items covered by this grant. A 10% reduction would represent \$6,356, which is a bit less than 1/2 of the \$13,760 requested for fitness equipment.



## CAPCOG Application Review – Project Summary Sheet

<b>Organization Name:</b> Capital Area Council of Governments
<b>eGrants Application Identification Number:</b> 5046901 (2nd priority application)
<b>What Fund Source Are You Applying For?</b> <input type="checkbox"/> VOCA <input type="checkbox"/> VAWA <input checked="" type="checkbox"/> JAG <input type="checkbox"/> Truancy Prevention <input type="checkbox"/> Juvenile Justice
<b>Project Title:</b> Replace Law Enforcement Training Equipment
<b>Is This a Continuation Project?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<b>What Percentage of the Agency's Budget Does This Grant Cover?</b> <1%

**Project Performance: (Maximum 750 characters per question)**

1. Does the project have other components or is part of another project that the CJAC would want to know about?

This is a stand-alone project. CAPCOG is submitting two other applications involving capital expenditures in this category, and this application should be considered the 2nd-ranked application.

2. If this is a continuation project, are you on track to meet your current impact measures? Please provide details supporting the progress of each measure.

This is a one-time project involving replacement of capital assets.

3. If your project will cover multi-jurisdictions, please list other cities and counties served.

This project covers all 10 counties of the CAPCOG region in that CAPCOG's RLEA serves all 10 counties of the CAPCOG region.

4. Please provide a breakdown of where your clientele is located.

For FY 2019-2021, CAPCOG's RLEA was responsible for graduating about 25% of the peace officers licensed from training academies in the region aside from state law enforcement academies. Since the region's largest law enforcement agencies (City of Austin Police Department, City of Round Rock, Travis County Sheriff's Office, and Williamson County Sheriff's Office) each have their own training academies, CAPCOG's BPOC courses primarily (though not exclusively) serve the other agencies across the region.

5. What is the demographic breakdown of your clientele served or proposed to be served?

a. [White/African American / Hispanic /Asian/etc.]

CAPCOG's most recently recruited BPOC class had the following ethnic/racial composition:

\*White (non-Hispanic/Latino): 48%

\*Hispanic/Latino: 36%

\*Black/African-American: 12%

\*Asian: 3%

6. Are services provided through temporary or permanent facilities?

Permanent facilities - CAPCOG conducts BPOCs primarily at CAPCOG's offices in Austin some instruction at facilities in San Marcos and Hutto through agreements with the City of San Marcos and Williamson County

**Fiscal Capability: (Maximum 750 characters per question)**

7. Based on the current Fiscal Year identify by source the amount of funds allocated for the proposed project?  
For example: This project will be funded 50 % by HHSC for \$5,000 and by 50% by the OOG for \$5,000.

This project would be funded 100% by OOG Criminal Justice Grant Funding. These expenditures would represent approximately 11% of the RLEA's total annual budget, which currently only covers operating costs and does not include capital replacement/investment.

8. What are your long-term plans for funding? (Maximum 750 characters)

This is a one-time capital request.

9. What would the impact of 10% reduction in funding be on this project? (Maximum 750 characters)

If CAPCOG received 10% less than requested for this project, it would order 43 units of each item rather than 48. 48 units covers the maximum number of cadets CAPCOG could possibly train at any one time in a BPOC, but peak number of cadets per class has tended to be more like 30-35, so CAPCOG requests consideration for a minimum of \$49,000 to cover at least 35 units of each item.



## CAPCOG Application Review – Project Summary Sheet

<b>Organization Name:</b> City of Jarrell Police Department
<b>eGrants Application Identification Number:</b> 4993501
<b>What Fund Source Are You Applying For?</b> <input type="checkbox"/> VOCA <input type="checkbox"/> VAWA <input checked="" type="checkbox"/> JAG <input type="checkbox"/> Truancy Prevention <input type="checkbox"/> Juvenile Justice
<b>Project Title:</b> Community Policing Initiative
<b>Is This a Continuation Project?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<b>What Percentage of the Agency's Budget Does This Grant Cover?</b> 0%

**Project Performance: (Maximum 750 characters per question)**

1. Does the project have other components or is part of another project that the CJAC would want to know about?  
No.

2. If this is a continuation project, are you on track to meet your current impact measures? Please provide details supporting the progress of each measure.  
N/A.

3. If your project will cover multi-jurisdictions, please list other cities and counties served.  
N/A.

4. Please provide a breakdown of where your clientele is located.  
The clientele for this project is in the city of Jarrell, TX. They will include the city residents and businesses. The project will target local businesses, multi-housing developments, housing communities, youth, churches, and community organizations.

5. What is the demographic breakdown of your clientele served or proposed to be served?  
a. [White/African American / Hispanic /Asian/etc.]

Male: 50.4%  
Female: 49.6%  
White: 60.8%  
Black: 11.8%  
Hispanic: 36.4%  
Other: 6.8%

6. Are services provided through temporary or permanent facilities?  
No.

**Fiscal Capability: (Maximum 750 characters per question)**

7. Based on the current Fiscal Year identify by source the amount of funds allocated for the proposed project?  
For example: This project will be funded 50 % by HHSC for \$5,000 and by 50% by the OOG for \$5,000.  
0%
  
8. What are your long-term plans for funding? (Maximum 750 characters)  
We plan to get the program up and running with grant funding. As the community grows and needs continue to increase, at that time the city may have the funds to sustain the program.
  
9. What would the impact of 10% reduction in funding be on this project? (Maximum 750 characters)  
A 10% reduction in funding for this project would mean cutting costs in presentation and training supplies for community policing initiatives.



## CAPCOG Application Review – Project Summary Sheet

<b>Organization Name:</b> Pflugerville Police Department
<b>eGrants Application Identification Number:</b> 4744302
<b>What Fund Source Are You Applying For?</b> <input type="checkbox"/> VOCA <input type="checkbox"/> VAWA <input checked="" type="checkbox"/> JAG <input type="checkbox"/> Truancy Prevention <input type="checkbox"/> Juvenile Justice
<b>Project Title:</b> Gangs and Violent Crime Enforcement
<b>Is This a Continuation Project?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<b>What Percentage of the Agency's Budget Does This Grant Cover?</b> .42%

**Project Performance: (Maximum 750 characters per question)**

1. Does the project have other components or is part of another project that the CJAC would want to know about?

The project does not have other components and is not part of another project that the CJAC would want to know.

2. If this is a continuation project, are you on track to meet your current impact measures? Please provide details supporting the progress of each measure.

This is a continuation project, however it is too soon to know if we will meet our current impact measures.



3. If your project will cover multi-jurisdictions, please list other cities and counties served.

This project is not multi-jurisdictional.

4. Please provide a breakdown of where your clientele is located.

The project focuses on the Pflugerville Police Department jurisdiction. However, portions of the City of Pflugerville are Extra-Territorial Jurisdiction (ETJ). Additionally, there are areas of PPD jurisdiction with Round Rock addresses and Williamson County.

5. What is the demographic breakdown of your clientele served or proposed to be served?

a. [White/African American / Hispanic /Asian/etc.]

White 59.3%

Black 16.4%

Hispanic 32.9%

Asian 7.1%

6. Are services provided through temporary or permanent facilities?

Services are not provided through temporary or permanent facilities.

**Fiscal Capability: (Maximum 750 characters per question)**

7. Based on the current Fiscal Year identify by source the amount of funds allocated for the proposed project?  
For example: This project will be funded 50 % by HHSC for \$5,000 and by 50% by the OOG for \$5,000.

This project will be funded 100 % by the OOG for \$72,287.50

8. What are your long-term plans for funding? (Maximum 750 characters)

The long term plans for funding will include an increase to our PD budget based on statistical data obtained by this grant. Long-term the PD will be able to become self-sufficient within this mission and goals of the project after successful completion

9. What would the impact of 10% reduction in funding be on this project? (Maximum 750 characters)

A 10% reduction in funding on this project will have an impact at the current project funding request. The project has already reduced the total request for funding to align with the CAPCOG's continuation cycle.



## CAPCOG Application Review – Project Summary Sheet

<b>Organization Name:</b> Hays County
<b>eGrants Application Identification Number:</b> 4993001
<b>What Fund Source Are You Applying For?</b> <input type="checkbox"/> VOCA <input type="checkbox"/> VAWA <input checked="" type="checkbox"/> JAG <input type="checkbox"/> Truancy Prevention <input type="checkbox"/> Juvenile Justice
<b>Project Title:</b> Hays County Environmental Enforcement - 1st Priority
<b>Is This a Continuation Project?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<b>What Percentage of the Agency's Budget Does This Grant Cover?</b> 1.2% of District Attorney's Budget

**Project Performance: (Maximum 750 characters per question)**

1. Does the project have other components or is part of another project that the CIAC would want to know about?

The proposed project would be a way for Hays County to begin an official environmental enforcement program. By hiring the proposed staff members, Hays County would have a dedicated employee who could participate in regional activities, investigate a higher volume of environmental crime, and initiate new efforts within the county. This investigator and admin will participate in the Regional Environmental task Force.

2. If this is a continuation project, are you on track to meet your current impact measures? Please provide details supporting the progress of each measure.

Not a continuation.

3. If your project will cover multi-jurisdictions, please list other cities and counties served.

Hays County only

4. Please provide a breakdown of where your clientele is located.

All residents of Hays County will be served.

5. What is the demographic breakdown of your clientele served or proposed to be served?

a. [White/African American / Hispanic /Asian/etc.]

Hays County is made up of the following demographics: 51% white (not Hispanic/Latino); 40.8% Hispanic or Latino; 2.2% Asian; 4.9% Black or African American; 1.3% Native American or Alaska Native

6. Are services provided through temporary or permanent facilities?

These services would be provided through permanent facilities

**Fiscal Capability: (Maximum 750 characters per question)**

7. Based on the current Fiscal Year identify by source the amount of funds allocated for the proposed project?  
For example: This project will be funded 50 % by HHSC for \$5,000 and by 50% by the OOG for \$5,000.

This project would allow for a brand new position to be created with 100% being funded by the OOG.

8. What are your long term plans for funding? (Maximum 750 characters)

After FY25, the Hays County District Attorney's Office will plan to write a portion of the salary and fringe benefits into it's department budget. Hays County will continue to seek grant funding for the position, but if none can be identified, the position will be funded from the county budget.

9. What would the impact of 10% reduction in funding be on this project? (Maximum 750 characters)

A 10% reduction in funding would require the admin position to be lowered to an admin level I position and would require the removal of necessary supplies for the investigator position. This would make it much more challenging to hire for the admin position, which is crucial to the operation of the program as the admin will field calls and requests that will go to the investigator.



## CAPCOG Application Review – Project Summary Sheet

<b>Organization Name:</b> City of Austin
<b>eGrants Application Identification Number:</b> 4958501
<b>What Fund Source Are You Applying For?</b> <input type="checkbox"/> VOCA <input type="checkbox"/> VAWA <input checked="" type="checkbox"/> JAG <input type="checkbox"/> Truancy Prevention <input type="checkbox"/> Juvenile Justice
<b>Project Title:</b> Regional Leadership & Extreme Ownership Training Project
<b>Is This a Continuation Project?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<b>What Percentage of the Agency's Budget Does This Grant Cover?</b> Less than 1%

**Project Performance: (Maximum 750 characters per question)**

1. Does the project have other components or is part of another project that the CJAC would want to know about?

This is a stand alone project with no other components.

2. If this is a continuation project, are you on track to meet your current impact measures? Please provide details supporting the progress of each measure.

This is a new project.

3. If your project will cover multi-jurisdictions, please list other cities and counties served.

This project will potentially include all 138 law enforcement jurisdictions in the CAPCOG region, to include: Lee, Williamson, Burnet, Llano, Blanco, Hays, Caldwell, Fayette, Bastrop and Travis.

4. Please provide a breakdown of where your clientele is located.

Clientele is located in the CAPCOG region.

5. What is the demographic breakdown of your clientele served or proposed to be served?

a. [White/African American / Hispanic /Asian/etc.]

10-county population total (US Census 2020): 2,407,031

White (52.6%), Black (6.9%), Asian ( 6%), Hispanic / Latino ( 32.1%), and some other race (2.3%).

6. Are services provided through temporary or permanent facilities?

Services will be provided in government facilities (permanent) in the CAPCOG region.

**Fiscal Capability: (Maximum 750 characters per question)**

7. Based on the current Fiscal Year identify by source the amount of funds allocated for the proposed project?  
For example: This project will be funded 50 % by HHSC for \$5,000 and by 50% by the OOG for \$5,000.

This project is not active in this FY. If funded in FY25, OOG will cover all training fees (\$70,000) at 100%. Program partners will contribute in-kind resources, including facility space and food and beverage.

8. What are your long-term plans for funding? (Maximum 750 characters)

The City is requesting \$70,000 for FY25. The proposed budget includes all project costs at 100%. This is an application for year 1 of a potential 3-year project. If jurisdictions within the CAPCOG region are supportive of an FY26 application, the City will return with a year 2 request.

9. What would the impact of 10% reduction in funding be on this project? (Maximum 750 characters)

If there is a 10% reduction, the City will need to reduce the number of proposed training sessions.





## CAPCOG Application Review – Project Summary Sheet

<b>Organization Name:</b> Del Valle Independent School District
<b>eGrants Application Identification Number:</b> 5021901
<b>What Fund Source Are You Applying For?</b> <input type="checkbox"/> VOCA <input type="checkbox"/> VAWA <input checked="" type="checkbox"/> JAG <input type="checkbox"/> Truancy Prevention <input type="checkbox"/> Juvenile Justice
<b>Project Title:</b> Del Valle ISD Safety and Security Project
<b>Is This a Continuation Project?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<b>What Percentage of the Agency's Budget Does This Grant Cover?</b> less than 1%

**Project Performance: (Maximum 750 characters per question)**

1. Does the project have other components or is part of another project that the CJAC would want to know about?

Del Valle ISD is committed to a safe and secure environment for all students, staff, and community members. This project would be in conjunction with our overall efforts to make our district a safe place for learning. Del Valle ISD uses local funds to continue to ensure our police officers are up-to-date on the latest professional development and that they receive the support they need on the job.

2. If this is a continuation project, are you on track to meet your current impact measures? Please provide details supporting the progress of each measure.

This is not a continuation grant, this is a new project for Del Valle ISD.

3. If your project will cover multi-jurisdictions, please list other cities and counties served.

The project will cover Del Valle ISD which is part of Southeast Travis County.

4. Please provide a breakdown of where your clientele is located.

Due to a high mobility rate our students come from all over Austin and the surrounding Metroplex. Our campuses are primarily located in 78617, 78610, 78744, 78741, and 78720 zip codes. This mainly encompasses southeast Austin.

5. What is the demographic breakdown of your clientele served or proposed to be served?

a. {White/African American / Hispanic /Asian/etc.}

The demographics of our school district are as follows:

White - 4.6%

African American - 8.9%

Hispanic - 83.4%

Asian - 1.2%

American Indian - 0.1%

Pacific Islander - 0.1%

6. Are services provided through temporary or permanent facilities?

Services are provided through permanent facilities in Del Valle ISD. There are police officers stationed at each campus in our district and our officers are located in a central location when working on administrative duties.

**Fiscal Capability: (Maximum 750 characters per question)**

7. Based on the current Fiscal Year identify by source the amount of funds allocated for the proposed project?  
For example: This project will be funded 50 % by HHSC for \$5,000 and by 50% by the OOG for \$5,000.

The Del Valle ISD Safety and Security project outlined in this application will be 100% funded by the OOG.

8. What are your long-term plans for funding? (Maximum 750 characters)

Developing long-term plans for funding safety and security in school districts requires a strategic approach that addresses both immediate needs and sustained investment over time. Our long-term plans for funding would be to make this initial investment with secured grant funds and work with our School Board to secure additional funds and develop multi-year budget plans that allocate resources for safety and security updates and upgrades that will be necessary over time. Safety and security is a top priority for our district so we will work to find dedicated funding to secure necessary items to maintain our police department and ensure they have the equipment and technology necessary to serve our students, staff, and community effectively.

9. What would the impact of 10% reduction in funding be on this project? (Maximum 750 characters)

With the end of many of the supplemental federal funds, local school budgets are going to be tighter than ever going into next school year. A 10% reduction in funding would require us to request less items at this time. As we move into budgeting season for our district we will be reviewing all funding sources to support district initiatives, but a reduction in this overall project would require the district to rethink how we will allocate safety resources amongst our police department. We would likely have to wait on the purchase of some of the requested items and prioritize what items are the greatest need for our officers with the allocated funding.



## CAPCOG Application Review – Project Summary Sheet

<b>Organization Name:</b> MELJ Center (Minorities for Equality in Economy, Education, Liberty and Justice)
<b>eGrants Application Identification Number:</b> 47825-02
<b>What Fund Source Are You Applying For?</b> <input type="checkbox"/> VOCA <input type="checkbox"/> VAWA <input checked="" type="checkbox"/> JAG <input type="checkbox"/> Truancy Prevention <input type="checkbox"/> Juvenile Justice
<b>Project Title:</b> MELJ Expansion
<b>Is This a Continuation Project?</b> <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
<b>What Percentage of the Agency's Budget Does This Grant Cover?</b> 100

**Project Performance: (Maximum 750 characters per question)**

1. Does the project have other components or is part of another project that the CJAC would want to know about?

This project addresses reentry in everyway. The project has an excellent component to connect and assist those community members and their families that have been negatively impacted by the criminal justice system.

2. If this is a continuation project, are you on track to meet your current impact measures? Please provide details supporting the progress of each measure.

MELJ is on track and we have started to hire new staff.

3. If your project will cover multi-jurisdictions, please list other cities and counties served.

MELJ has worked and will continue to work in the Central Texas area. We serve nearby counties such as Williamson, Travis, Bastrop, and occasionally working in Caldwell and Hays County.

4. Please provide a breakdown of where your clientele is located.

Majority of our clientele is in Travis County. This past year we had assisted participants from Travis, Tarrant, Williamson, Caldwell, Hays, Smith, Taylor, Bastrop, and Bexar.

5. What is the demographic breakdown of your clientele served or proposed to be served?

a. [White/African American / Hispanic /Asian/etc.]

Our clientele is very diverse as we serve anybody who has been affected by the criminal legal system. This past year we served 23% White, 55% African American/Black, 16% Hispanic and 6% Not identified.

6. Are services provided through temporary or permanent facilities?

MELJ continues to obtain office space located in Austin, Texas where we have a lease with the City of Austin.

**Fiscal Capability: (Maximum 750 characters per question)**

7. Based on the current Fiscal Year identify by source the amount of funds allocated for the proposed project?  
For example: This project will be funded 50 % by HHSC for \$5,000 and by 50% by the OOG for \$5,000.

This project will be funded 100% by this grant.

8. What are your long-term plans for funding? (Maximum 750 characters)

Our long term funding plans are to seek sustainable support from the cities, county and state that the organization operates in and additional foundational support. We have to include this as our main point of funding because our participant standardly is without funds and or resources that would allow us to be "fee" based consistently if at all. We are also hopeful to create a baseline industry that will provide revenue to our organization by the product or proposed service.

9. What would the impact of 10% reduction in funding be on this project? (Maximum 750 characters)

Any loss of our requested ask is somewhat impactful, because we are requesting what we need to get this project running effectively. The 10% would mean that we wouldn't be able to fund a specific position.



## CAPCOG Application Review – Project Summary Sheet

<b>Organization Name:</b> Austin Independent School District
<b>eGrants Application Identification Number:</b> 4953101
<b>What Fund Source Are You Applying For?</b> <input type="checkbox"/> VOCA <input type="checkbox"/> VAWA <input checked="" type="checkbox"/> JAG <input type="checkbox"/> Truancy Prevention <input type="checkbox"/> Juvenile Justice
<b>Project Title:</b> Crisis Intervention Training Certification
<b>Is This a Continuation Project?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<b>What Percentage of the Agency's Budget Does This Grant Cover?</b> 0.46%

**Project Performance: (Maximum 750 characters per question)**

1. Does the project have other components or is part of another project that the CIAC would want to know about?

N/A

2. If this is a continuation project, are you on track to meet your current impact measures? Please provide details supporting the progress of each measure.

N/A

3. If your project will cover multi-jurisdictions, please list other cities and counties served.

Bastrop, Blanco, Burnet, Caldwell, Fayette, Hays, Lee, Llano, Travis, and Williamson counties.

4. Please provide a breakdown of where your clientele is located.

Austin ISD serves the majority of the population, covering 172.4 square miles of the city center. Austin, TX is located within Travis County.

5. What is the demographic breakdown of your clientele served or proposed to be served?

a. [White/African American / Hispanic /Asian/etc.]

AIISD Student Race & Ethnicity:

Hispanic 55%

White 30.1%

Black 6.6%

Asian 4.5%

Other 3.8%

6. Are services provided through temporary or permanent facilities?

Services provided in permanent facilities.



**Fiscal Capability: (Maximum 750 characters per question)**

7. Based on the current Fiscal Year identify by source the amount of funds allocated for the proposed project?  
For example: This project will be funded 50 % by HHSC for \$5,000 and by 50% by the OOG for \$5,000.

OOG Criminal Justice Grant program would be the sole funder of the project.

8. What are your long-term plans for funding? (Maximum 750 characters)

Austin ISD does not have the budgetary capacity to support this project without external funding. AISD continues to be the largest payer into the state finance system due to recapture payments, as mandated by 86th Texas Legislature, House Bill 3, chapter 49. Additionally, district payroll costs will increase significantly in order to add 117 new officers in compliance with Texas House Bill 3 of the 88th legislative session.

9. What would the impact of 10% reduction in funding be on this project? (Maximum 750 characters)

Would have to reduce number of instructional hours offered. We would offer 1x1 week class rather than 2 x 1 week classes. This would cut in half the number of officers trained.



## CAPCOG Application Review – Project Summary Sheet

<b>Organization Name:</b> Burnet County
<b>eGrants Application Identification Number:</b> 5032401
<b>What Fund Source Are You Applying For?</b> <input type="checkbox"/> VOCA <input type="checkbox"/> VAWA <input checked="" type="checkbox"/> JAG <input type="checkbox"/> Truancy Prevention <input type="checkbox"/> Juvenile Justice
<b>Project Title:</b> Burnet County Courthouse Metal Detectors and Panic Button Project
<b>Is This a Continuation Project?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<b>What Percentage of the Agency's Budget Does This Grant Cover?</b> .0012

Project Performance: (Maximum 750 characters per question)

1. Does the project have other components or is part of another project that the CJAC would want to know about?

n/a

2. If this is a continuation project, are you on track to meet your current impact measures? Please provide details supporting the progress of each measure.

n/a

3. If your project will cover multi-jurisdictions, please list other cities and counties served.

This project is for the courthouses which includes the Burnet County Court and Law, the Burnet County Justices of the Peace, and the 33rd and 424th Judicial Districts. Those districts include multiple counties, but the CAPCOG counties are Burnet and Llano. Although the cases in this courtroom are mostly Burnet County, occasionally Llano County will have a court case in our courthouse. The district judges may also review Llano County cases in the chambers.

4. Please provide a breakdown of where your clientele is located.

Clientele would be from Burnet County unless a Llano County court case was held at the Burnet County Courthouse.

5. What is the demographic breakdown of your clientele served or proposed to be served?

a. [White/African American / Hispanic /Asian/etc.]

Burnet County population is approximately 50,954. Females are 50.7% and males are 49.3% of the population. The overall population is 93.9% Caucasian, 2.1% African American, 1.3%% Native and Alaskan Native, 1% Asian, 1% Native Hawaiian and other Pacific Islander, and 1.5% two or more races. The population is 23% Hispanic or Latino, and 77% Non-Hispanic.

6. Are services provided through temporary or permanent facilities?

Services are provided in permanent facilities.

**Fiscal Capability: (Maximum 750 characters per question)**

7. Based on the current Fiscal Year identify by source the amount of funds allocated for the proposed project?  
For example: This project will be funded 50 % by HHSC for \$5,000 and by 50% by the OOG for \$5,000.

This project will be funded 100% by OOG. \$41,225.60

8. What are your long-term plans for funding? (Maximum 750 characters)

Metal Detectors should have a life span of at least 10 years but some last 20 years. Any maintenance or repairs would be paid out of the Courthouse Security General Fund budget.

9. What would the impact of 10% reduction in funding be on this project? (Maximum 750 characters)

A 10% reduction would cause us to only purchase 3 metal detectors. Those three would replace the three that are over 21 years that were purchased in a government surplus. The 4th one would be the one for the AgriLife building that holds jury selections.



# CAPCOG Application Review – Project Summary Sheet

<b>Organization Name:</b> Hays County
<b>eGrants Application Identification Number:</b> 4943001
<b>What Fund Source Are You Applying For?</b> <input type="checkbox"/> VOCA <input type="checkbox"/> VAWA <input checked="" type="checkbox"/> JAG <input type="checkbox"/> Truancy Prevention <input type="checkbox"/> Juvenile Justice
<b>Project Title:</b> Evaluation of Pretrial Services in Hays County - Priority 2
<b>Is This a Continuation Project?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<b>What Percentage of the Agency's Budget Does This Grant Cover?</b>

**Project Performance: (Maximum 750 characters per question)**

1. Does the project have other components or is part of another project that the CJAC would want to know about?

The proposed project would be an evaluation of how effective the Pretrial Services program (housed under Judicial Services) is for Hays County. This includes how effective pretrial diversion programs are on recidivism within the county, but also what the financial impact of this program is on the County budget. Because pretrial diversion lowers the overall inmate population of the jail, this evaluation will analyze the financial impact of the inmates being housed in jail versus the investment in pretrial diversion programs.

2. If this is a continuation project, are you on track to meet your current impact measures? Please provide details supporting the progress of each measure.

Not a continuation.

3. If your project will cover multi-jurisdictions, please list other cities and counties served.

**Hays County only**

4. Please provide a breakdown of where your clientele is located.

**Residents from all of Hays County will be eligible. Program participants are identified by the District Attorneys Office and finalized by Judicial Services.**

5. What is the demographic breakdown of your clientele served or proposed to be served?

a. [White/African American / Hispanic /Asian/etc.]

**Hays County is made up of the following demographics: 51% white (not Hispanic/Latino); 40.8% Hispanic or Latino; 2.2% Asian; 4.9% Black or African American; 1.3% Native American or Alaska Native**

6. Are services provided through temporary or permanent facilities?

**These services would be provided through permanent facilities**

**Fiscal Capability: (Maximum 750 characters per question)**

7. Based on the current Fiscal Year identify by source the amount of funds allocated for the proposed project?  
For example: This project will be funded 50 % by HHSC for \$5,000 and by 50% by the OOG for \$5,000.

This project would allow for an evaluation to be performed with 100% being funded by the OOG.

8. What are your long-term plans for funding? (Maximum 750 characters)

Because this is a one-time evaluation, there will be no need to plan for future funding. Judicial Services will determine if another evaluation may be beneficial at a later date, such as 3-5 years from the inception of the program.

9. What would the impact of 10% reduction in funding be on this project? (Maximum 750 characters)

A 10% reduction in funding would mean that the evaluation would not be able to be performed over the proposed 9 month period. This would reduce the evaluation period to 6 months, making it a shorter data set and a less effective result.



## CAPCOG Application Review – Project Summary Sheet

<b>Organization Name:</b> Round Rock ISD
<b>eGrants Application Identification Number:</b> 4934801
<b>What Fund Source Are You Applying For?</b> <input type="checkbox"/> VOCA <input type="checkbox"/> VAWA <input checked="" type="checkbox"/> JAG <input type="checkbox"/> Truancy Prevention <input type="checkbox"/> Juvenile Justice
<b>Project Title:</b> Advanced Active Shooter Planning
<b>Is This a Continuation Project?</b> <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<b>What Percentage of the Agency's Budget Does This Grant Cover?</b> 1%

**Project Performance: (Maximum 750 characters per question)**

1. Does the project have other components or is part of another project that the CJAC would want to know about?

The proposed project is not part of any other project. Rather, funding from the grant will position Round Rock ISD Police Department to offer this training throughout the CAPCOG region.

2. If this is a continuation project, are you on track to meet your current impact measures? Please provide details supporting the progress of each measure.

N/A



3. If your project will cover multi-jurisdictions, please list other cities and counties served.

This project will target all ten of the CAPCOG Counties, including: Bastrop, Blanco, Burnet, Caldwell, Fayette, Hays, Lee, Llano, Travis, and Williamson Counties. The cities in these regions include:

Austin, Cedar Park, Round Rock, Bastrop, Blanco, Johnson City, Round Mountain, Burnet, Marble Falls, Bertram, Granite Shoals, Cottonwood Shores, Meadowlakes, Highland Haven, Lockhart, Martindale, Prairie Lea, La Grange, Kyle, Buda, Dripping Springs, Wimberley, Hays, Driftwood, Mountain City, Woodcreek, Antioch Colony, Giddings, Lexington, Lincoln, Old Dime Box, Hills, Llano, Kingsland, Buchanan Dam, Sunrise Beach Village, Buchanan Lake Village, Pflugerville, Lakeway, Manor, Lago Vista, Bee Cave, Leander, Creedmoor, Elgin, Lakeways, Manor...

4. Please provide a breakdown of where your clientele is located.

Round Rock ISD Police Department will provide training to school police departments, school safety and operations leaderships, local law enforcement and emergency responders in the following counties: Bastrop, Blanco, Burnet, Caldwell, Fayette, Hays, Lee, Llano, Travis, and Williamson Counties.

5. What is the demographic breakdown of your clientele served or proposed to be served?

a. [White/African American / Hispanic /Asian/etc.]

The ten-county CAPCOG region breaks down as follows: White, 51.73%; Black, 7.05%; American Indian/Alaska Native, .29%; Asian; 6.59%; Native Hawaiian/Pacific Islander, .08%; Hispanic/Latino, 32.19%; and two or more races, 2.06%.

Census, July 2021 estimates.

6. Are services provided through temporary or permanent facilities?

The seminars will be offered at permanent facilities within each region.

**Fiscal Capability: (Maximum 750 characters per question)**

7. Based on the current Fiscal Year identify by source the amount of funds allocated for the proposed project?  
For example: This project will be funded 50 % by HHSC for \$5,000 and by 50% by the OOG for \$5,000.

The proposed grant from OOG will fund 100% of the project.

8. What are your long-term plans for funding? (Maximum 750 characters)

This project will complete at the end of the grant period and will not require additional funding. However, it is Round Rock ISD Police Department's hope that this collaborative activity will help inspire more shared training between agencies across the region.

9. What would the impact of 10% reduction in funding be on this project? (Maximum 750 characters)

If the grant were funded at a 10% reduction in funding, Round Rock ISD Police Department would still remain committed to delivering the seminar and achieving the project deliverables described in the proposal.

## EXECUTIVE COMMITTEE MEETING

**MEETING DATE:** May 8, 2024

**AGENDA ITEM:** 14. Consider Adopting a Resolution Documenting the Priority Listing and Funding Recommendations for all Grant Categories in the Plan Year 2025 Criminal Justice Program

**GENERAL DESCRIPTION OF ITEM:**

A new requirement in CAPCOG’s contract with the Office of the Governor (OOG) for the criminal justice program is to provide either a resolution stating the COG’s action on the priority list and funding recommendations or a copy of the meeting minutes at which the prioritization and recommendations were approved. The deadline to submit either of those documents is June 10, 2024 – two days before the June 12 Executive Committee meeting. An approved version of the meeting minutes will not be available by the deadline; therefore, adoption of the resolution appears to be the best option for CAPCOG.

The resolution attached to this item addresses the prioritization list and funding recommendations that will be approved by the Executive Committee in separate items in this same meeting agenda. Consideration and adoption of the resolution should occur after the priority list and funding recommendations for all five grant categories are voted upon. Exhibits illustrating the Executive Committee’s action on the priority list and funding recommendations will be attached to the resolution prior to submitting it to the OOG.

**THIS ITEM REPRESENTS A:**

- New issue, project, or purchase
- Routine, regularly scheduled item
- Follow-up to a previously discussed item
- Special item requested by board member
- Other

**PRIMARY CONTACT/STAFF MEMBER:** Charles Simon, Director of Regional Planning & Services

**BUDGETARY IMPACT:**

Total estimated cost: \$0

Source of Funds: NA

Is item already included in fiscal year budget?  Yes  No

Does item represent a new expenditure?  Yes  No

Does item represent a pass-through purchase?  Yes  No

If so, for what city/county/etc.? n/a

**PROCUREMENT:** N/A

**ACTION REQUESTED:**

Consider adopting a resolution documenting the priority listing and funding recommendations for all grant categories in the Plan Year 2025 Criminal Justice Program

**BACK-UP DOCUMENTS ATTACHED:**

1. Resolution documenting the priority listing and funding recommendations for all grant categories in the Plan Year 2025 Criminal Justice Program

**BACK-UP DOCUMENTS NOT ATTACHED:** N/A

# Resolution

## A Resolution Prioritizing and Recommending Funding of Criminal Justice Grant Applications by the Capital Area Council of Governments

**WHEREAS**, pursuant to an interlocal agreement with the Office of the Governor (OOG), CAPCOG is responsible for reviewing applications for grants in the Victims of Crime Act (VOCA), Violence Against Women Act (VAWA), Justice Assistance Grant (JAG), Truancy Prevention (TP), and Juvenile Justice (JJ) programs, prioritizing the applications, and recommending funding for each;

**WHEREAS**, the Criminal Justice Advisory Committee (CJAC), in accordance with established policy, reviewed applications, received applicant presentations, and scrutinized each application based on the benefit to be gained by implementation of the project and to the extent to which the project addresses regional priorities;

**WHEREAS**, after reviewing all applications and independently voting on each, the CJAC recommended to the CAPCOG Executive Committee the priority listings of the applications and the recommended funding for each application in all five grant categories; and

**WHEREAS**, the CJAC recommendations were presented to the CAPCOG Executive Committee for its review and further discussion;

**NOW THEREFORE, BE IT RESOLVED** that the Capital Area Council of Governments Executive Committee hereby approves the CAPCOG Plan Year 2025 Criminal Justice Grant Program prioritized project list for the Victims of Crime Act (VOCA), Violence Against Women Act (VAWA), Justice Assistance Grant (JAG), Truancy Prevention (TP), and Juvenile Justice (JJ) programs as voted on and adopted at its May 8, 2024, meeting and attached hereto.

**SUBMITTED AND PASSED**, Resolution adopted by the Capital Area Council of Governments Executive Committee on this 8<sup>th</sup> day of May 2024.

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Mayor Lew White, Chair  
Executive Committee  
Capital Area Council of Governments

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Judge Ron Cunningham, Secretary  
Executive Committee  
Capital Area Council of Governments

## EXECUTIVE COMMITTEE MEETING

MEETING DATE: May 8, 2024

AGENDA ITEM: 15. Consider Approving Appointments to Advisory Committees

### GENERAL DESCRIPTION OF ITEM:

This is the monthly item for filling positions on our Advisory Committees; please let us know if our staff can assist in identifying interested persons to serve. It is presumed that both city and county representatives will collaborate when making appointments.

### THIS ITEM REPRESENTS A:

- New issue, project, or purchase
- Routine, regularly scheduled item
- Follow-up to a previously discussed item
- Special item requested by board member
- Other

PRIMARY CONTACT/STAFF MEMBER: **Jennifer Salazar, Senior Administrative Coordinator**

### BUDGETARY IMPACT:

Total estimated cost: N/A

Source of Funds: N/A

Is item already included in fiscal year budget?  Yes  No

Does item represent a new expenditure?  Yes  No

Does item represent a pass-through purchase?  Yes  No

If so, for what city/county/etc.? \_\_\_\_\_

PROCUREMENT: N/A

### ACTION REQUESTED:

Approve any advisory committee recommendations.

BACK-UP DOCUMENTS ATTACHED: N/A

BACK-UP DOCUMENTS NOT ATTACHED (to be sent prior to meeting or will be a handout at the meeting):

1. Executive Committee attendance roster
2. Advisory Committee attendance rosters